



# South Coast Air Quality Management District

21865 Copley Drive, Diamond Bar, CA 91765-4178  
(909) 396-2000 • [www.aqmd.gov](http://www.aqmd.gov)

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## A G E N D A

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### MEETING, JANUARY 6, 2012

A meeting of the South Coast Air Quality Management District Board will be held at 9:00 a.m., in the Auditorium at AQMD Headquarters, 21865 Copley Drive, Diamond Bar, California.

#### Questions About an Agenda Item

- The name and telephone number of the appropriate staff person to call for additional information or to resolve concerns is listed for each agenda item.
- In preparation for the meeting, you are encouraged to obtain whatever clarifying information may be needed to allow the Board to move expeditiously in its deliberations.

#### Meeting Procedures

- The public meeting of the AQMD Governing Board begins at 9:00 a.m. The Governing Board generally will consider items in the order listed on the agenda. However, any item may be considered in any order.
- After taking action on any agenda item not requiring a public hearing, the Board may reconsider or amend the item at any time during the meeting.

#### Questions About Progress of the Meeting

- During the meeting, the public may call the Clerk of the Board's Office at (909) 396-2500 for the number of the agenda item the Board is currently discussing.

The agenda and documents in the agenda packet will be made available upon request in appropriate alternative formats to assist persons with a disability. Disability-related accommodations will also be made available to allow participation in the Board meeting. Any accommodations must be requested as soon as practicable. Requests will be accommodated to the extent feasible. Please telephone the Clerk of the Board's Office at (909) 396-2500 from 7:00 a.m. to 5:30 p.m. Tuesday through Friday.

All documents (i) constituting non-exempt public records, (ii) relating to an item on the agenda, and (iii) having been distributed to at least a majority of the Governing Board after the agenda is posted, are available prior to the meeting for public review at the South Coast Air Quality Management District Clerk of the Board's Office, 21865 Copley Drive, Diamond Bar, CA 91765.

The Agenda is subject to revisions. For the latest version of agenda items herein or missing agenda items, check the District's web page ([www.aqmd.gov](http://www.aqmd.gov)) or contact the Clerk of the Board, (909) 396-2500. Copies of revised agendas will also be available at the Board meeting.

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*Cleaning the air that we breathe...™*

## **CALL TO ORDER**

- Pledge of Allegiance
- Swearing in of Chair and Vice Chair for Terms January 2012 – January 2014
- Opening Comments: William A. Burke, Ed.D., Chair  
Other Board Members  
Barry R. Wallerstein, D. Env., Executive Officer

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Staff/Phone (909) 396-

## **CONSENT CALENDAR (Items 1 through 14)**

Note: Consent Calendar items held for discussion will be moved to Item No. 15

- |    |  |                         |
|----|--|-------------------------|
| 1. | Approve Minutes of December 2, 2011 Board Meeting  | <b>McDaniel/2500</b>    |
| 2. | Set Public Hearing February 3, 2012 to Receive Public Input on Executive Officer's Priority Goals for FY 2012-13 | <b>Wallerstein/3131</b> |


A set of priority goals for FY 2012-13 Budget has been developed. The Executive Officer wishes to receive public and Board Member input on these priority goals as they serve as the foundation of AQMD's Work Program.

### **Budget/Fiscal Impact**

- |    |   |                 |
|----|---|-----------------|
| 3. | Execute Contracts for Deployment of In-Basin Renewable Distributed Electricity Generation and Storage to Support Electric Transportation Technologies  | <b>Liu/2105</b> |
|----|---|-----------------|

In May 2011, the Board released an RFP to announce the availability of funds and solicit proposals for the deployment of in-basin renewable distributed electricity generation combined with storage to support electric transportation technologies. Forty-six proposals were received in response to the RFP. This action is to execute contracts for the deployment of in-basin renewable distributed electricity generation and energy storage in an amount up to \$12,363,269; \$2,402,500 from AES Settlement Fund (35), \$8,360,769 from Rule 1309.1 Mitigation Fund (36) and \$1,600,000 from the Rule 1121 Mitigation Fee Fund (27). (Reviewed: Technology Committee, December 16, 2011; Recommended for Approval)

 These items are related to the implementation of the AQMD Air-Quality Related Energy Policy.

4. **Allocate Funds and Issue Program Announcement for Replacement of CNG School Bus Tanks, and Award Funds to Replace Fuel Tanks on School Buses**  **Liu/2105**

The recently adopted state legislations AB 462 and AB 470 have expanded the potential use of Carl Moyer AB 923 funds. Starting January 2012, in addition to school bus replacements, AB 923 funds may be used for school bus retrofits and for replacing older than 14 years on-board fuel tanks of CNG school buses. Since on-board fuel tanks of CNG school buses with less than 14 years of age may need replacements, this action is to set up an account of up to \$600,000 with AQMD non-state/federal funds in the Lower-Emission School Bus Fund (33) and issue a program announcement for replacement of CNG school bus tanks in case of damage prior to 14 years of use. In addition, this action is to provide up to \$140,000 to Torrance Unified School District to replace damaged CNG tanks in seven school buses. (Reviewed: Administrative Committee, December 9, 2011; Recommended for Approval)

5. **Approve Contract Modification under Alternative Fuel School Bus Incentives Program as Part of FY 2010-11 AB 2766 Discretionary Fund Work Program** **Winterbottom**

As part of their FY 2010-11 AB 2766 Discretionary Fund Work Program, the MSRC implemented an Alternative Fuel School Bus Incentives Program and issued contracts to two qualifying vendors. This action is to modify the contract with one vendor, A-Z Bus Sales, to add additional funds in the amount of \$525,000 to provide incentives toward the purchase of 21 alternative fuel school buses. At this time, the MSRC seeks Board approval of this contract modification under the FY 2010-11 AB 2766 Discretionary Fund Work Program. (Reviewed: Mobile Source Air Pollution Reduction Review Committee, December 15, 2011; Recommended for Approval)

**Action Items/No Fiscal Impact**

6. **Adopt Resolution Amending Records Retention Schedule** **Wiese/3460**

State law authorizes the governing body of a local district to approve a records retention schedule that classifies district records and establishes a standard protocol for the disposition or destruction of records. The Governing Board adopted the District's Records Retention Policy and Records Retention Schedule in 2005. Pursuant to the Policy, District staff has conducted a periodic review of the current Policy and the Schedule, and is proposing changes to records categories and retention periods in the Records Retention Schedule. (Reviewed: Administrative Committee, December 9, 2011; Recommended for Approval)

**Items 7 through 14 - Information Only/Receive and File**

7.       Legislative & Public Affairs Report **Abarca/3242**

This report highlights the November 2011 outreach activities of Legislative & Public Affairs, which include Environmental Justice Update, Community Events/Public Meetings, Business Assistance, and Outreach to Business and Federal, State and Local Government. (No Committee Review)
8.       Hearing Board Report **Camarena/2500**

This reports the action taken by the Hearing Board during the period of November 1 through November 30, 2011. (No Committee Review)
9.       Civil Filings and Civil Penalties Report **Wiese/3460**

This reports the monthly penalties from November 1 through November 30, 2011, and legal actions filed by the District Prosecutor during November 1 through November 30, 2011. An Index of District Rules is attached with the penalty report. (No Committee Review)
10.      Lead Agency Projects and Environmental Documents Received by AQMD **Chang/3186**

This report provides, for the Board's consideration, a listing of CEQA documents received by the AQMD between November 1, 2011 and November 30, 2011, and those projects for which the AQMD is acting as lead agency pursuant to CEQA. (No Committee Review)
11.      Rule and Control Measure Forecast **Chang/3186**

This report highlights AQMD rulemaking activity and public workshops potentially scheduled for the year 2012. (No Committee Review)
12.      Report of RFPs and RFQs Scheduled for Release in January **O'Kelly/2828**

This report summarizes the RFPs and RFQs for budgeted services over \$75,000 scheduled to be released for advertisement for the month of January. (Reviewed: Administrative Committee, December 9, 2011; Recommended for Approval)

13. Report on Major Projects for Information Management Scheduled to Start During Last Six Months of FY 2011-12 **Marlia/3148**

Information Management is responsible for data systems management services in support of all AQMD operations. This action is to report on major automation contracts and projects to be initiated by Information Management during the last six months of FY 2011-12. (Reviewed: Administrative Committee, December 9, 2011; Recommended for Approval)

14. Designation of Environmental Justice Areas for Coachella Valley Pursuant to AB 1318 (***Continued from December 2, 2011 Board Meeting***) **Chang/3186**

***Staff is recommending that this item be continued to the February 3, 2012 Board Meeting.***

AB 1318 established requirements for mitigation funds from the CPV Sentinel Energy Project power plant, including the requirement that at least 30% of the mitigation funding is distributed in Environmental Justice (EJ) areas within the District. The AB 1318 made reference to EJ areas as designated in AQMD Rule 1309.1, as adopted in August 2007, but repealed in January 2010. While the EJ criteria in Rule 1309.1 were intended for all areas within the District, the map included in the 2007 version of the rule did not include a depiction of the Coachella Valley EJ areas. This item is to approve the Coachella Valley EJ designations and map based on the same Rule 1309.1 criteria referenced in AB 1318, such that the public is provided the relevant information to know what will be considered an EJ area and fully participate in the Board's public process for funding of mitigation projects. (Reviewed: Stationary Source Committee, November 18, 2011; Recommended for Approval)

15. Items Deferred from Consent Calendar

## **BOARD CALENDAR**

*Note: The December meeting of the Legislative Committee was canceled. The Mobile Source and Stationary Source Committees did not meet in December. The next meeting of the Legislative Committee is scheduled for January 13, 2012; and the next meetings of the Mobile Source and Stationary Source Committees are scheduled for January 20, 2012.*

- |     |   |                          |                         |
|-----|---|--------------------------|-------------------------|
| 16. | Administrative Committee (Receive & File)       | <b>Chair: Burke</b>      | <b>Wallerstein/3131</b> |
| 17. | Investment Oversight Committee (Receive & File) | <b>Chair: Antonovich</b> | <b>O'Kelly/2828</b>     |
| 18. | Technology Committee (Receive & File)           | <b>Chair: Gonzales</b>   | <b>Liu/2105</b>         |

19. Mobile Source Air Pollution Reduction Review Committee (Receive & File) Board Liaison: Antonovich Hogo/3184
20. California Air Resources Board Monthly Report (Receive & File) Board Rep: Loveridge McDaniel/2500

**Staff Presentation/Board Discussion**

21. Continued Discussion Regarding Role of Electric Utilities in Plug-In Electric Vehicle Readiness (*No Written Material*)  Liu/2105

At the November 4, 2011 Board meeting, Board members raised concerns regarding the plug-in electric vehicle (PEV) charging infrastructure readiness and the current rate structure for electric vehicle offered by the utilities. In order to get direct information from the utilities, the Board directed staff to invite representatives from Southern California Edison, Los Angeles Department of Water and Power, and San Diego Gas and Electric to the December 2, 2011 Board meeting to address issues related to PEV charging infrastructure. These three utilities presented information on their PEV rate structures, infrastructure readiness activities, especially in communities with large projected PEV demand and areas with older electricity assets, such as transformers, and their efforts to incentivize infrastructure deployment. The Board requested that the utility representatives return to the January 6, 2012 Board meeting to continue answering questions on PEV rates, readiness activities and incentive programs. (No Committee Review)

22. Outreach Program for the 2012 Air Quality Management Plan Chang/3186

In conjunction with the development of the 2012 Air Quality Management Plan (AQMP), staff has prepared a 2012 AQMP Outreach Program to inform and engage a wide range of stakeholders on the requirements, approach, goals, and impacts of the 2012 AQMP. In addition to on-going AQMP Advisory Group and Scientific, Technical, Modeling & Peer Review Advisory Group meetings, and mandatory regional workshops/hearings, staff is proposing enhanced outreach to all stakeholders in the region. (No Committee Review, Informational Only)

23. Update on Air Quality-Related Health Studies Released During Year 2011 Ospital/2582

Staff will provide a summary of studies that focused on air pollution health effects.

## **OTHER BUSINESS**

### **24. Amend Salary Resolution and District Contracts to Incorporate Changes Required by AB 1344**

**Wiese/3460**

Effective January 1, 2012, AB 1344 requires that employment contracts between local agencies and agency staff and non-contractual provisions must provide for reimbursement to the agency in certain instances when an employee has committed a crime involving abuse of office or position. AB 1344 also limits cash settlements paid to contract employees if they are terminated. To comply with the requirements of AB 1344, staff is recommending changes to the language in contracts and the Salary Resolution provisions for executive staff. (No Committee Review)

## **PUBLIC COMMENT PERIOD – (Public Comment on Non-Agenda Items, Pursuant to Government Code Section 54954.3)**

## **BOARD MEMBER TRAVEL – (No Written Material)**

Board member travel reports have been filed with the Clerk of the Boards, and copies are available upon request.

## **CLOSED SESSION - (No Written Material)**

**Wiese/3460**

It is necessary for the Board to recess to closed session pursuant to Government Code section 54956.9(a) to confer with its counsel regarding pending litigation which has been initiated formally and to which the District is a party. The actions are:

- California Communities Against Toxics, et al. v. U.S. EPA, et al., U.S. Court of Appeals, Ninth Circuit, Case No. 11-71127;
- Pacific Merchant Shipping Association v. Goldstene, U.S. District Court, Eastern, Case No. 09-01151, U.S. Court of Appeals, Ninth Circuit, Case No. 09-17765;
- Southern California Gas Company v. SCAQMD, Los Angeles Superior Court Case No. BS122004;
- W. M. Barr & Company, Inc. v. SCAQMD, Los Angeles Superior Court Case No. BS127359;
- Association of Irrigated Residents v. EPA, U.S. Court of Appeals, Ninth Circuit, Case Nos. 09-71383 and 09-71404;
- Flashberg, et al. v. Dublin, et al., Los Angeles Superior Court Case No. BC463159;

- Our Children's Earth Foundation v. SCAQMD and Barry Wallerstein, Los Angeles Superior Court, Case No. BS133917; and
- Association of American Railroads, et al. v. South Coast Air Quality Management District, et al, U.S. District Court, Central Case No. CV06-1416 JFW (PLAx).

It is also necessary for the Board to recess to closed session under Government Code section 54956.9(c) to consider initiation of litigation (two cases).

In addition, it is also necessary for the Board to recess to closed session pursuant to Government Code section 54957.6 to confer regarding upcoming labor negotiations with:

- designated representatives regarding represented employee salaries and benefits or other mandatory subjects within the scope of representation [Negotiator: William Johnson; Represented Employees: Teamsters Local 911 & SCAQMD Professional Employees Association].

## **ADJOURNMENT**

### **\*\*\*PUBLIC COMMENTS\*\*\***

Members of the public are afforded an opportunity to speak on any listed item before or during consideration of that item. Please notify the Clerk of the Board, (909) 396-2500, if you wish to do so. All agendas are posted at AQMD Headquarters, 21865 Copley Drive, Diamond Bar, California, at least 72 hours in advance of the meeting. At the end of the agenda, an opportunity is also provided for the public to speak on any subject within the AQMD's authority. Speakers may be limited to three (3) minutes each.

Note that on items listed on the Consent Calendar and the balance of the agenda any motion, including action, can be taken (consideration is not limited to listed recommended actions). Additional matters can be added and action taken by two-thirds vote, or in the case of an emergency, by a majority vote. Matters raised under Public Comments may not be acted upon at that meeting other than as provided above.

Written comments will be accepted by the Board and made part of the record, provided 25 copies are presented to the Clerk of the Board. Electronic submittals to [cob@aqmd.gov](mailto:cob@aqmd.gov) of 10 pages or less including attachment, in MS WORD, plain or HTML format will also be accepted by the Board and made part of the record if received no later than 5:00 p.m., on the Tuesday prior to the Board meeting.



## ACRONYMS

AQIP = Air Quality Investment Program	NESHAPS = National Emission Standards for Hazardous Air Pollutants
AVR = Average Vehicle Ridership	NGV = Natural Gas Vehicle
BACT = Best Available Control Technology	NO <sub>x</sub> = Oxides of Nitrogen
Cal/EPA = California Environmental Protection Agency	NSPS = New Source Performance Standards
CARB = California Air Resources Board	NSR = New Source Review
CEMS = Continuous Emissions Monitoring Systems	PAMS = Photochemical Assessment Monitoring Stations
CEC = California Energy Commission	PAR = Proposed Amended Rule
CEQA = California Environmental Quality Act	PHEV = Plug-In Hybrid Electric Vehicle
CE-CERT = College of Engineering-Center for Environmental Research and Technology	PM <sub>10</sub> = Particulate Matter ≤ 10 microns
CNG = Compressed Natural Gas	PM <sub>2.5</sub> = Particulate Matter ≤ 2.5 microns
CO = Carbon Monoxide	PR = Proposed Rule
CTG = Control Techniques Guideline	RFP = Request for Proposals
DOE = Department of Energy	RFQ = Request for Quotations
EV = Electric Vehicle	SCAG = Southern California Association of Governments
FY = Fiscal Year	SIP = State Implementation Plan
GHG = Greenhouse Gas	SO <sub>x</sub> = Oxides of Sulfur
HRA = Health Risk Assessment	SOON = Surplus Off-Road Opt-In for NO <sub>x</sub>
IAIC = Interagency AQMP Implementation Committee	SULEV = Super Ultra Low Emission Vehicle
LEV = Low Emission Vehicle	TCM = Transportation Control Measure
LNG = Liquefied Natural Gas	ULEV = Ultra Low Emission Vehicle
MATES = Multiple Air Toxics Exposure Study	U.S. EPA = United States Environmental Protection Agency
MOU = Memorandum of Understanding	VOC = Volatile Organic Compound
MSERCs = Mobile Source Emission Reduction Credits	ZEV = Zero Emission Vehicle
MSRC = Mobile Source (Air Pollution Reduction) Review Committee	

BOARD MEETING DATE: January 6, 2012

AGENDA NO. 1

MINUTES: Governing Board Monthly Meeting

SYNOPSIS: Attached are the Minutes of the December 2, 2011 meeting.

**RECOMMENDED ACTION:**

Approve Minutes of the December 2, 2011 Board Meeting.

Saundra McDaniel,  
Clerk of the Boards

SM:dp

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**FRIDAY, DECEMBER 2, 2011**

Notice having been duly given, the regular meeting of the South Coast Air Quality Management District Board was held at District Headquarters, 21865 Copley Drive, Diamond Bar, California. Members present:

William A. Burke, Ed.D., Chairman  
Speaker of the Assembly Appointee

Mayor Dennis R. Yates, Vice Chairman  
Cities of San Bernardino County

Supervisor Michael D. Antonovich (arrived at 9:25 a.m.)  
County of Los Angeles

Supervisor John J. Benoit  
County of Riverside

Mayor Pro Tem Michael A. Cacciotti (arrived at 9:15 a.m.)  
Cities of Los Angeles County – Eastern Region

Ms. Jane W. Carney  
Senate Rules Committee Appointee

Supervisor Josie Gonzales  
County of San Bernardino

Mayor Ronald O. Loveridge  
Cities of Riverside County

Dr. Joseph K. Lyou  
Governor's Appointee

Councilmember Judith Mitchell  
Cities of Los Angeles County – Western Region

Supervisor Shawn Nelson (arrived at 9:10 a.m.)  
County of Orange

Councilmember Jan Perry (left at 11:35 a.m.)  
City of Los Angeles

Mayor Miguel A. Pulido  
Cities of Orange County

**CALL TO ORDER:** Chairman Burke called the meeting to order at 9:05 a.m.

- Pledge of Allegiance: Led by Mayor Pulido.
- Opening Comments

Councilwoman Mitchell. Announced that she attended a hearing on the EIR for the SCIG facility where concerns were raised about the impact to the businesses that will require relocation, and the potential harm to existing jobs at those businesses. She also noted that she, along with Mayor Loveridge, visited Southern California Edison's headquarters to hear about the challenges they face with meeting the renewable energy requirement for future clean air standards.

Supervisor Gonzales. Expressed appreciation for Dr. Wallerstein's efforts in including the city of San Bernardino in the pilot program of the AQMD Clean Communities Plan.

Dr. Lyou. Noted that he participated in a natural gas vehicle seminar on November 29, 2011 and expressed appreciation for Dr. Matt Miyasato's involvement on a panel where he discussed the need for near-zero emission and natural gas vehicles, both for the heavy-duty sector and passenger vehicles.

(Supervisor Nelson arrived at 9:10 a.m.)

Supervisor Gonzales. Thanked staff for their participation in the Clean Air Fair for Seniors held on Thursday, November 16, 2011 in San Bernardino. She heard very positive feedback from attendees regarding all aspects of the event including the lunch and exhibit booths. She is optimistic for the future opportunity for partnership with the community that this outreach paved the way for.

Chairman Burke commented that providing outreach to various communities is essential and noted his pleasure with serving this often neglected population in this way.

(Councilman Cacciotti arrived at 9:15 a.m.)

Supervisor Benoit noted that the next Clean Air Fair for Seniors will be held on Wednesday, December 7, 2011 in the Coachella Valley where they are expecting a large turnout and another successful event.

- Recognize Employees with Twenty-Five, Thirty and Thirty-Five Years of Service

Bill Johnson, Assistant DEO of Administrative and Human Resources, read the names of the employees as follows:

Twenty-Five Years: Melesio Hernandez; Katherine Kasza; Marian Coleman; Jeffrey Short; Rafael Reynosa; Dairo Moody; Hiawatha Norris; Rafael Villa; Beverly Caldwell; Norma Martinez; Ranji George; Peter Voutlucka; Jay Chen; Kien Huynh; Raman Patel; Marco Polo; Judith Hwa; David De Boer and Donald Jacobs.

Thirty Years: Sebastian Vergara; Paul Forouzan; Bobby Mendoza; Michal Haynes; George Diez; Steven Barbosa; Gordon Mize; Marilyn Kwan; Tai-Ching Hu; Chung Liu; Edward Eckerle; Helen Quintana; Audelia Gonzales; Steven Jones; James Clouet; John McLaughlin; Sharon Fujimoto; Hugh Heney; Louis Roberto Jr; Belinda Wan; Edwin Pupka and David Jones.

Thirty-Five Years: Ana Ponce; Linda Guevara; Martha Meyers; Zeyda Turner and Darlene Valenzuela.

(Supervisor Antonovich arrived at 9:25 a.m.)

Chairman Burke thanked the employees, on behalf of the Board, for their dedicated service to the AQMD and extended a special honor to those with thirty-five years of service.

- Swearing In of Reappointed Board Member Miguel A. Pulido

Chairman Burke administered the oath of office to Mayor Pulido, who was reappointed to the Board by Cities of Orange County, for a term ending January 15, 2016.

Dr. Barry R. Wallerstein, Executive Officer. 1) Noted that an errata sheet containing a correction to the committee action for Item No. 10 was distributed to Board members and copies made available to the public. 2) Requested that Item No. 17, pertaining to the designation of Environmental Justice Areas for the Coachella Valley pursuant to AB 1318, be continued to the January 6, 2012 Board Meeting. 3) Asked that the Board withhold Item No. 25 for staff to show videos pertaining to zero- and near-zero emission technology.

## **CONSENT CALENDAR**

1. Approve Minutes of November 4, 2011 Board Meeting and Minutes of November 9, 2011 Special Board Meeting

### **Budget/Fiscal Impact**

2. Execute Contracts for Legislative Representation in Sacramento, California

3. Execute Contracts for Implementation of U.S. EPA's Targeted Air Shed Grant Program
4. Amend Contract to Provide Technical Support for AQMD PAMS Upper Air Meteorological Monitoring Network
5. Approve Resources to Conduct Fourth Multiple Air Toxics Exposure Study and Issue RFQ for Purchase of Air Toxics Samplers
6. Issue RFP for Development of New Annual Emissions Reporting System
7. Issue RFP for CEQA Documentation Support to Prepare Program EIR for 2012 AQMP and Other CEQA-Related Tasks
8. Recognize Funding from U.S. EPA to Conduct Pollution Prevention Project and Approve Issuance of Sole-Source Contract
9. Approve Diesel Freight Locomotive Projects, Approve Additional Heavy-Duty Diesel Trucks to Eligible List of Trucks under "Year 2" Proposition 1B-Goods Movement Program, and Amend Contracts
10. Issue RFP to Solicit Bids from Qualified Vendors to Purchase Global Positioning System Units and Transfer Funds to Carl Moyer Voucher Incentive Program

**An errata sheet containing an amendment to the committee action text was provided to the Board Members and copies made available to the public.**

11. Issue RFP for Zero Emissions Goods Movement Demonstration Projects
12. Renew AQMD's Membership in CaFCP for Calendar Year 2012, Provide Office Space for CaFCP, and Receive and File California Fuel Cell Partnership Steering Team Meeting Summary and Quarterly Update
13. Transfer Funds from Clean Fuels Fund to Advanced Technology Goods Movement Fund to Conduct Plug-in Hybrid Truck Project
14. Approve MOU with CAPCOA regarding CalEEMod Model and its Transfer of Intellectual Property Rights, Future Administration, and Upkeep

15. Amend Contract with Legal Counsel to Provide Representation in Labor and Employment Matters
16. Approve Contract Awards & Modifications under Multiple Project Categories as Part of FY 2010-11 AB 2766 Discretionary Fund Work Program
17. Designation of Environmental Justice Areas for Coachella Valley Pursuant to AB 1318

**Items 18 through 25 - Information Only/Receive and File**

18. Legislative & Public Affairs Report
19. Hearing Board Report
20. Civil Filings and Civil Penalties Report
21. Lead Agency Projects and Environmental Documents Received by AQMD
22. Rule and Control Measure Forecast
23. Annual Audited Financial Statements for FY Ended June 30, 2011
24. Status Report on Major Projects for Information Management Scheduled to Start During First Six Months of FY 2011-12
25. Zero and Near-Zero Emission Technologies and Energy Quarterly Report of Activities Related to Powering Future Vision

Supervisor Gonzales announced her abstention on Item No. 1 because she was not in attendance at the November 9, 2011 Special Board Meeting and Item No. 16 because of campaign contributions from Robertson's Ready Mix. Supervisor Benoit announced his abstention on Item No. 16 because of campaign contributions from Robertson's Ready Mix. Dr. Lyou announced his abstention on Item No. 8 because Southern California Edison is a potential source of income to him; Item No. 9 because California Cartage Company is a potential source of income to him; Item No. 12 because the CaFCP is a potential source of income to him; and Item No. 16 because the Velocity Vehicle Group, Los Angeles Freightliner and Silver State Truck and Trailer are potential sources of income to him.

Agenda Item No. 12 was withheld for discussion. Agenda Item No. 17 was continued to the January 6, 2012 meeting per staff's recommendation.

MOVED BY YATES, SECONDED BY NELSON, AGENDA ITEMS 1 THROUGH 11, 13 THROUGH 16 AND 18 THROUGH 25, APPROVED AS RECOMMENDED, WITH THE MODIFICATION TO ITEM NO. 10 AS STATED IN THE ERRATA SHEET AND SET FORTH BELOW, BY THE FOLLOWING VOTE:

AYES: Antonovich, Benoit (*except Item #16*), Burke, Carney, Cacciotti, Gonzales (*except Items #1 and #16*), Loveridge, Lyou (*except Items #8, #9 and #16*), Mitchell, Nelson, Perry, Pulido and Yates.

NOES: None.

ABSTAIN: Benoit (*Item #16 only*), Gonzales (*Items #1 and #16 only*) and Lyou (*Items #8, #9 and #16 only*).

ABSENT: None.

**Modification to Item #10:**

COMMITTEE: Technology, November 18, 2011. ~~Recommended for Approval~~ Less than a quorum was present; the Committee Members concurred that this item be approved by the Board.

26. Items Deferred from Consent Calendar

12. Renew AQMD's Membership in CaFCP for Calendar Year 2012, Provide Office Space for CaFCP, and Receive and File California Fuel Cell Partnership Steering Team Meeting Summary and Quarterly Update

Dr. Lyou left the room during the discussion and the vote on Item 12.

In response to Supervisor Gonzales' request, Dr. Matt Miyasato, Assistant DEO/Science and Technology Advancement, highlighted the major accomplishments of the CaFCP in 2011, including: 1) the demonstration of fuel cell vehicles and support of fueling infrastructure;



2) provided support for early market communities with fuel cell vehicles; and 3) developed a vision for the Partnership for 2013-2016.

MOVED BY YATES, SECONDED BY CACCIOTTI,  
AGENDA ITEM 12 APPROVED, AS  
RECOMMENDED BY STAFF, BY THE FOLLOWING  
VOTE:

AYES: Antonovich, Benoit, Burke, Cacciotti,  
Carney, Gonzales, Loveridge, Mitchell,  
Nelson, Perry and Yates.

ABSTAIN: Lyou.

NOES: None.

ABSENT: Pulido.

A subsequent vote was taken on Item No. 15 after Supervisor Benoit announced his abstention on Item No. 15 because of a campaign contribution from Best, Best & Krieger.

15. Amend Contract with Legal Counsel to Provide Representation in Labor and Employment Matters

DULY MOVED AND SECONDED, AGENDA ITEM 15  
APPROVED AS RECOMMENDED BY STAFF, BY  
THE FOLLOWING VOTE:

AYES: Antonovich, Burke, Cacciotti, Carney,  
Gonzales, Loveridge, Lyou, Mitchell,  
Nelson, Perry and Yates.

NOES: None.

ABSTAIN: Benoit.

ABSENT: Pulido.

25. Zero and Near-Zero Emission Technologies and Energy Quarterly Report of Activities Related to Powering Future Vision

Peter Greenwald, Senior Policy Advisor, introduced two videos that depicted the electric truck lane technology that Siemens has developed.

Supervisor Antonovich asked about the cost of operating a natural gas truck versus an electric vehicle due to the installation and maintenance of the electric infrastructure, and noted the unpleasant aesthetics associated with the overhead wires.

Dr. Wallerstein noted that staff continues to investigate multiple potential zero-emission technologies in order to meet future federal clean air standards. He clarified that the trucks could be developed to run as natural gas-hybrids when detached from the overhead catenary. He addressed the financial implication of the infrastructure development, noting that it is estimated to cost a million and a half dollars per mile to build the catenaries.

Supervisor Antonovich added that rail systems should be utilized more to transport goods to the outlying counties and across the nation, which would remove trucks from the freeways and help clean the air while using technology and infrastructure that are already in place.

Mr. Greenwald commented that there will always be a need for a substantial amount of truck transport within the region and this technology could be very useful in transporting the containers between the various ports and distribution sites.

Mayor Yates expressed concerns with the availability of electricity from Edison as well as the likelihood of the companies being financially motivated to complete the projects to profit from the electricity usage.

Dr. Wallerstein replied that Siemen's has indicated that they would build and maintain the entire system as well as supply the electricity through acceptable means, including renewable sources. He added that whoever supplies the electricity would be subject to PUC regulations, Energy Commission requirements and permitting requirements.

Supervisor Gonzales commented that this technology seems promising enough to continue to explore its potential future applications.

Councilwoman Mitchell expressed excitement for the potential applications of the technology, including a dedicated freight corridor on the I-710 and along the SR-60, as well as to transport goods between the port and the near-dock rail lines that are currently being constructed at both the ICTF and SCIG facilities. She noted that the aesthetics may not be of concern in those areas and the potential benefits to surrounding residents would be great.

Councilwoman Perry asked if Siemens has considered doing a demonstration project and suggested that the Alameda goods movement corridor would be ideal and they could hopefully track the emissions

reductions which would further illustrate the worth of the investment in the infrastructure.

Mr. Greenwald responded that staff has had initial discussions with Siemens and LA Metro about a potential demonstration project on possibly the I-710 or Terminal Island freeway corridors. He added that Siemens has indicated that they are giving consideration to ways to minimize the aesthetic impact of the overhead wires.

Mayor Pulido noted that through his involvement with a potential light rail project in Santa Ana, he has learned about technologies that allow for the lines to be underground and convey or transfer electricity to the vehicles. He stressed the importance of reaching a balance of achieving clean air with any negative effects of the electric infrastructure.

Chairman Burke noted that while the aesthetics are of concern in many locations, electric truck lanes seem like a much-needed solution in the Alameda corridor. He raised the concern that getting truckers at the ports to switch to CNG fueled vehicles was a big challenge, and asking them to make another change to accommodate this technology will be difficult. He asked whether or not a new truck must be purchased to utilize the overhead wires or if a unit could be added to an existing vehicle.

Mr. Greenwald confirmed that existing truck cabs can be fitted with a pantograph that connects to the overhead catenary wire.

Mayor Loveridge urged that consideration be given for the large costs and noted the need to address policy questions before decisions are made about moving forward with these transportation projects.

Chairman Burke raised a concern regarding the ability to travel within the region in the event of a natural disaster or other event that currently burdens roads and, in the future, could possibly hamper travel because of power outages and the lack of availability to use electric-powered transportation methods.

## **BOARD CALENDAR**

- 27. Administrative Committee
- 28. Investment Oversight Committee
- 29. Legislative Committee

- 30. Mobile Source Committee
- 31. Stationary Source Committee
- 32. Technology Committee
- 33. Mobile Source Air Pollution Reduction Review Committee
- 34. California Air Resources Board Monthly Report
- 35. Annual Meeting of the Brain & Lung Tumor and Air Pollution Foundation

MOVED BY CARNEY, SECONDED BY MITCHELL,  
AGENDA ITEMS 27 THROUGH 35 APPROVED AS  
RECOMMENDED: 1) RECEIVING AND FILING THE  
BOARD COMMITTEES, MSRC, CARB AND BRAIN  
& LUNG TUMOR AND AIR POLLUTION  
FOUNDATION MEETING REPORTS;  
2) APPROVING CONTRACTS WITH GONZALEZ,  
QUINTANA & HUNTER AND JOE A. GONSALVES &  
SON FOR LEGISLATIVE REPRESENTATION IN  
SACRAMENTO, CALIFORNIA FOR CALENDAR  
YEAR 2012; AND 3) RATIFYING THE FOUNDATION  
DISBURSEMENTS, BY THE FOLLOWING VOTE:

AYES: Antonovich, Benoit, Burke, Cacciotti,  
Carney, Gonzales, Loveridge, Lyou,  
Mitchell, Nelson and Yates.

NOES: None.

ABSENT: Perry and Pulido.

**Staff Presentation/Board Discussion**

- 36. Role of Electric Utilities in Plug-In Electric Vehicle Readiness

Dr. Miyasato gave a presentation highlighting the District's efforts to promote plug-in electric vehicles, including demonstration activities and infrastructure planning, and noted that representatives from the local utility agencies were present to discuss plug-in electric utility readiness.

Joel Pointon, Manager of Electric Transportation, San Diego Gas & Electric, gave an overview of their EV readiness program, indicating that the primary goal is to support the growth of electric transportation while ensuring the safe, reliable and efficient integration with the grid. He explained their education outreach which includes: 1) workshops for the community, multi-unit residential customers, and for businesses regarding workplace charging; 2) the distribution of information about the EV time-of-use rates through customer billing statements and local car dealerships that sell plug-in electric vehicles; and 3) providing resources on their website and in partnership with the website *goelectricdrive.com*.

Supervisor Benoit referenced a slide that showed the distribution of households with EVs and asked if there are concerns about the demand in any particular neighborhood exceeding the transformer capabilities.

Mr. Pointon confirmed that they have been able to successfully handle the additional loads caused by the addition of EVs in local neighborhoods, and they will continue to monitor, through the use of GIS mapping, and evaluate the future need for upgrades. They are also conducting rate studies which help to provide a better understanding of charging habits and the impact of usage during various times of the day and night.

Supervisor Nelson inquired about the effect of increased demand on residential customer rates.

Mr. Pointon replied that since a majority of EV owners are charging during the lowest utilization time period, between midnight and 5 a.m., they are increasing the efficiency of the system which levels out the demand and minimizes the impact to other citizens.

Ed Kjaer, Southern California Edison, detailed their six-part plug-in vehicle readiness program which includes infrastructure impact, operations planning, stakeholder engagement, customer outreach, business planning and policy planning. He identified one of the main focuses of education is to let consumers know that they have rate choices based on when they choose to fuel and what type of metering is in place. They provide a call center resource through (800) 4EV-INFO, as well as on their website, [www.sce.com/electricvehicle](http://www.sce.com/electricvehicle), which allows residents to connect to home fuel advisors and access other resources including a rate assistant that provides recommendations for the best rate based on their particular situation. He noted that in addition to resources for homeowners, the website provides information targeted at businesses, car dealers, installers and local cities. He confirmed their commitment to continue to educate stakeholders regarding the future of transportation and streamline the process for consumers.

Marvin Moon, LADWP, gave an overview of the agency's EV program goals which are to provide customer efficiency through reduced fuel costs, gain environmental benefits with the reduction of GHG, and to help grow a green

economy. He also highlighted their EV readiness strategy which focuses on customer support and education, as well as continued work on developing and improving charging infrastructure. He noted the various rate structures that are available to EV owners as well as an incentive and rebate available to them upon installation of a separate meter or TOU sub-meter.

Mayor Loveridge asked the speakers to give a rough comparison of the cost for a gallon of gasoline versus electricity; how effective these vehicles will be in terms of reducing air quality impacts; and if there is still a demand for these vehicles in the market.

Mr. Kjaer responded that, for a gallon of gas equivalent, the cost of electricity is about twenty-five percent of that of gas. He noted the importance of educating consumers about the effectiveness of emerging technology as well as offering incentives to purchase EVs.

In response to Mayor Loveridge's question about the importance of electric vehicles to the achievement of air quality goals, Dr. Wallerstein noted that staff believes that the use of both light-duty and heavy-duty EVs will be critically important to reach the magnitude of NOx reductions that are needed to meet future particulate and ozone standards.

Mr. Moon added that the current offering of vehicles appeals to a much wider consumer base than previous attempts at alternatively-fueled vehicle promotions. He listed increased education and more local infrastructure as key to gaining consumer confidence in EVs.

Supervisor Antonovich raised concern about the reliability of charging infrastructure, especially during bad weather events, and about the economic burden the installation and maintenance of the vehicles and infrastructure would have on taxpayers.

Mr. Kjaer replied that in their obligation to serve as a public utility, they must work with the industry to support whatever technology is being purchased by consumers. He noted their role in facing the nation's significant energy security and air quality challenges and urged the use of various alternative fuels to aid in the solution. He added that their system has excess capacity and plug-in vehicles provide an opportunity to improve the efficiency of the system.

Supervisor Gonzales asked for more details about Edison's community outreach effort and suggested that the utilities interact with city and county planning commissions and economic development associations to ensure that they are keeping alternative transportation options in mind when approving projects, as well as connecting with the Building Industry Association, the business community and organizations such as the California League of Cities for further outreach to residents regarding alternatively-fueled vehicles.

Mr. Kjaer directed the Board Members to a document that they were provided that detailed the readiness programs outreach efforts and work with various partnerships and stakeholders.

Chairman Burke continued the discussion on this item to the January 6, 2012 meeting.

37. SCAG Draft 2012 Regional Transportation Plan/Sustainable Communities Strategy

Dr. Wallerstein explained that as a result of the inquiries by the Board regarding the 2012 Regional Transportation Plan and Sustainable Communities Strategy, representatives from SCAG were present to provide an update on the development process.

Pam O'Connor, President of SCAG, explained that the RTP/SCS process has brought together the business, environmental, labor, non-profit and public sectors to develop a plan that focuses on transportation investment and land use planning; provides more investment to transportation alternatives such as transit and active transportation; and also maximizes the economic impact of goods movement by supporting low- or zero-emission technologies and preserving existing transportation systems as well as creating jobs to aid the economic recovery in the region.

(Councilwoman Perry left at 11:35 a.m.)

Hassan Ikhata, SCAG Executive Director, highlighted the transportation issues the region faces including the need for increased transit options, an extensive east-west corridor and other modes by which to accommodate goods movement. The RTP/SCS will assist in meeting the region's air quality requirements and reducing GHG emissions as well as making the way for healthier communities and reduced healthcare costs.

Dr. Lyou expressed concern with whether the RTP will actually result in enough emission reductions for the region to meet state and federal air regulations. He also noted the urgent need for near-term plans for a zero-emission container movement system at the ports to begin to address the health impacts to the surrounding neighborhoods.

Mr. Ikhata confirmed that the transportation sector plans to work hard towards reaching attainment, but the plan was developed with funding limitations in mind.

Councilwoman Mitchell commented on the connection between the RTP and AQMP and the need for them to be coordinated in order to achieve the goals they set. She expressed her appreciation for having been a part of the RTP/SCS

process and recognized all of the effort that stakeholders made during the development.

Chairman Burke recognized the opportunity that lies ahead and the need for continued collaboration between the agencies to make the plan a reality.

Mayor Loveridge commented that the combination of the RTP and SCS provides an opportunity for the plan to effect real change in Southern California, which has not been the case in the past.

Supervisor Gonzales stressed the need for change at the state level because in the midst of planning for new transportation systems, the funds to simply maintain the existing infrastructure and transportation systems are not in place.

**PUBLIC COMMENT PERIOD** – (Public Comment on Non-Agenda Items, Pursuant to Government Code Section 54954.3)

There was no public comment on non-agenda items.

**CLOSED SESSION**

The Board recessed to closed session at 12:00 p.m., pursuant to Government Code section 54956.9(a) to confer with its counsel regarding pending litigation which has been initiated formally and to which the District is a party, as follows:

- Association of American Railroads, et al. v. South Coast Air Quality Management District, et al, U.S. District Court, Central, Case No. CV06-1416 JFW (PLAx).

General Counsel Kurt Wiese announced that there were no reportable actions taken in closed session.

**ADJOURNMENT**

There being no further business, the meeting was adjourned by Kurt Wiese at 12:20 p.m.



The foregoing is a true statement of the proceedings held by the South Coast Air Quality Management District Board on December 2, 2011.

Respectfully Submitted,

Denise Pupo  
Senior Deputy Clerk

Date Minutes Approved: \_\_\_\_\_

\_\_\_\_\_  
Dr. William A. Burke, Chairman

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#### ACRONYMS

AQMP = Air Quality Management Plan  
CAPCOA = California Air Pollution Control Officers' Association  
CARB = California Air Resources Board  
CEQA = California Environmental Quality Act  
EIR = Environmental Impact Report  
FY = Fiscal Year  
GHG = Greenhouse Gas  
ICTF = Intermodal Container Transfer Facility  
LADWP = Los Angeles Department of Water and Power  
NOx = Oxides of Nitrogen  
PAMS = Photochemical Assessment Monitoring Station  
PUC = Public Utilities Commission  
RFP = Request for Proposals  
RFQ = Request for Quotations  
RTP = Regional Transportation Plan  
SANBAG = San Bernardino County Associated Governments  
SCAG = Southern California Association of Governments  
SCIG = Southern California International Gateway  
SCS = Sustainable Communities Strategy  
TOU = Time of Use  
U.S. EPA = United States Environmental Protection Agency

BOARD MEETING DATE: January 6, 2012

AGENDA NO. 2

**PROPOSAL:** Set Public Hearing February 3, 2012 to Receive Public Input on Executive Officer's Priority Goals for FY 2012-13

**SYNOPSIS:** A set of priority goals for the FY 2012-13 Budget has been developed. The Executive Officer wishes to receive public and Board Member input on these priority goals as they serve as the foundation of AQMD's Work Program.

**RECOMMENDED ACTION:**

Set a public hearing on February 3, 2012 to receive public input on the Executive Officer's Priority Goals for FY 2012-13.

Barry R. Wallerstein, D.Env.  
Executive Officer

BRW/drw

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**Attachment**

- Draft Goals/Objectives for FY 2012-13

**DRAFT - January 5, 2012**

**SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT**

**DRAFT GOALS/OBJECTIVES FOR FY 2012-2013**

**MISSION STATEMENT**

“The South Coast AQMD believes all residents have a right to live and work in an environment of clean air and is committed to undertaking all necessary steps to protect public health from air pollution with sensitivity to the impacts of its actions on the community, public agencies and businesses.”

**GOALS**

- I. Ensure expeditious progress toward meeting clean air standards and protecting public health.
- II. Enhance public education and ensure equitable treatment for all communities.
- III. Operate efficiently and in a manner sensitive to public agencies, businesses, the public and AQMD staff.
- IV. Operate a “Clean and Green” program to promote and support sustainable practice strategies.

**PRIORITY PROJECTS**

District programs have many important objectives, but AQMD wishes to highlight the following three priority projects for 2012 which are particularly important to achieving the District’s mission and goals:

1. Continue demonstration/deployment of a zero-emission cargo container movement system.
2. Develop modified or new permitting programs to meet the region’s evolving air quality and economic needs, including incentivizing the use of new, lower emitting technologies, manufacture of such clean technologies within the region, addressing availability issues associated with emission offsets for new or modified sources, and reducing administrative burdens while providing equivalent or better protection of public health.
3. Initiate an overhaul of AQMD’s information technology systems, including the use of state-of-the-art software, hardware, and communications systems to improve overall agency effectiveness and efficiency.

## PROGRAM OBJECTIVES

### I. ENSURE EXPEDITIOUS PROGRESS TOWARD MEETING CLEAN AIR STANDARDS AND PROTECTING PUBLIC HEALTH

- A. Develop a comprehensive program to achieve emission reductions to meet federal and state clean air standards by:
- 1) implementing the Air Quality Management Plan (AQMP) that seeks equitable and expeditious reduction of emissions from all sources to meet clean air targets and protect public health,
  - 2) protecting the region's economy by working with stakeholders to develop means of complying with federal air quality attainment requirements in ways that (a) promote local clean technology businesses, (b) minimize compliance burdens by seeking coordinated federal, state and local energy, climate and transportation programs that provide air quality co-benefits, and (c) avoid potential federal sanctions for failure to meet federal air quality requirements,
  - 3) improving data and understanding of toxic emissions, through MATES IV and other study results, current peer reviewed literature, and other controls and their associated public health benefits, and reducing emissions of toxic air contaminants, and implementing the Clean Communities Plan adopted in 2010 which takes a community-based approach to addressing cumulative impacts, nuisance issues, and exposure to air toxic emissions,
  - 4) seeking legislative amendments to provide the necessary authority and funding to implement measures in the AQMP,
  - 5) providing input to state and federal regulatory activities to seek the greatest emission reductions as early as possible, while being sensitive to the economy,
  - 6) assisting the federal, multi-state, state and local governments in implementing federal and state greenhouse gas reporting, SB 375 and AB 32, assisting state and local governments with AB 118, and continuing in other efforts to implement AQMD policies to reduce global warming gases,
  - 7) seeking a fair share of more than \$1 billion in air quality improvement funds, and ensuring inclusion of air quality considerations for the \$2 billion Proposition 1B Transportation Corridor Infrastructure Funds, to achieve emissions reductions for this region,

- 8) seeking policy considerations and funding for transportation plans and infrastructure projects that will support attainment of long-term air quality needs by enabling and utilizing the cleanest technologies,
  - 9) seeking additional emissions reductions for this region by ensuring inclusion of air quality considerations in policy, and in allocation of federal transportation funds through the Surface Transportation Reauthorization legislation, including the Congestion Management & Air Quality program, sponsoring legislation to require maximum feasible controls for ships and locomotives,
  - 10) working closely with SCAG and local governments to provide input to SCAG's Sustainable Communities Strategy (SCS) and the Regional Transportation Plan (RTP) in a manner consistent with air quality objectives,
  - 11) implementing the Board-approved climate change policy and Air Quality-related Energy Policy thereby maximizing synergies with programs to reduce greenhouse gases, toxics and smog-forming emissions,
  - 12) seeking greater support for local authority and decision-making in the implementation of local, state and federal programs which impact air quality or climate change, and
  - 13) working jointly with public and private partners to effectuate the design, development and deployment of clean, renewable energy to supply the greater electricity needs of Southern California, as needed to meet the national, health-based, clean air standards.
- B. Ensure compliance through a program that includes:
- 1) Monitoring for the presence/identification and/or quantification of air pollutants in the ambient air, including any new U.S. EPA requirements for near-freeway monitoring of NO<sub>2</sub>, and stationary source-oriented monitoring for lead,
  - 2) Maintaining an inventory, monitoring and testing air pollutant emissions from stationary sources,
  - 3) processing permit applications for stationary sources in a manner to:
    - a) prioritize processing of permit applications for installation and implementation of air pollution control measures to reduce emissions,
    - b) expeditiously issue all equipment-based and facility permits and permit renewals for equipment and facilities complying with all applicable air quality rules and regulations,

- c) ensure all applicable requirements for public notification and public comments are met prior to permit issuance,
  - d) impose enforceable conditions on permits to ensure continued compliance and compliance with all air-quality related environmental and public health rules and regulations, and
  - e) streamline application processing and expeditiously approve or deny (as appropriate) permits, plans and emission reduction credits to improve efficiency and customer service at AQMD.
- 4) using community-based and/or industry-specific deployment of field personnel for:
  - a) equipment and facility inspections, timely compliance determinations and prompt remediation of non-compliance, and
  - b) prompt resolution of community air quality complaints.
- 5) training field personnel to ensure consistent and fair field enforcement practices and good customer service,
- 6) implementing programs to inform the public and regulated sources of air quality and regulatory compliance requirements,
- 7) assisting regulated sources in identifying and meeting their air quality permitting and compliance needs,
- 8) implementing programs to better inform local government, agencies and schools regarding compatible land uses, and
- 9) using civil penalties and criminal referrals strategically to incentivize compliance and to deter non-compliance.
- C. Work with stakeholders to develop and implement programs to enable construction and modification of stationary sources in areas where the supply of emission offsets is limited, consistent with AQMD's clean air objectives.
- D. Work with the United States Congress, California Legislature, U.S. Environmental Protection Agency (EPA), California Air Resources Board (CARB), and other federal, state, regional and local agencies and authorities to obtain a proportionate fair share of funding for essential programs to reduce emissions.
- E. Work with all stakeholders and decision-makers to protect, sustain and augment state and federal funding as well as local implementation and local control, for air quality programs administered by AQMD for public health protection.

- F. Continue partnering with utilities, faith communities, and educational groups and institutions to embrace and involve all stakeholders as partners in reducing air pollution by developing and implementing programs that are technologically advanced, more energy efficient and less dependent on polluting fuels, cost-effective, and sensitive to business, environmental, and community interests. Stakeholders include, but are not limited to, local, regional, state and federal governments, small business owners/operators, other members of the regulated community, school representatives, environmental and community leaders, students, and residents.
- G. Promote programs to reduce mobile source emissions and to reduce the exposure to mobile source emissions by:
- 1) reducing emissions from on-road and off-road vehicles,
  - 2) supporting the increased use of clean-fuel, and other near zero- and zero-emission vehicles, engines, and technologies,
  - 3) assisting employers, local governments, including Clean Cities, and the private sector in reducing mobile source emissions,
  - 4) providing guidance and technical assistance to local governments to ensure AB 2766 funds are utilized for cost-effective and quantifiable mobile emission reduction programs,
  - 5) working with EPA, CARB, and other federal, state, regional and local agencies and authorities to encourage and support efforts to reduce emissions from primarily federal and state sources, such as ships, trains, planes, and off-road engines. Seek/support legislative amendments necessary to reduce emissions from marine vessels and locomotives, as required by the AQMP to attain clean air standards.
  - 6) seeking to obtain additional legal authority over mobile sources, when necessary, to reduce emission control burdens that will otherwise be placed on stationary sources or as necessary to attain federal and/or state standards,
  - 7) developing indirect source programs as authorized by state law to reduce mobile source emissions,
  - 8) partnering with state and federal agencies in developing engine and vehicle certification and retrofit verification regulations to maximize criteria, toxic and GHG pollutant emission reduction benefits,

- 9) achieving maximum emission reductions and cost-leveraging through state programs, such as CARB's Carl Moyer Program, Proposition 1B, and AQIP, and California Energy Commission's (CEC's) AB 118 and PIER,
- 10) achieving maximum emission reductions and cost-leveraging through federal programs, especially Department of Energy (DOE) Clean Cities, DOE American Recovery and Reinvestment Act and EPA Diesel Emission Reduction Act Programs, and
- 11) working with agencies, schools, and decision makers to site sensitive activities away from freeways, highways, and corridors to minimize exposure to mobile source emissions.

H. Facilitate development of new air quality-enhancing technologies by:

- 1) encouraging public/private partnerships to develop new and innovative technologies,
- 2) reducing financial, bureaucratic, regulatory and technological barriers that limit the use of clean fuels and new lower-emitting technologies,
- 3) promoting development of clean renewable and clean and efficient alternative electrical energy generation technologies,
- 4) supporting projects to reduce emissions from surface coatings and solvents,
- 5) working with all stakeholders to accomplish advanced technology goals, such as use of hydrogen fuel, fuel cells, plug-in hybrids, and reviewing existing regulatory requirements to minimize barriers to the development and commercialization of new lower-emitting and more efficient technologies, and
- 6) conducting demonstration projects in reducing emissions from off-road mobile sources, including construction and railroad-related equipment.

I. Continue to implement the Chairman's Clean Port Initiative, including taking the following actions:

- 1) adopting AQMD port backstop rules,
- 2) implementing enhanced port / community air monitoring program,
- 3) arranging and participating in port conferences and other actions to coordinate control actions with Asian ports,



- 4) monitoring and assisting with implementation of San Pedro Bay Ports Clean Air Action Plan,
  - 5) monitoring and commenting on CEQA / NEPA documents for port projects,
  - 6) working with the Ports, CARB and others to incentivize the replacement of older trucks and port equipment with newer, cleaner and alternative fueled technologies, and
  - 7) deploying high-performance air pollution filtration systems in classrooms at port community schools.
- J. Further develop, demonstrate, incentivize, and promote electric vehicles and plug-in electric vehicles, by
- 1) hosting public workshops on streamlining and supporting electric vehicle charging infrastructure,
  - 2) securing federal, state and local incentives for end-users to purchase and lease electric vehicles and plug-in electric vehicles and offset charging infrastructure costs,
  - 3) supporting City and Neighborhood electric vehicles for municipalities, counties and other organizations where the technology has the ability to displace conventional vehicle trips,
  - 4) continuing support for public infrastructure rollout,
  - 5) maintaining efforts to develop and demonstrate medium and heavy-duty plug-in electric vehicles, and
  - 6) continue collaboration with the SoCalEV Coalition to engage regional support for electric vehicles and plug-in electric vehicles, infrastructure and policies.
- K. Continue to enhance public health protection by offering additional health services to impacted communities using primarily penalties, settlement funds and supplemental environmental projects.
- L. Secure maximum levels of funding and promote the priority use of air quality criteria in allocating State bond fund resources for emission reduction projects in Southern California.

## II. ENHANCE PUBLIC EDUCATION AND ENSURE EQUITABLE TREATMENT FOR ALL COMMUNITIES

- A. Continue to implement AQMD's Environmental Justice policies and programs, and other initiatives directed at equitable treatment for all communities and sensitive populations through:
- 1) individual endeavors and a series of town hall meetings throughout AQMD's four-county region and mobile Board meetings in impacted areas and evaluate additional mechanisms to increase public participation to receive input from the public about air quality related community issues,
  - 2) actively seeking to increase the public's participation in, and understanding of, policies under development, including increased translation of materials into multiple languages, and meetings in areas where community members can more easily participate,
  - 3) working with community groups to build partnerships on air quality issues, and addressing community-level and resident concerns and issues,
  - 4) distributing incentive funding in a manner that emphasizes communities most impacted by air pollution and low income and minority communities,
  - 5) hosting quarterly meetings of the AQMD Environmental Justice Advisory Group,
  - 6) actively providing comments on feasible methods and technologies to mitigate significant air quality impacts for new CEQA and NEPA projects in environmental justice areas, and
  - 7) continuing to implement Board-adopted Environmental Justice initiatives and work plan commitments, including Clean Communities Plan.
- B. Continue to enhance AQMD's website as a two-way communication tool with up-to-date data, technical information, air quality-related guidance and advice, and educational videos and literature for communities' and business' interests. Implement a web-based communication tool, including database management, for electronic outreach and education. Utilize social media for ongoing up-to-date air quality information and outreach.
- C. Continue to promote and expand the AQMD's School Air Quality Flag program as one of the tools for protecting children's health, as well as educating students about air quality.
- D. Continue proactive media relations activities to increase media and public awareness of AQMD's programs and policies that support community/business

efforts that create awareness and educate the public and businesses about the harmful impacts of air pollution from mobile sources and other forms of emissions on public health, animals, wildlife, and the environment as a whole.

- E. Enhance green job workforce via the education/training element of Chairman's Helping Hand Initiative.
- F. Host five High School Conferences that will provide area students with information on air quality and healthy living.
- G. Conduct ethnic Community Outreach through Chinese-American, Korean-American, Latino-American, African-American, Japanese-American events and social media to improve community awareness of AQMD.

### III. OPERATE EFFICIENTLY AND IN A MANNER SENSITIVE TO PUBLIC AGENCIES, BUSINESSES, THE PUBLIC AND AQMD STAFF


- A. Administer an efficient and cost-effective organization to expeditiously clean the air while being sensitive to the operational needs of the public agencies and businesses operating in AQMD by seeking innovative partnerships and programs to ensure compliance and to minimize compliance costs.
- B. Develop a sound budget, reduce fee complexity, adjust fee schedules to recover AQMD's costs, as appropriate, and target agency resources to air quality-related environmental and economic priorities.
- C. Continue to investigate and implement technology and other means to streamline all agency functions to enhance efficiency, while maintaining effective and responsive programs that meet public, business and AQMD needs.
- D. Administer effective human resources and development programs that ensure an open and fair recruitment and selection system and, in accordance with existing law, continue AQMD's equal employment opportunity efforts to ensure diverse applicant pools in recruitments for open positions.
- E. Regularly review the skills, management, and deployment of current staff and take steps to enhance customer service and continually seek ways to increase efficiency and productivity, and continuously integrate employee safety training programs to protect AQMD's human assets.
- F. Continue AQMD's procurement processes to ensure that minority-, woman-, and disabled veteran-owned enterprises are fairly represented in accordance with existing law.
- G. Develop and implement a workforce recruitment and retention plan.

- H. Develop and implement a succession planning model, including mentoring by senior employees, in order to retain talent and ensure a transfer of technical expertise between staff.
- I. Enhance local, state and federal agency coordination and develop data transfer/submittal protocol to ensure that the latest inventories be used for National Air Toxics Assessment purposes.
- IV. OPERATE A "CLEAN AND GREEN" PROGRAM TO PROMOTE AND SUPPORT SUSTAINABLE OPERATIONAL STRATEGIES
  - A. Continue to explore strategies for recognizing and implementing technologies and policies which reduce criteria pollutants, toxics, greenhouse gases and petroleum dependence, such as promoting incentives for plug-in hybrid electric, electric and natural gas vehicles, at the local, regional, state and federal levels.
  - B. Refine goals and metrics to monitor progress toward sustainable internal operations. Continue a task force of internal staff to develop recommendations for "re-greening" the AQMD headquarters building and its satellite office, and implement the AQMD Green Policy.
  - C. Partner and collaborate with other local, regional, state and federal organizations to determine and implement "best green practices" to exemplify and showcase clean and green sustainable operations.

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BOARD MEETING DATE: January 6, 2012

AGENDA NO. 3

**PROPOSAL:** Execute Contracts for Deployment of In-Basin Renewable Distributed Electricity Generation and Storage to Support Electric Transportation Technologies 

**SYNOPSIS:** In May 2011, the Board released an RFP to announce the availability of funds and solicit proposals for the deployment of in-basin renewable distributed electricity generation combined with storage to support electric transportation technologies. Forty-six proposals were received in response to the RFP. This action is to execute contracts for the deployment of in-basin renewable distributed electricity generation and energy storage in an amount up to \$12,363,269; \$2,402,500 from AES Settlement Fund (35), \$8,360,769 from Rule 1309.1 Mitigation Fund (36) and \$1,600,000 from the Rule 1121 Mitigation Fee Fund (27).

**COMMITTEE:** Technology, December 16, 2011, Recommended for Approval

**RECOMMENDED ACTION:**

Authorize the Chairman to execute contracts with the following entities for deployment of in-basin renewable distributed electricity generation and storage to support electric transportation technologies for a total not to exceed \$12,363,269; \$2,402,500 from Fund 35- AES Settlement Fund, \$8,360,769 from Fund 36 - Rule 1309.1 Mitigation Fees Fund and \$1,600,000 from Fund 27 - Rule 1121 Mitigation Fees Fund:

1. City of Industry for the installation of up to 2MW for a solar PV carport, Level 2 EV chargers and a fleet of electric vehicles to be used in a car-sharing program at the City of Industry's Metrolink train station in an amount not to exceed \$2,000,000 from the AES Settlement Fund (35);
2. CODA Energy LLC for the installation of up to 50kW for a solar PV rooftop system, 1.5MW battery energy storage system and the installation of Level 2 EV charge stations at their Santa Monica facility in an amount not to exceed \$890,800 from the Rule 1309.1 Mitigation Fund (36);
3. Siemens Industry, Inc. for the installation of up to 632 kW for solar PV carports, as part of a larger power-purchase agreement project with El Monte Unified

School District with integrated electric charging stations and SmartGrid integration in an amount not to exceed \$402,500 from the AES Settlement Fund (35);

4. Kinetic Traction Systems for the installation of up to 1 MW of flywheel energy storage to provide regenerative braking to trains and up to 2 kW of solar PV at LACMTA's Gold Line facility in an amount not to exceed \$2,473,469 from the Rule 1309.1 Mitigation Fund (36);
5. UCR CE-CERT for the installations of up to 2 MW of solar PV and up to 2 MWh of lithium battery storage systems to facilitate EV charging sites throughout the City of Riverside and convert an existing diesel trolley to electric drive to link the campus and various city sites to the Metrolink station in an amount not to exceed \$2,000,000 from the Rule 1309.1 Mitigation Fund (36);
6. OHR Energy for the installation of up to 1.4 MW of renewable fuel cell energy, an absorption chiller, EV chargers and the potential of energy storage in an amount not to exceed \$2,500,000 from the Rule 1309.1 Mitigation Fund (36);
7. Eastern Municipal Water District for the installation of up to 300kW of renewable fuel cell energy at its Perris Valley Water Reclamation Facility in an amount not to exceed \$496,500 from the Rule 1309.1 Mitigation Fund (36); and
8. UTC Power for the installation of up to 400 kW of renewable fuel cell energy at one Albertson's Supermarket, an absorption chiller and up to six EV chargers in an amount not to exceed \$1,600,000 from the Rule 1121 Mitigation Fund (27).

Barry R. Wallerstein, D.Env.  
Executive Officer

CSL:MMM:AHB

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## **Background**

The South Coast AQMD covers an area of over 10,000 square miles and a population of 17 million. This region has a great potential for renewable energy sources such as solar, wind, geothermal and biogas. As a key air-quality attainment strategy, the AQMD has repeatedly identified the need to implement low- and zero-emission technologies that rely heavily on electricity to meet the air quality objectives of AQMD's AQMP.

At the February 4, 2011 Board meeting, staff identified AQMD's proposed goals and objectives for the new budget year. One of the priority projects for 2011 was to incentivize five megawatts of in-basin renewable distributed electricity generation and storage to support electric technology applications such as plug-in hybrid vehicles. This priority goal was highlighted as particularly important in achieving AQMD's mission and goals. In addition, the use of renewable feedstocks for energy production is a necessary transition to reduce greenhouse gas emissions and provide for domestic energy diversity. Facilities can reduce their electricity costs by generating electricity from renewable energy sources such as solar, wind, geothermal, biogas, and by utilizing

a time-of-use electricity rate schedule together with electricity storage technologies to enable purchase of needed electricity at times when the rate is low (e.g., purchase during off-peak hours for use on peak). Renewable distributed generation and time-shifting of electricity purchases also benefit the environment by conserving fossil fuel, reducing emissions from power plants and reducing operation of power plants during peak demand periods when air pollutants are at highest levels.

### **Request for Proposals**

On May 6, 2011, the Board approved the release of RFP #P2011-21 to incentivize the deployment of in-basin renewable energy projects and energy storage to support electric technology applications to the extent necessary so that the facility owner can realize a short-term payback of net investment. The RFP solicited proposals from commercial, institutional, large and small residential facilities as well as power development partnerships. The RFP was aimed on achieving the deployment of real-world application of renewable distributed generation technologies in the South Coast Air Basin, with the intent of rapid and wide-spread implementation.

### **Outreach**

In accordance with AQMD's Procurement Policy and Procedure, a public notice advertising the RFP/RFQ and inviting bids was published in the Los Angeles Times, the Orange County Register, the San Bernardino Sun, and Riverside County Press Enterprise newspapers to leverage the most cost-effective method of outreach to the South Coast Basin.

Additionally, potential bidders may have been notified utilizing AQMD's own electronic listing of certified minority vendors. Notice of the RFP/RFQ has been e-mailed to the Black and Latino Legislative Caucuses and various minority chambers of commerce and business associations, and placed on the Internet at AQMD's website (<http://www.aqmd.gov>). Information is also available on AQMD's bidder's 24-hour telephone message line (909) 396-2724.

### **Proposals Received**

Forty-six proposals were received, which are summarized in Table 1 with the point scoring results. The proposals consisted of various renewable distributed electric generation and storage technologies which included sixteen solar photovoltaic, fifteen solar hybrid, ten biogas fuel cells and five wind and other renewable distributed generation technologies. A total of \$201 million in AQMD funding was requested for a total of 94 megawatts of electric power generation.

## Evaluation of Proposals

The RFP contained a point scoring system with the following maximum points available:

Technical	70
Cost Effectiveness	20
Cost Sharing	10
Small Business/Joint Venture	10 additional points possible
DVBE/DVBE Joint Venture	10 additional points possible
Use of DVBE/Small Business Contractor	7 additional points possible
Local Business	5 additional points possible

The proposals were reviewed and evaluated by a five-member panel in accordance with established AQMD guidelines, using technical and cost criteria outlined in the RFP. The five-member evaluation panel consisted of: one AQMD Assistant Deputy Executive Officer, one CARB Division Chief, one NREL Senior Engineer Task Leader, Distributed Systems Integration Group and two outside technical experts. The panel members were all male, and included one Asian/Pacific Islander, and four Caucasians.

Proposals receiving a score of at least 56 out of 70 points were considered technically qualified and eligible for contract awards. Renewable technologies in the same category for power generation and energy storage were evaluated separately for cost effectiveness and cost sharing points. The cost effectiveness points were determined using a formula in the RFP that was based on AQMD funds requested per kilowatts installed and then prorated accordingly. Similarly, the cost sharing points were determined based on the proposal offering the highest co-funding ratio receiving the maximum points and others were prorated accordingly. A maximum of 15 additional points were available for certain business categories, such as disabled veteran business enterprise, small or local businesses joint venture or subcontractor. Table 1 shows the point scoring results.

### Proposed Awards

The objective of the proposed project is for the installation and deployment of five or more megawatts of in-basin renewable distributed electricity generation and energy storage to support electric transportation technologies. These renewable technologies would consist of new installations or upgrades to existing renewable projects such as the addition of energy storage. Overall award funding was structured to achieve a diversity of projects in terms of the eligible technologies that qualify for the California Public Utilities Commission's Self-Generation Incentive Program (SGIP), California Solar Initiative Program and other sources of funding. In addition, in accordance with the RFP, the selection of projects recommended by staff for funding was not only based on overall score but also on input from the technical review panel, cost-effectiveness, and cost-sharing criteria which provided the best value to AQMD. For example, a proposal



with a lower overall score but higher cost scores would be selected over a proposal with higher overall score. Furthermore, the Southern California Gas Company has expressed interest in funding one or more of the fuel cell projects and are working to identify the co-funding amount; should this co-funding be realized, the AQMD amount of funding will be proportionately reduced. The projects recommended for award are listed below and summarized on Table 2.

### **Solar Photovoltaic**

#### ***(R-1) City of Industry***

The City of Industry proposes to install a 2MW solar PV carport, 28 Level 2 EV charge stations at a 1,400 vehicle parking lot located at City of Industry's Metrolink train station. Electricity produced will be sold to Southern California Edison under a 20 year power purchase agreement. This project will also include the purchase of 28 Nissan Leafs to be leased to commuters and utilize the battery capacity within the EVs for electric storage in establishing a demand side management platform. The total project costs are \$11,563,885, with requested AQMD funding of \$2,000,000.

### **Solar Hybrid**

#### ***(R-2) CODA Energy***

CODA Energy proposes installation of a 50kW solar PV rooftop installation which will be used to charge CODA electric vehicles and a 1.5MW, 2MWh lithium-iron-phosphate battery energy storage system. The energy storage system will be used for peak shaving, voltage regulation, and power quality and reliability improvements. The use of the energy storage system will enable EV charging without requiring electricity from the grid during peak hours. The project also includes the installation of 8 Level 2 EV charge stations. This project will partner with LADWP, Parker Hannifin for container and power conversion, and EnergyCS for battery storage hardware and software integration in the EVs. The total project costs are \$3,496,570, with requested AQMD funding of \$890,800.

#### ***(R-3) Siemens Industry, Inc.***

Siemens proposes installation of a 632 kW PV carport, as part of a larger power-purchase agreement (PPA) project with El Monte Unified School District with integrated electric charging stations and ongoing monitoring, maintenance and security included in the PPA. The chargers are part of Siemens' Smart Grid portfolio of energy solutions and include bi-directional communication so that the EVs will be capable of interacting with the electricity grid. An educational program will also be established as part of the project. Total project costs are \$3,314,889, with requested AQMD funding of \$402,500.

#### ***(R-4) Kinetic Traction Systems***

Kinetic Traction Systems proposes installation of five 200kW (total 1 MW) flywheel energy storage systems at LACMTA's Gold Line facility. The flywheels will absorb braking energy from the Gold Line trains and use the stored energy to accelerate the trains and also feed power to the grid. The flywheels can be further used to stabilize track voltages. This system will also include 2kW of PV panels to power ancillary equipment. This project will store braking energy from trains that would otherwise be wasted and use this stored energy for electric transportation. Total project costs are \$3,130,974, with requested AQMD funding of \$2,473,469.

***(R-5) UCR CE-CERT***

UCR CE-CERT proposes installations at two sites on its campus each consisting of 1 MW solar PV and 1 MWh large lithium battery storage systems. The solar PV and battery storage systems at both sites will facilitate creating a network of EV charging sites at various locations in the City of Riverside. Using energy management systems, these two renewable-storage systems will assist in charging a converted EV trolley to link the Riverside Metrolink station to the campus and various city sites. Vehicles and the two large batteries will be charged at night using grid electricity, and then during peak afternoon hours, the batteries and solar panels will offset peak-grid electricity. UCR and the City of Riverside will continue to own and operate the project for its full 20-25 year life span. The total project costs are estimated to be \$13,158,765 with staff recommending funding of \$2,000,000.

**Fuel Cell**

***(R-6) OHR Energy***

OHR Energy proposes installation of up to 1.4 MW of renewable fuel cell energy, an absorption chiller and EV chargers at two locations: the Boeing Company facility in Seal Beach and Pasadena City College (PCC). In both proposals, directed bio-gas will be used as the feedstock to produce renewable electricity. In the PCC project, OHR proposes to install 1MW of battery storage. Due to the changes in the SGIP incentive program, there is uncertainty on which site will be more viable and garner the greatest cost-share through SGIP. As a result, staff recommends funding OHR at \$2,500,000 and to work with staff to select the best site and the extent of energy storage. Both projects have greater than \$7,600,000 in total project costs.

***(R-7) Eastern Municipal Water District (EMWD)***

EMWD proposes installation of a 300kW fuel cell at its Perris Valley Water Reclamation Facility. The fuel cell will operate on bio-gas from the treatment process from the Water District. The fuel cell will produce electricity and heat for the operations at the facility thus offsetting the power demand of the facility from the grid and at the same time achieve reductions of greenhouse gases. The continuous output will provide enough renewable electrical energy to fully charge over 400 EVs. The total project costs are \$3,005,000, with requested AQMD funding of \$496,500.

***(R-8) UTC Power***

UTC Power proposes installation of 400 kW phosphoric acid UTC fuel cells, an absorption chiller and six EV chargers at nine Albertson's Supermarket locations. The electricity at each location will be produced from directed biogas and this amount of electricity will be made available for EV charging at the store or will be exported to the grid. The waste heat from the fuel cell will be used to provide heating for the store buildings and also for absorption chillers that will provide chilled water to the stores for refrigeration purposes. Staff proposes funding one store at \$1,600,000 with total project costs of \$4,500,000.

**Benefits to AQMD**

The proposed projects support AQMD's key air quality-attainment strategy to implement low- and zero-emission technologies to meet the air quality objectives of AQMD's AQMP. Promoting distributed generation and energy self sufficiency are goals of the AQMD. Renewable distributed generation and time-shifting of electricity purchases benefit the environment by conserving fossil fuel, reducing emissions from power plants and reducing operation of power plants during peak demand periods when air pollutants are at highest levels and support the electrical demand of electric transportation technologies.

**Resource Impacts**

The total cost for these projects is estimated to be over \$41 million of which AQMD's cost-share shall not exceed \$12.4 million. Cost share from these eligible technologies that qualify for the California Public Utilities Commission's Self-Generation Incentive Program, California Solar Initiative Program and other sources is anticipated to be in excess of \$28 million. Sufficient funds for these projects are available from the Rule 1309.1 Mitigation Fees Fund, AES Settlement Fund and the Rule 1121 Mitigation Fund. If additional funding is provided by the Gas Company it will be used to offset AQMD's contributions.

**Attachment**

Table 1a - Proposal Scores for Solar Photovoltaic

Table 1b - Proposal Scores for Solar Hybrid

Table 1c - Proposal Scores for Fuel Cell

Table 1d - Proposal Scores for Wind and other Renewable DG

Table 2 - Projects Recommended for Award

## ATTACHMENT

**Table 1a Proposal Scores  
Solar Photovoltaic**

Bidder	Technology	Energy Storage	Kilowatts	Funding Requested	Project Location	Technical Points* (70)	Cost Effectiveness Points (20)	Cost Sharing Points (10)	Additional Points (15 max)	Total Points
Aloha Systems	Solar PV	None	1,500	\$6,800,000	Desert Hot Springs	53.3	--	--	--	--
Border Valley Trading	Solar PV	None	16	\$61,541	Palm Springs	56.0	4.2	4.3	5	69.5
Borrego Solar System	Solar PV	None	3,841	\$5,246,564	Various Locations	57.3	11.8	5.2	0	74.3
City of Industry	Solar PV/EVs	EV	2,000	\$2,000,000	City of Industry	60.0	16.1	10.0	10	96.1
DJH Construction	Solar PV	None	155	\$331,872	Huntington Beach	56.5	7.5	4.1	15	83.1
Eastern Municipal Water District	Solar PV	None	700	\$1,223,000	Perris	53.8	--	--	--	--
LAUSD (1)	Solar PV	None	1,689	\$3,229,526	Various Locations	58.5	8.4	6.5	0	73.4
LAUSD (2)	Solar PV	None	1,552	\$3,071,623	Various Locations	59.3	8.1	5.4	0	72.8
LAUSD (3)	Solar PV	None	2,934	\$5,325,439	Various Locations	58.0	8.9	6.5	0	73.4
LAUSD (4)	Solar PV	None	1,538	\$2,903,648	Los Angeles	58.0	8.5	5.2	0	71.7
PermaCity Solar	Solar PV	None	962	\$3,425,577	Various Locations	59.0	4.5	2.9	15	81.4
Solar City	Solar PV	None	9,221	\$7,436,115	Various Locations	62.5	20.0	8.6	0	91.1
Solar Soleil	Solar PV	None	5,000	\$24,544,750	Various Locations	28.0	--	--	--	--
Statek Corporation	Solar PV	None	100	\$372,000	Orange	50.8	--	--	--	--
Sunline	Solar PV	None	455	\$1,700,000	Thousand Palms	56.8	4.3	2.2	0	63.3
Sunpower	Solar PV	None	4,935	\$9,386,059	Various Locations	63.3	8.5	5.4	15	92.2

\*Minimum of 56 points needed to be deemed qualified for award

**Table 1b Proposal Scores  
Solar Hybrid**

<b>Bidder</b>	<b>Technology</b>	<b>Energy Storage</b>	<b>Kilowatts</b>	<b>Funding Requested</b>	<b>Project Location</b>	<b>Technical Points* (70)</b>	<b>Cost Effectiveness Points (20)</b>	<b>Cost Sharing Points (10)</b>	<b>Additional Points (15 max)</b>	<b>Total Points</b>
Chevron (1)	Solar PV	Battery	1,638	\$4,500,000	Walnut	65.0	4.6	2.4	0	72.0
Chevron (2)	Solar PV/Wind	Battery	2,530	\$3,500,000	Long Beach/Los Angeles	61.5	9.2	3.3	0	74.0
CODA Energy LLC	Solar PV	Battery	1,550	\$1,250,000	Santa Monica	57.8	15.8	3.4	5	82.0
Discovery Science Center	Solar PV/Wind/Fuel Cell directed biogas	Battery	300	\$2,878,000	Santa Ana	48.5	--	--	--	--
Kinetic Traction Systems	Solar PV	Flywheel	1,000	\$2,473,469	Los Angeles	60.0	5.2	1.5	15	81.7
Kinsbursky Brothers, Inc.	Solar PV	Battery	1,700	\$7,282,445	Anaheim	62.5	3.0	1.4	15	81.9
PDE	Solar PV/Fuel Cell directed biogas	Battery	50	\$500,000	Commerce	55.8	--	--	--	--
Siemens Industry, Inc.	Solar PV	Smart Grid EVs	632	\$402,500	El Monte	59.3	20.0	10.0	0	89.3
Sustainable Communities Reinvestment Partnership	Solar PV	Hydrogen	1,500	\$5,180,092	San Bernardino	58.8	3.7	3.6	5	71.1
Transpower (1)	Solar PV	Battery	2,000	\$3,921,550	Chino	57.8	6.5	3.1	10	77.4
Transpower (2)	Solar PV	Battery	2,520	\$5,729,684	Los Angeles	55.8	--	--	--	--
UCLA	Solar PV/Smart Grid	Battery	469	\$6,000,000	Los Angeles	57.7	1.0	3.2	5	66.9

UCR CE-CERT	Solar PV/Smart Grid	Battery	4,000	\$4,958,765	Riverside	59.0	10.3	3.2	5	77.5
USR-Utility Savings and Refund	Solar PV	Battery	1,000	\$3,913,138	Ontario	58.8	3.3	2.6	5	69.7
VLI-EV Partners	Solar PV	Battery	1,080	\$4,759,100	Various Locations	56.5	2.9	1.2	0	60.6

\*Minimum of 56 points needed to be deemed qualified for award

**Table 1c Proposal Scores  
Fuel Cell**

<b>Bidder</b>	<b>Technology</b>	<b>Energy Storage</b>	<b>Kilowatts</b>	<b>Funding Requested</b>	<b>Project Location</b>	<b>Technical Points* (70)</b>	<b>Cost Effectiveness Points (20)</b>	<b>Cost Sharing Points (10)</b>	<b>Additional Points (15 max)</b>	<b>Total Points</b>
BioFuels Energy, LLC	Fuel Cell Directed Biogas	None	2,800	\$6,000,000	Pomona	61.5	9.7	3.8	0	75.0
Eastern Municipal Water District (1)	Fuel Cell On-Site Biogas	None	300	\$496,500	Perris	59.5	12.6	8.8	5	85.9
Eastern Municipal Water District (2)	Fuel Cell On-Site Biogas	None	600	\$1,460,000	Perris	60.0	8.6	10.0	5	83.6
Fuel Cell Energy	Fuel Cell On-Site Biogas	Hydrogen	166	\$1,391,045	Fountain Valley	60.3	2.5	2.0	0	64.8
OHR (1)	Fuel Cell Directed Biogas	None	1,400	\$2,500,000	Seal Beach	58.5	11.7	4.4	15	89.6
OHR (2)	Fuel Cell Directed Biogas	None	7,400	\$7,700,000	Pasadena	62.8	20.0	3.2	15	101.0
UCLA	Fuel Cell Directed Biogas	Hydrogen	100	\$968,000	Los Angeles	57.7	2.2	1.5	0	61.4
UTC Power	Fuel Cell Directed Biogas	None	3,600	\$14,400,000	Various Locations	58.8	5.2	4.1	0	68.1
Ztek Corporation (1)	Fuel Cell Biomethane from H2 Reformer	Hydrogen	260	\$3,823,754	Irvine	52.0	--	--	--	--
Ztek Corporation (2)	Fuel Cell Biomethane from H2 Reformer	Hydrogen	600	\$3,000,000	Diamond Bar	51.8	--	--	--	--

\*Minimum of 56 points needed to be deemed qualified for award

**Table 1d Proposal Scores  
Wind and other Renewable DG**

<b>Bidder</b>	<b>Technology</b>	<b>Energy Storage</b>	<b>Kilowatts</b>	<b>Funding Requested</b>	<b>Project Location</b>	<b>Technical Points* (70)</b>	<b>Cost Effectiveness Points (20)</b>	<b>Cost Sharing Points (10)</b>	<b>Additional Points (15 max)</b>	<b>Total Points</b>
Glendale Water & Power	Ice/Load Management	Thermal	10,000	\$10,000,000	Glendale	54.0	--	--	--	--
One Cycle Company	Lithium Battery	Battery	5,000	\$4,506,350	Various Locations	52.5	--	--	--	--
PowerHouse Energy	Waste to Energy	None	260	\$2,255,620	Oceanside	38.5	--	--	--	--
SCS Energy	IC Engine On-Site Biogas	None	1,600	\$3,700,000	Los Angeles	55.8	--	--	--	--
Wintec Energy Ltd.	Wind	Battery	1,500	\$5,375,161	Palm Springs	52.5	--	--	--	--

\*Minimum of 56 points needed to be deemed qualified for award



**Table 2**  
**Projects Recommended for Award**

<b>Project</b>	<b>Bidder</b>	<b>Technology</b>	<b>Energy Storage</b>	<b>Kilowatts</b>	<b>Project Location</b>	<b>Funding Source</b>	<b>Staff Proposed Funding</b>
R-1	City of Industry	Solar PV	EV	2,000	City of Industry	AES Settlement Fund (35)	\$2,000,000
R-2	CODA Energy LLC	Solar Hybrid	Battery/EVs	1,550	Santa Monica	Rule 1309.1 Mitigation Fund (36)	\$890,800
R-3	Siemens Industry, Inc.	Solar Hybrid	Smart Grid EVs	632	El Monte	AES Settlement Fund (35)	\$402,500
R-4	Kinetic Traction Systems	Solar Hybrid	Flywheel	1,000	Los Angeles	Rule 1309.1 Mitigation Fund (36)	\$2,473,469
R-5	UCR CE-CERT	Solar Hybrid	Battery	4,000	Riverside	Rule 1309.1 Mitigation Fund (36)	\$2,000,000
R-6	OHR Energy	Fuel Cell Directed Biogas	None	1,400	Seal Beach	Rule 1309.1 Mitigation Fund (36)	\$2,500,000
R-7	Eastern Municipal Water District (2)	Fuel Cell On-Site Biogas	None	300	Perris	Rule 1309.1 Mitigation Fund (36)	\$496,500
R-8	UTC Power	Fuel Cell Directed Biogas	None	400	Albertson's Supermarket	Rule 1121 Mitigation Fund (27)	\$1,600,000
	<b>TOTAL</b>			<b>11,282</b>			<b>\$12,363,269</b>

### **ERRATA SHEET FOR AGENDA #3**

#### **Execute Contracts for Deployment of In-Basin Renewable Distributed Electricity Generation and Storage to Support Electric Transportation Technologies**

Kindly modify the text in the Board Letter with the following language:

#### **SYNOPSIS**

“...\$68,360,769 from Rule 1309.1 Mitigation Fund (36) and \$37,600,000 from the Rule 1121 Mitigation Fee Fund (27).”

#### **RECOMMENDED ACTION**

“...\$68,360,769 from Fund (36) – Rule 1309.1 Mitigation Fees Fund and \$37,600,000 from Fund 27 - Rule 1121 Mitigation Fees Fund.”

#### **RECOMMENDED ACTION, item 5**

“...in an amount not to exceed \$2,000,000 from the ~~Rule 1309.1 Mitigation Fund (36)~~ Rule 1121 Mitigation Fund (27).”

#### **ATTACHMENT, Table 2, row R-5**

“~~Rule 1309.1 Mitigation Fund (36)~~ Rule 1121 Mitigation Fund (27).”

BOARD MEETING DATE: January 6, 2012

AGENDA NO. 4

**PROPOSAL:** Allocate Funds and Issue Program Announcement for Replacement of CNG School Bus Tanks, and Award Funds to Replace Fuel Tanks on School Buses

**SYNOPSIS:** The recently adopted state legislations AB 462 and AB 470 have expanded the potential use of Carl Moyer AB 923 funds. Starting January 2012, in addition to school bus replacements, AB 923 funds may be used for school bus retrofits and for replacing older than 14 years on-board fuel tanks of CNG school buses. Since on-board fuel tanks of CNG school buses with less than 14 years of age may need replacements, this action is to set up an account of up to \$600,000 with AQMD non-state/federal funds in the Lower-Emission School Bus Fund (33) and issue a program announcement for replacement of CNG school bus tanks in case of damage prior to 14 years of use. In addition, this action is to provide up to \$140,000 to Torrance Unified School District to replace damaged CNG tanks in seven school buses.

**COMMITTEE:** Administrative, December 9, 2011, Recommended for Approval

**RECOMMENDED ACTIONS:**

- A. Allocate up to \$600,000 from AQMD non-state/federal funds in the Lower-Emission School Bus Fund (33), and issue Program Announcement PA #2012-05 to assist public school districts to replace on-board CNG school bus fuel tanks not eligible for funding under the state's program.
- B. Authorize the Chairman to execute a contract with the Torrance Unified School District to replace damaged onboard CNG fuel tanks on seven school buses in an amount not to exceed \$140,000 from the Lower-Emission School Bus Fund (33).

Barry R. Wallerstein, D.Env.  
Executive Officer

**Background**

Since 2001, AQMD has successfully implemented its Lower Emission School Bus Program (LESBP) by retrofitting newer diesel school buses with PM traps and replacing over 1,000 older and highly polluting diesel school buses with new CNG buses. Prior to AQMD's school bus program, there was also some limited funding available to school districts to purchase CNG buses.

As required by the CHP, onboard CNG fuel tanks in school buses need to be replaced every 15 years. As such the recently adopted state legislations AB 462 and AB 470, will allow funding for both retrofit of school buses and replacement of onboard CNG fuel tanks on school buses that are older than 14 years with the use of AB 923 funds that are generated from the \$2 DMV fees. CARB is expected to adopt the guidelines for the newly expanded components of the program in early 2012, after which the AQMD will start their implementation.

However, in a handful of cases some school districts have experienced damage to the onboard fuel tanks before the expiration of the certifications. In these cases the fuel tanks won't qualify for the soon to be available AB923 funds. Thus it is desirable to provide limited funding for damaged CNG school bus fueling tanks to avoid grounding by the CHP.

**Proposal**

In 2004 under the Chairman's Initiative, the Board approved the addition of \$7.2 million AQMD non-state/federal funds to the Lower-Emission School Bus Fund (33) for school bus replacements. That resulted in the replacement of 70 pre-1987 school buses with new CNG buses with funds that included among others \$7,126,931 from the Chairman's Initiative. Hence, staff proposes the Board's approval to allocate up to \$600,000 from the AQMD's unspent contributions to the Lower-Emission School Bus Program Fund (33) for the replacement of onboard CNG fuel tanks on school buses not qualifying for AB 923 funds, and the issuance of the Program Announcement PA #2012-05 to accept applications on a first-come-first-served basis.

Torrance Unified School District recently informed the AQMD that due to a faulty valve in their CNG refueling station several of the onboard CNG fuel tanks in their school buses were over-pressurized beyond the safety threshold, causing irreversible damage. Therefore, Torrance Unified School District has had to ground its CNG school buses until the damaged tanks are replaced. Thus, staff recommends the Board's approval for the replacement of onboard CNG fuel tanks on seven school buses owned by Torrance Unified School District in an amount not to exceed \$140,000, with a maximum cap of \$20,000 per bus from the Lower-Emission School Bus Program Fund (33).

**Outreach**

In accordance with AQMD's Procurement Policy and Procedure, a public notice advertising the RFP/RFQ and inviting bids will be published in the Los Angeles Times, the Orange County Register, the San Bernardino Sun, and Riverside County Press Enterprise newspapers to leverage the most cost-effective method of outreach to the South Coast Basin.

Additionally, potential bidders may be notified utilizing AQMD's own electronic listing of certified minority vendors. Notice of the RFP/RFQ will be e-mailed to the Black and Latino Legislative Caucuses and various minority chambers of commerce and business associations, and placed on the Internet at AQMD's website (<http://www.aqmd.gov>) where it can be viewed by making menu selections "Inside AQMD"/"Employment and Business Opportunities"/"Business Opportunities" or by going directly to <http://www.aqmd.gov/rfp/index.html>). Information is also available on AQMD's bidder's 24-hour telephone message line (909) 396-2724.

**Benefits to AQMD**

The successful implementation of the Lower-Emission School Bus CNG Tank Program will extend the life of less-polluting and safer school transportation for school children, and will reduce public exposure to toxic diesel particulate matter emissions. In addition, these awards comply with AB 1390 requirements, such that it would reduce air pollution in low-income, high-diesel and high-PM exposure areas as well as enhance the objectives of the Environmental Justice and Children's Health Initiatives adopted by the AQMD Board.

**Resource Impacts**

Total funding of up to \$600,000 will be set aside in the Lower-Emission School Bus Fund (33) from the unused portion of the AQMD's own contributions to that fund. A total of \$140,000 will be used from these funds for the replacement of onboard CNG fuel tanks on seven school buses.

**Attachment**

Program Announcement & Application PA #2012-05

*Announcing the*

*South Coast Air Quality Management District's*

*Funding for the Emergency Replacement of*  
*On-Board CNG Fuel Tanks on CNG School Buses*

*Program Announcement & Application*

*PA # 2012-05*

*January 6, 2012*

Depending upon the number of applications received and availability of funding, the SCAQMD Governing Board retains discretion to make full awards, partial awards, or no awards at all under this Program Announcement. If the choice to make a partial award causes any bidder to withdraw, the funds that would have been awarded to that bidder will be re-allocated to the other bidders or allocated pursuant to a new program announcement.

**DATE:** January 6, 2012

**TO:** South Coast Air Basin's Public School Districts

**SUBJECT:** SCAQMD Program Announcement PA# 2012-05 to Provide Funding for Emergency Replacement of On-Board CNG Fuel Tanks on CNG School Buses

The South Coast Air Quality Management District (SCAQMD) is pleased to announce a new funding opportunity for the emergency replacement of on-board CNG fuel tanks on CNG school buses. Funds in the amount of \$600,000 are available and eligible applications will be funded on first-come, first-served basis until funds are exhausted. There is a maximum of \$20,000 available per CNG bus to replace on-board CNG fuel tanks.

Under this Program Announcement, applicants can apply to seek reimbursement of expenses involved in replacing CNG tanks in an emergency, such as damage incurred due to circumstances beyond the control of the operator. Only public school districts and joint powers authority are eligible for this funding.

This program funding will supplement an anticipated future program to replace aging CNG tanks when the tanks reach their end-of-life span. This future program will be initiated once the California Air Resources Board (CARB) adopts guidelines in early 2012.

Should you have any questions regarding this Program Announcement, please contact:

- Ranji S. George, Program Supervisor, at (909)396-3255,  
Email: [rgeorge@aqmd.gov](mailto:rgeorge@aqmd.gov).

The Program Announcement and Application document can also be accessed via the Internet by visiting AQMD's website at [www.aqmd.gov/rfp](http://www.aqmd.gov/rfp).  
Program Announcement # is: PA #2012-05

We look forward to receiving your application. An application form is enclosed.

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### **Program Introduction**

By state legislation (AB 923), individual air districts can collect \$2 in individual DMV fees for air quality projects. SCAQMD has been using these funds to assist school districts to replace old, polluting school buses. Recently, the state passed additional legislation (AB 462 and AB 470) expanding the use of AB 923 funds to replace CNG tanks on-board public school buses.

### **Current Program**

Some school districts have experienced premature failures of their on-board CNG tanks. To address this problem, the SCAQMD has allocated \$600,000 in funds to allow public school districts experiencing such premature failures to apply, on an emergency basis, for an amount not to exceed \$20,000 per bus for replacement of their on-board CNG tanks. Only public school districts and joint power authorities can apply. The funds will be limited to emergency replacement of CNG tanks and/or to tanks that would not typically qualify under the future program discussed above.

### **Future Program**

In addition to school bus replacements, the state has authorized the use of AB 923 funds to retrofit school buses with PM traps, replace aging CNG school bus tanks, and replace worn CNG fueling dispensers. CARB is developing guidelines to implement this program, which would limit the eligibility to public school districts, and applicability to CNG school buses between 14 to 20 years old. These funds will be limited to replacing tanks that require mandatory replacement because they have reached their end-of-life span (i.e., 15 years). Once CARB adopts these guidelines, most likely by early 2012, SCAQMD will issue a supplemental notice announcing the availability of additional AB 923 funds to replace on-board CNG tanks reaching their end-of-life span.

### **1.A. Program Schedule and Project Selection**

This Program Announcement will remain open, without a closing date, until funds are exhausted. Applications will be accepted on an ongoing basis. Awards will be made on a first-come, first-served basis until all funding is exhausted. Applicants are encouraged to submit multiple quotes for replacing damaged CNG fuel tanks with new CNG tanks. A maximum of \$20,000 per bus can be requested. A CHP certificate is needed to verify the old CNG tanks have failed, and that the new tanks meet with CHP approval.

Only public school districts and joint power authorities are eligible to apply and are applicable to CNG buses not eligible under the emerging AB 923 funds to replace aging CNG tanks at end-of-life span.

**1.B. Application Submittal**

The applicant shall provide four (4) copies of the application in a sealed envelope, plainly marked in the upper left-hand corner with the name and address of the applicant and the words “Program Application PA #2012-05”, and submit it to:

Mr. Dean Hughbanks, Purchasing Manager, PA # 2012-05  
South Coast Air Quality Management District  
21865 Copley Drive  
Diamond Bar, CA 91765

All applications must be signed by the school’s superintendent. Applications without authorizing signatures will not be accepted. SCAQMD needs a minimum of two, preferably three, quotes to accompany the application, and a CHP certificate verifying that the tanks need replacement before the CNG bus can be recertified.

**1.C Disbursement of Funds**

Funds will be paid on a reimbursement basis only following completion of installation of the new CNG on-board fuel tanks. These tanks need to comply with all existing codes and regulations. Along with an invoice that details the equipment and labor costs of installing the tanks, a cover letter signed by the Director of Transportation or an equivalent position must accompany any request for reimbursement of approved funds. The cover letter must state that these new CNG tanks were installed and that the CNG tanks comply with all relevant codes and regulations. A CHP certificate recertifying the CNG bus must accompany the invoice. All requests for reimbursement must be received within six (6) months of executing the grant. Monies owed will be paid directly to the vendor/installer.

**1.D. Monitoring and Reporting**

School districts must notify SCAQMD’s Technology Advancement Office in writing when the CNG tanks were ordered, installed and re-inspected by the CHP officer.

**1E. If You Need Help**

This Program Announcement and Application can be obtained by accessing the SCAQMD website at [www.aqmd.gov/rfp](http://www.aqmd.gov/rfp). Download PA # 2012-05. SCAQMD staff members are available to answer questions during the application acceptance period. In order to help expedite assistance, please direct your inquiries to the applicable staff person, as follows:

- For **General, Administrative, or Technical Assistance**, please contact:

**Ranji S. George**  
Program Supervisor  
Phone: 909 396 3255  
Fax: 909-396-3252  
[rgeorge@aqmd.gov](mailto:rgeorge@aqmd.gov)

- For **Questions on Invoices or Contracts**, please contact:

**Drue Hargis**  
TAO, Contracts  
Phone: 909 396-3237  
Fax: 909 396 -3774  
[dhargis@aqmd.gov](mailto:dhargis@aqmd.gov)

**Section II: Application Preparation Forms**

**APPENDIX A:**

**APPLICATION FOR EMERGENCY REPLACEMENT OF FAILED CNG  
ON-BOARD FUEL TANKS ON CNG SCHOOL BUSES**

**GRANT APPLICATION FOR THE EMERGENCY REPLACEMENT OF THE ON-BOARD CNG FUEL TANKS ON CNG SCHOOL BUSES**

School District: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ County \_\_\_\_\_ State: CA. Zip Code: \_\_\_\_\_

Air District Jurisdiction: \_\_\_\_\_

School District Contact Person:

Name/Title: \_\_\_\_\_

Phone No.: \_\_\_\_\_ Fax: No.: \_\_\_\_\_ Email: \_\_\_\_\_

Number of CNG Buses with failed CNG Tanks \_\_\_\_\_ (include details of each bus in attached table).

Total Funding Amount Requested (maximum \$20,000 per bus) \_\_\_\_\_

Explain clearly the reason for the premature failure of these CNG tanks, and how the school plans to avoid these incidents in future (add additional pages if needed)

\_\_\_\_\_

Name of CNG tanks being considered: \_\_\_\_\_

Name of Proposed Vendor: \_\_\_\_\_

(Please attach a CHP inspection certificate verifying that the CNG tanks need replacement and at least two, preferably three, price quotes for completing the installation.)

Please confirm the labor and equipment warranty period of the new CNG tanks: (a) *Labor warranty* \_\_\_\_\_ (b) *Equipment* \_\_\_\_\_

Please confirm the expiration date of the new tanks \_\_\_\_\_

Please testify by signing below that “Under penalty of perjury, the statements in this application are true to the best of my knowledge”. After installation of tanks, the buses must operate another five (5) years within the SCAQMD’s jurisdiction.

Superintendent’s Signature: \_\_\_\_\_ Date \_\_\_\_\_

Superintendent’s Name (print): \_\_\_\_\_

**INFORMATION ON CNG BUSES  
WHOSE ON-BOARD CNG TANKS NEED REPLACEMENT**

<b>BUS ID#</b>	<b>MODEL, YEAR OF BUS*</b>	<b>VIN#</b>	<b>Accumulated Mileage</b>	<b>Approx. # Miles Per Year</b>	<b>Quote to Replace Tanks**</b>

- **\*Please attach the CHP292 certificates starting in year 2010.**
- **Also please attach a CHP certificate confirming that the old tanks need replacement.**
- **\*\* A CHP certificate approving the new tank installation on each bus needs to be provided with any request for reimbursement.**
- **\*\* Please attach at least two, preferably three, price quotes to complete the tank installation (including sales tax).**
- **A maximum of \$20,000 per bus will be provided for reimbursement.**
- **Operator must promise the bus will run a minimum of 5 years within the SCAQMD's jurisdiction after installation.**

**School Bus Fleet Information**

- *Total # of school buses in Fleet (over 14,000 GVW)\_\_\_\_\_*
- *Total # of CNG school buses currently in the Fleet: \_\_\_\_\_*
- *# of 1987 to 1994 diesel buses in the Fleet\_\_\_\_\_*
- *# of 1995 and newer diesel buses in the Fleet\_\_\_\_\_*
- *Refueling Onsite?\_\_\_\_\_*
- *Additional Comments, if any\_\_\_\_\_*



# South Coast Air Quality Management District

21865 Copley Drive, Diamond Bar, CA 91765-4178  
(909) 396-2000 • [www.aqmd.gov](http://www.aqmd.gov)

## **Business Information Request**

Dear SCAQMD Contractor/Supplier:

The South Coast Air Quality Management District (SCAQMD) is committed to ensuring that our contractor/supplier records are current and accurate. If your firm is selected for award of a purchase order or contract, it is imperative that the information requested herein be supplied in a timely manner to facilitate payment of invoices. In order to process your payments, we need the enclosed information regarding your account. **Please review and complete the information identified on the following pages, complete the enclosed W-9 form, remember to sign both documents for our files, and return them as soon as possible to the address below:**

**Attention: Accounts Payable, Accounting Department  
South Coast Air Quality Management District  
21865 Copley Drive  
Diamond Bar, CA 91765-4178**

If you do not return this information, we will not be able to establish you as a vendor. This will delay any payments and would still necessitate your submittal of the enclosed information to our Accounting department before payment could be initiated. Completion of this document and enclosed forms would ensure that your payments are processed timely and accurately.

**If you have any questions or need assistance in completing this information, please contact Accounting at (909) 396-3777. We appreciate your cooperation in completing this necessary information.**

Sincerely,

Michael B. O'Kelly  
Chief Financial Officer

DH:tm

Enclosures: Business Information Request  
Disadvantaged Business Certification  
W-9  
Federal Contract Debarment Certification  
Campaign Contribution Disclosure



# South Coast Air Quality Management District

21865 Copley Drive, Diamond Bar, CA 91765-4178

(909) 396-2000 • [www.aqmd.gov](http://www.aqmd.gov)

## BUSINESS INFORMATION REQUEST

Business Name	
Division of	
Subsidiary of	
Website Address	
Type of Business <i>Check One:</i>	<input type="checkbox"/> Individual <input type="checkbox"/> DBA, Name _____, County Filed in _____ <input type="checkbox"/> Corporation, ID No. _____ <input type="checkbox"/> LLC/LLP, ID No. _____ <input type="checkbox"/> Other _____

## REMITTING ADDRESS INFORMATION

Address			
City/Town			
State/Province		Zip	
Phone	(     )     -     Ext	Fax	(     )     -
Contact		Title	
E-mail Address			
Payment Name if Different			



## DISADVANTAGED BUSINESS CERTIFICATION

Federal guidance for utilization of disadvantaged business enterprises allows a vendor to be deemed a small business enterprise (SBE), minority business enterprise (MBE) or women business enterprise (WBE) if it meets the criteria below.

- is certified by the Small Business Administration or
- is certified by a state or federal agency or
- is an independent MBE(s) or WBE(s) business concern which is at least 51 percent owned and controlled by minority group member(s) who are citizens of the United States.

### Statements of certification:

As a prime contractor to the SCAQMD, \_\_\_\_\_ (name of business) will engage in good faith efforts to achieve the fair share in accordance with 40 CFR Section 31.36(e), and will follow the six affirmative steps listed below **for contracts or purchase orders funded in whole or in part by federal grants and contracts.**

1. Place qualified SBEs, MBEs, and WBEs on solicitation lists.
2. Assure that SBEs, MBEs, and WBEs are solicited whenever possible.
3. When economically feasible, divide total requirements into small tasks or quantities to permit greater participation by SBEs, MBEs, and WBEs.
4. Establish delivery schedules, if possible, to encourage participation by SBEs, MBEs, and WBEs.
5. Use services of Small Business Administration, Minority Business Development Agency of the Department of Commerce, and/or any agency authorized as a clearinghouse for SBEs, MBEs, and WBEs.
6. If subcontracts are to be let, take the above affirmative steps.

### Self-Certification Verification: Also for use in awarding additional points, **as applicable**, in accordance with SCAQMD Procurement Policy and Procedure:

Check all that apply:

- |   |  |
|---|--|
| <input type="checkbox"/> Small Business Enterprise/Small Business Joint Venture | <input type="checkbox"/> Women-owned Business Enterprise                               |
| <input type="checkbox"/> Local business   | <input type="checkbox"/> Disabled Veteran-owned Business Enterprise/DVBE Joint Venture |
| <input type="checkbox"/> Minority-owned Business Enterprise                     |  |

Percent of ownership: \_\_\_\_\_ %

Name of Qualifying Owner(s): \_\_\_\_\_

I, the undersigned, hereby declare that to the best of my knowledge the above information is accurate. Upon penalty of perjury, I certify information submitted is factual.

\_\_\_\_\_  
*NAME*

\_\_\_\_\_  
*TITLE*

\_\_\_\_\_  
*A. TELEPHONE NUMBER*

\_\_\_\_\_  
*DATE*

# Definitions

**Disabled Veteran-Owned Business Enterprise** means a business that meets all of the following criteria:

- is a sole proprietorship or partnership of which is at least 51 percent owned by one or more disabled veterans, or in the case of any business whose stock is publicly held, at least 51 percent of the stock is owned by one or more disabled veterans; a subsidiary which is wholly owned by a parent corporation but only if at least 51 percent of the voting stock of the parent corporation is owned by one or more disabled veterans; or a joint venture in which at least 51 percent of the joint venture's management and control and earnings are held by one or more disabled veterans.
- the management and control of the daily business operations are by one or more disabled veterans. The disabled veterans who exercise management and control are not required to be the same disabled veterans as the owners of the business.
- is a sole proprietorship, corporation, partnership, or joint venture with its primary headquarters office located in the United States and which is not a branch or subsidiary of a foreign corporation, firm, or other foreign-based business.

**Joint Venture** means that one party to the joint venture is a DVBE and owns at least 51 percent of the joint venture. In the case of a joint venture formed for a single project this means that DVBE will receive at least 51 percent of the project dollars.

**Local Business** means a business that meets all of the following criteria:

- has an ongoing business within the boundary of the SCAQMD at the time of bid application.
- performs 90 percent of the work within SCAQMD's jurisdiction.

**Minority-Owned Business Enterprise** means a business that meets all of the following criteria:

- is at least 51 percent owned by one or more minority persons or in the case of any business whose stock is publicly held, at least 51 percent of the stock is owned by one or more minority persons.
- is a business whose management and daily business operations are controlled or owned by one or more minority person.
- is a business which is a sole proprietorship, corporation, partnership, joint venture, an association, or a cooperative with its primary headquarters office located in the United States, which is not a branch or subsidiary of a foreign corporation, foreign firm, or other foreign business.

"Minority" person means a Black American, Hispanic American, Native American (including American Indian, Eskimo, Aleut, and Native Hawaiian), Asian-Indian American (including a person whose origins are from India, Pakistan, or Bangladesh), Asian-Pacific American (including a person whose origins are from Japan, China, the Philippines, Vietnam, Korea, Samoa, Guam, the United States Trust Territories of the Pacific, Northern Marianas, Laos, Cambodia, or Taiwan).

**Small Business Enterprise** means a business that meets the following criteria:

- a. 1) an independently owned and operated business; 2) not dominant in its field of operation; 3) together with affiliates is either:
  - A service, construction, or non-manufacturer with 100 or fewer employees, and average annual gross receipts of ten million dollars (\$10,000,000) or less over the previous three years, or
  - A manufacturer with 100 or fewer employees.
- b. Manufacturer means a business that is both of the following:
  - 1) Primarily engaged in the chemical or mechanical transformation of raw materials or processed substances into new products.
  - 2) Classified between Codes 311000 to 339000, inclusive, of the North American Industrial Classification System (NAICS) Manual published by the United States Office of Management and Budget, 2007 edition.

**Small Business Joint Venture** means that one party to the joint venture is a Small Business and owns at least 51 percent of the joint venture. In the case of a joint venture formed for a single project this means that the Small Business will receive at least 51 percent of the project dollars.

**Women-Owned Business Enterprise** means a business that meets all of the following criteria:

- is at least 51 percent owned by one or more women or in the case of any business whose stock is publicly held, at least 51 percent of the stock is owned by one or more women.
- is a business whose management and daily business operations are controlled or owned by one or more women.
- is a business which is a sole proprietorship, corporation, partnership, or a joint venture, with its primary headquarters office located in the United States, which is not a branch or subsidiary of a foreign corporation, foreign firm, or other foreign business.

**Request for Taxpayer  
Identification Number and Certification**

Give form to the  
requester. Do not  
send to the IRS.

Print or type  
See Specific Instructions on page 2.

Name (as shown on your income tax return)	
Business name, if different from above	
Check appropriate box: <input type="checkbox"/> Individual/ Sole proprietor <input type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Other ▶ .....	<input type="checkbox"/> Exempt from backup withholding
Address (number, street, and apt. or suite no.)	Requester's name and address (optional)
City, state, and ZIP code	
List account number(s) here (optional)	

**Part I Taxpayer Identification Number (TIN)**

Enter your TIN in the appropriate box. The TIN provided must match the name given on Line 1 to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

**Note.** If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number								
			+					
or								
Employer identification number								
			+					

**Part II Certification**

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. person (including a U.S. resident alien).

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. (See the instructions on page 4.)

<b>Sign Here</b>	Signature of U.S. person ▶	Date ▶
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**Purpose of Form**

A person who is required to file an information return with the IRS, must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

**U.S. person.** Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee.

**Note.** If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

For federal tax purposes you are considered a person if you are:

- An individual who is a citizen or resident of the United States,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States, or

- Any estate (other than a foreign estate) or trust. See Regulations sections 301.7701-6(a) and 7(a) for additional information.

**Foreign person.** If you are a foreign person, do not use Form W-9. Instead, use the appropriate Form W-8 (see Publication 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

**Nonresident alien who becomes a resident alien.**

Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the recipient has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items:

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.

4. The type and amount of income that qualifies for the exemption from tax.

5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

**Example.** Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity not subject to backup withholding, give the requester the appropriate completed Form W-8.

**What is backup withholding?** Persons making certain payments to you must under certain conditions withhold and pay to the IRS 28% of such payments (after December 31, 2002). This is called "backup withholding." Payments that may be subject to backup withholding include interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester, or
2. You do not certify your TIN when required (see the Part II instructions on page 4 for details), or
3. The IRS tells the requester that you furnished an incorrect TIN, or
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See the instructions below and the separate Instructions for the Requester of Form W-9.

## Penalties

**Failure to furnish TIN.** If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

**Civil penalty for false information with respect to withholding.** If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

**Criminal penalty for falsifying information.** Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

**Misuse of TINs.** If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

## Specific Instructions

### Name

If you are an individual, you must generally enter the name shown on your social security card. However, if you have changed your last name, for instance, due to marriage without informing the Social Security Administration of the name change, enter your first name, the last name shown on your social security card, and your new last name.

If the account is in joint names, list first, and then circle, the name of the person or entity whose number you entered in Part I of the form.

**Sole proprietor.** Enter your individual name as shown on your social security card on the "Name" line. You may enter your business, trade, or "doing business as (DBA)" name on the "Business name" line.

**Limited liability company (LLC).** If you are a single-member LLC (including a foreign LLC with a domestic owner) that is disregarded as an entity separate from its owner under Treasury regulations section 301.7701-3, enter the owner's name on the "Name" line. Enter the LLC's name on the "Business name" line. Check the appropriate box for your filing status (sole proprietor, corporation, etc.), then check the box for "Other" and enter "LLC" in the space provided.

**Other entities.** Enter your business name as shown on required Federal tax documents on the "Name" line. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on the "Business name" line.

**Note.** You are requested to check the appropriate box for your status (individual/sole proprietor, corporation, etc.).

### Exempt From Backup Withholding

If you are exempt, enter your name as described above and check the appropriate box for your status, then check the "Exempt from backup withholding" box in the line following the business name, sign and date the form.

Generally, individuals (including sole proprietors) are not exempt from backup withholding. Corporations are exempt from backup withholding for certain payments, such as interest and dividends.

**Note.** If you are exempt from backup withholding, you should still complete this form to avoid possible erroneous backup withholding.

**Exempt payees.** Backup withholding is not required on any payments made to the following payees:

1. An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2),
  2. The United States or any of its agencies or instrumentalities,
  3. A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities,
  4. A foreign government or any of its political subdivisions, agencies, or instrumentalities, or
  5. An international organization or any of its agencies or instrumentalities.
- Other payees that may be exempt from backup withholding include:
6. A corporation,



7. A foreign central bank of issue,
8. A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States,
9. A futures commission merchant registered with the Commodity Futures Trading Commission,
10. A real estate investment trust,
11. An entity registered at all times during the tax year under the Investment Company Act of 1940,
12. A common trust fund operated by a bank under section 584(a),
13. A financial institution,
14. A middleman known in the investment community as a nominee or custodian, or
15. A trust exempt from tax under section 664 or described in section 4947.

The chart below shows types of payments that may be exempt from backup withholding. The chart applies to the exempt recipients listed above, 1 through 15.

IF the payment is for . . .	THEN the payment is exempt for . . .
Interest and dividend payments	All exempt recipients except for 9
Broker transactions	Exempt recipients 1 through 13. Also, a person registered under the Investment Advisers Act of 1940 who regularly acts as a broker
Barter exchange transactions and patronage dividends	Exempt recipients 1 through 5
Payments over \$600 required to be reported and direct sales over \$5,000 <sup>1</sup>	Generally, exempt recipients 1 through 7 <sup>2</sup>

<sup>1</sup>See Form 1099-MISC, Miscellaneous Income, and its instructions.

<sup>2</sup>However, the following payments made to a corporation (including gross proceeds paid to an attorney under section 6045(f), even if the attorney is a corporation) and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees; and payments for services paid by a Federal executive agency.

## Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-owner LLC that is disregarded as an entity separate from its owner (see *Limited liability company (LLC)* on page 2), enter your SSN (or EIN, if you have one). If the LLC is a corporation, partnership, etc., enter the entity's EIN.

**Note.** See the chart on page 4 for further clarification of name and TIN combinations.

**How to get a TIN.** If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local Social Security Administration office or get this form online at [www.socialsecurity.gov/online/ss-5.pdf](http://www.socialsecurity.gov/online/ss-5.pdf). You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at [www.irs.gov/businesses/](http://www.irs.gov/businesses/) and clicking on Employer ID Numbers under Related Topics. You can get Forms W-7 and SS-4 from the IRS by visiting [www.irs.gov](http://www.irs.gov) or by calling 1-800-TAX-FORM (1-800-829-3676).

If you are asked to complete Form W-9 but do not have a TIN, write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

**Note.** Writing "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

**Caution:** A disregarded domestic entity that has a foreign owner must use the appropriate Form W-8.

## Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if items 1, 4, and 5 below indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). Exempt recipients, see *Exempt From Backup Withholding* on page 2.

**Signature requirements.** Complete the certification as indicated in 1 through 5 below.

1. **Interest, dividend, and barter exchange accounts** opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.

2. **Interest, dividend, broker, and barter exchange accounts** opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

3. **Real estate transactions.** You must sign the certification. You may cross out item 2 of the certification.

4. **Other payments.** You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

5. **Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions.** You must give your correct TIN, but you do not have to sign the certification.

## What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account)	The actual owner of the account or, if combined funds, the first individual on the account <sup>1</sup>
3. Custodian account of a minor (Uniform Gift to Minors Act)	The minor <sup>2</sup>
4. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee <sup>1</sup>
b. So-called trust account that is not a legal or valid trust under state law	The actual owner <sup>1</sup>
5. Sole proprietorship or single-owner LLC	The owner <sup>3</sup>
For this type of account:	Give name and EIN of:
6. Sole proprietorship or single-owner LLC	The owner <sup>3</sup>
7. A valid trust, estate, or pension trust	Legal entity <sup>4</sup>
8. Corporate or LLC electing corporate status on Form 8832	The corporation
9. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
10. Partnership or multi-member LLC	The partnership
11. A broker or registered nominee	The broker or nominee
12. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity

<sup>1</sup>List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

<sup>2</sup>Circle the minor's name and furnish the minor's SSN.

<sup>3</sup>You must show your individual name and you may also enter your business or "DBA" name on the second name line. You may use either your SSN or EIN (if you have one). If you are a sole proprietor, IRS encourages you to use your SSN.

<sup>4</sup>List first and circle the name of the legal trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.)

**Note.** If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

## Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons who must file information returns with the IRS to report interest, dividends, and certain other income paid to you, mortgage interest you paid, the acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA, or Archer MSA or HSA. The IRS uses the numbers for identification purposes and to help verify the accuracy of your tax return. The IRS may also provide this information to the Department of Justice for civil and criminal litigation, and to cities, states, and the District of Columbia to carry out their tax laws. We may also disclose this information to other countries under a tax treaty, to federal and state agencies to enforce federal nontax criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism.

You must provide your TIN whether or not you are required to file a tax return. Payers must generally withhold 28% of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to a payer. Certain penalties may also apply.



United State Environmental Protection Agency  
Washington, DC 20460

## **Certification Regarding Debarment, Suspension, and Other Responsibility Matters**

The prospective participant certifies to the best of its knowledge and belief that it and the principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them or commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction: violation of Federal or State antitrust statute or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

I understand that a false statement on this certification may be grounds for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

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Typed Name & Title of Authorized Representative

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Signature of Authorized Representative Date

☐ I am unable to certify to the above statements. My explanation is attached.

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EPA Form 5700-49 (11-88)





## CAMPAIGN CONTRIBUTIONS DISCLOSURE

California law prohibits a party, or an agent, from making campaign contributions to AQMD Governing Board Members or members/alternates of the Mobile Source Pollution Reduction Committee (MSRC) of \$250 or more while their contract or permit is pending before the AQMD; and further prohibits a campaign contribution from being made for three (3) months following the date of the final decision by the Governing Board or the MSRC on a donor's contract or permit. Gov't Code §84308(d). For purposes of reaching the \$250 limit, the campaign contributions of the bidder or contractor plus contributions by its parents, affiliates, and related companies of the contractor or bidder are added together. 2 C.C.R. §18438.5.

In addition, Board Members or members/alternates of the MSRC must abstain from voting on a contract or permit if they have received a campaign contribution from a party or participant to the proceeding, or agent, totaling \$250 or more in the 12-month period prior to the consideration of the item by the Governing Board or the MSRC. Gov't Code §84308(c). When abstaining, the Board Member or members/alternates of the MSRC must announce the source of the campaign contribution on the record. *Id.* The requirement to abstain is triggered by campaign contributions of \$250 or more in total contributions of the bidder or contractor, *plus* any of its parent, subsidiary, or affiliated companies. 2 C.C.R. §18438.5.

In accordance with California law, bidders and contracting parties are required to disclose, at the time the application is filed, information relating to any campaign contributions made to Board Members or members/alternates of the MSRC, including: the name of the party making the contribution (which includes any parent, subsidiary or otherwise related business entity, as defined below), the amount of the contribution, and the date the contribution was made. 2 C.C.R. §18438.8(b).

The list of current AQMD Governing Board Members can be found at the AQMD website ([www.aqmd.gov](http://www.aqmd.gov)). The list of current MSRC members/alternates can be found at the MSRC website (<http://www.cleantransportationfunding.org>).

### **SECTION I. Please complete Section I.**

**Contractor:**

**RFP #: PA # 2012-05**

**List any parent, subsidiaries, or otherwise affiliated business entities of Contractor: (*See definition below*).**

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### **SECTION II**

Has contractor and/or parent, subsidiary, or affiliated company, or agent thereof, made a campaign contribution(s) totaling \$250 or more in the aggregate to a current member of the South Coast Air Quality Management Governing Board or members/alternates of the MSRC in the 12 months preceding the date of execution of this disclosure?

☐ Yes    ☐ No    **If YES, complete Section II below and then sign and date the form.  
If NO, sign and date below. Include this form with your submittal.**

**Campaign Contributions Disclosure, *continued*:**

Name of Contributor \_\_\_\_\_

_____	_____	_____
Governing Board Member or MSRC Member/Alternate	Amount of Contribution	Date of Contribution

Name of Contributor \_\_\_\_\_

_____	_____	_____
Governing Board Member or MSRC Member/Alternate	Amount of Contribution	Date of Contribution

Name of Contributor \_\_\_\_\_

_____	_____	_____
Governing Board Member or MSRC Member/Alternate	Amount of Contribution	Date of Contribution

Name of Contributor \_\_\_\_\_

_____	_____	_____
Governing Board Member or MSRC Member/Alternate	Amount of Contribution	Date of Contribution

Name of Contributor \_\_\_\_\_

_____	_____	_____
Governing Board Member or MSRC Member/alternate	Amount of Contribution	Date of Contribution

**I declare the foregoing disclosures to be true and correct.**

By: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

<b>DEFINITIONS</b>
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**Parent, Subsidiary, or Otherwise Related Business Entity.**

- (1) Parent subsidiary. A parent subsidiary relationship exists when one corporation directly or indirectly owns shares possessing more than 50 percent of the voting power of another corporation.*
- (2) Otherwise related business entity. Business entities, including corporations, partnerships, joint ventures and any other organizations and enterprises operated for profit, which do not have a parent subsidiary relationship are otherwise related if any one of the following three tests is met:*
  - (A) One business entity has a controlling ownership interest in the other business entity.*
  - (B) There is shared management and control between the entities. In determining whether there is shared management and control, consideration should be given to the following factors:*
    - (i) The same person or substantially the same person owns and manages the two entities;*
    - (ii) There are common or commingled funds or assets;*
    - (iii) The business entities share the use of the same offices or employees, or otherwise share activities, resources or personnel on a regular basis;*
    - (iv) There is otherwise a regular and close working relationship between the entities; or*
  - (C) A controlling owner (50% or greater interest as a shareholder or as a general partner) in one entity also is a controlling owner in the other entity.*

**2 Cal. Code of Regs., §18703.1(d).**



[↑ Back to Agenda](#)

BOARD MEETING DATE: January 6, 2012

AGENDA NO. 5

**PROPOSAL:** Approve Contract Modification under Alternative Fuel School Bus Incentives Program as Part of FY 2010-11 AB 2766 Discretionary Fund Work Program

**SYNOPSIS:** As part of their FY 2010-11 AB 2766 Discretionary Fund Work Program, the MSRC implemented an Alternative Fuel School Bus Incentives Program and issued contracts to two qualifying vendors. This action is to modify the contract with one vendor, A-Z Bus Sales, to add additional funds in the amount of \$525,000 to provide incentives toward the purchase of 21 alternative fuel school buses. At this time, the MSRC seeks Board approval of this contract modification under the FY 2010-11 AB 2766 Discretionary Fund Work Program.

**COMMITTEE:** Mobile Source Air Pollution Reduction Review, December 15, 2011, Recommended for Approval

**RECOMMENDED ACTIONS:**

1. Approve a funding augmentation in an amount not to exceed \$525,000 to existing contract #MS11002 with A-Z Bus Sales under the Alternative Fuel School Bus Incentives Program, using the remaining funds previously allocated to this Program plus an additional \$40,000 from unallocated revenue, as part of the FY 2010-11 AB 2766 Discretionary Fund Work Program, as described in this letter;
2. Authorize MSRC the authority to adjust contract awards up to five percent, as necessary and previously granted in prior work programs; and
3. Authorize the Chairman of the Board to execute the contract modification under the FY 2010-11 AB 2766 Discretionary Fund Work Program, as described above and within this letter.

Greg Winterbottom  
Chair, MSRC

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## **Background**

In September 1990 Assembly Bill 2766 was signed into law (Health & Safety Code Sections 44220-44247) authorizing the imposition of an annual \$4 motor vehicle registration fee to fund the implementation of programs exclusively to reduce air pollution from motor vehicles. AB 2766 provides that 30 percent of the annual \$4 vehicle registration fee subvented to the AQMD be placed into an account to be allocated pursuant to a work program developed and adopted by the MSRC and approved by the Board.

## **Alternative Fuel School Bus Incentives Program**

The MSRC also allocated \$1.5 million under the FY 2010-11 Work Program for an Alternative Fuel School Bus Incentives Program. The MSRC released an RFQ seeking qualified vendors to participate in the Program. Vendors deemed qualified would be contractually authorized to offer buy-down incentives to qualifying school districts or private providers of pupil transportation, not to exceed the following amounts per qualified bus: 1) \$15,000 for cutaway “Type A” CNG or LPG buses; 2) \$25,000 for conventional body LPG bus or advanced technology hybrid electric bus; and 3) \$45,000 per full size “Type D” CNG school bus. Two vendors, A-Z Bus Sales and BusWest, were deemed “qualified” vendors and awarded initial contracts of \$300,000 each, with additional funds to be allocated based on demand. To date, A-Z Bus Sales has been awarded \$475,000; and BusWest, \$540,000. At its December 15, 2011 meeting, the MSRC considered a request for a funding augmentation from one of the qualified vendors; further details are provided below in the Proposals section.

## **Outreach**

In accordance with AQMD’s Procurement Policy and Procedure, public notices advertising the Alternative Fuel Infrastructure and Event Center Transportation Program Announcements and inviting bids were published in the Los Angeles Times, the Orange County Register, the San Bernardino Sun, and Riverside County Press Enterprise newspapers to leverage the most cost-effective method of outreach to the South Coast Basin.

Additionally, potential bidders may have been notified utilizing AQMD’s own electronic listing of certified minority vendors. Notice of the Program Announcements has been e-mailed to the Black and Latino Legislative Caucuses and various minority chambers of commerce and business associations, and placed on the Internet at AQMD’s website (<http://www.aqmd.gov>). Information was also available on AQMD’s bidder’s 24-hour telephone message line (909) 396-2724. Further, the solicitations were posted on the MSRC’s website at <http://www.cleantransportationfunding.org> and electronic notifications were sent to those subscribing to this website’s notification service.

## **Proposal Evaluation and Panel Composition**

Applications received in response to the Alternative Fuel Infrastructure and Event Center Transportation Program Announcements were evaluated by members of the MSRC’s

Technical Advisory Committee (MSRC-TAC), a diverse group of individuals appointed by participating members as prescribed in the Health & Safety Code.

### **Proposal**

At its December 15, 2011 meeting, the MSRC considered recommendations from its MSRC-TAC and unanimously approved the following:

#### **Alternative Fuel School Bus Incentives Program**

As mentioned in the Background section, the MSRC allocated \$1.5 million under the FY 2010-11 Work Program for an Alternative Fuel School Bus Incentives Program. Two vendors, A-Z Bus Sales and BusWest, were deemed qualified vendors contractually authorized to offer buy-down incentives to qualifying school districts or private providers of pupil transportation. To date, A-Z Bus Sales has been awarded \$475,000; and BusWest, \$540,000. At its December 15, 2011 meeting, the MSRC considered a request from A-Z Bus Sales for an additional \$525,000. The funding would provide \$25,000 incentives to fulfill orders for 21 propane-fueled school buses, 2 for Hemet Unified School District and 19 for Atlantic Express, a private pupil transportation provider. The Atlantic Express school buses will be used to transport students on newly acquired routes for the Los Angeles Unified School District. The MSRC unanimously approved the funding augmentation to A-Z Bus Sales to fulfill these orders. Since there was only \$485,000 remaining on the balance set aside for this program, the MSRC allocated an additional \$40,000 from unallocated revenue to complete the request. The MSRC is considering allocating further funds for school bus incentives as part of its FY 2011-12 Work Program, which will be presented to the AQMD Board for consideration in spring 2012.

At this time the MSRC requests the AQMD Board to approve the above contract modification as part of FY 2010-11 AB 2766 Discretionary Fund Work Program. The MSRC also requests the Board to authorize the AQMD Chairman of the Board the authority to execute all agreements described in this letter. The MSRC further requests authority to adjust the funds allocated to each project specified in this Board letter by up to five percent of the project's recommended funding. The Board has granted this authority to the MSRC for all past Work Programs.

#### **Resource Impacts**

The AQMD acts as fiscal administrator for the AB 2766 Discretionary Fund Program (Health & Safety Code Section 44243). Money received for this program is recorded in a special revenue fund (Fund 23) and the contracts will be drawn from this fund. These contracts will have no fiscal impact on the AQMD's operational budget.

BOARD MEETING DATE: January 6, 2012

AGENDA NO. 6

PROPOSAL: Adopt Resolution Amending Records Retention Schedule

SYNOPSIS: State law authorizes the governing body of a local district to approve a records retention schedule that classifies district records and establishes a standard protocol for the disposition or destruction of records. The Governing Board adopted the District's Records Retention Policy and Records Retention Schedule in 2005. Pursuant to the Policy, District staff has conducted a periodic review of the current Policy and the Schedule, and is proposing changes to records categories and retention periods in the Records Retention Schedule.

COMMITTEE: Administrative, December 9, 2011, Recommended for Approval

**RECOMMENDED ACTION:**

Adopt the attached resolution amending the District's Records Retention Schedule, as reflected in the attachment to the resolution.

Barry R. Wallerstein, D.Env.  
Executive Officer

KW:AJO

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**Background**

California Government Code section 60201 authorizes the District to adopt and comply with a records retention schedule that classifies all of the District's records by category and establishes a standard protocol for destruction or disposition of records (Gov't Code section 60201(b)(2)). On May 6, 2005, the Governing Board adopted the District's Records Retention Policy and the Records Retention Schedule replacing the prior policy. All AQMD records and information must be retained and disposed of in accordance with the retention periods specified in the AQMD's Records Retention Schedule, and pursuant to the Records Retention Policy and procedures. The Records Retention Schedule was amended by the Governing Board in 2009 after a periodic review was conducted.

Pursuant to the Records Retention Policy and the Records Retention Schedule, Records Retention Coordinators have been appointed by each department. These coordinators are responsible for the implementation of the Records Retention Schedule and for evaluating the effectiveness of existing retention schedules. As a result of these efforts, the AQMD's storage and inventory of records is managed effectively and efficiently.

In accordance with the Records Retention Policy, the District Counsel's Office, in coordination with the Information Management Division and the Records Retention Coordinators, conducted a review of the Records Retention Policy and the Records Retention Schedule to determine if revisions should be proposed to the Governing Board. Based upon this review, it was determined that additional records have been identified and should be added to the Schedule. Also, there are some current records categories that would be more efficiently managed with a shorter retention period, or would better serve the District's business functions with a longer retention period. There are also revisions proposed to current records categories for clarification purposes. The revisions are shown in bold and underline/strikeout in the attached schedule.

### **Resource Impact**

There are no specific costs associated with the proposed amendments to the Records Retention Schedule. The revised retention schedule, however, should result in the continued effective and efficient management of records, which may generate additional cost savings.

### **Attachment**

1. Resolution Amending the District's Records Retention Schedule
2. Draft Amended Records Retention Schedule (Bold/Underline/strikeout)



## **RESOLUTION NO. \_\_\_\_\_**

### **A Resolution of the South Coast Air Quality Management District (AQMD) Governing Board Amending Its Records Retention Schedule.**

WHEREAS, California Government Code section 60201 authorizes the District to adopt and comply with a records retention schedule that classifies all of the District's records by category and establishes a standard protocol for destruction or disposition of records (Gov't Code section 60201(b)(2)); and

WHEREAS, on May 6, 2005, the Governing Board adopted the District's Records Retention Policy and the Records Retention Schedule; and

WHEREAS, on October 2, 2009, the Governing Board amended the District's Records Retention Schedule; and

WHEREAS, all AQMD records and information must be retained and disposed of in accordance with the retention periods specified in the AQMD's Records Retention Schedule, and pursuant to the Records Retention Policy and procedures; and

WHEREAS, in accordance with the Records Retention Policy, the District Counsel's Office, in coordination with the Information Management Division and the Records Retention Coordinators, conducted a review of the Records Retention Policy and the Records Retention Schedule to determine if revisions should be proposed to the Governing Board; and

WHEREAS, based upon this review, it was determined that: additional records should be added to the Records Retention Schedule; some current records categories would be more efficiently managed with a shorter retention period, or would better serve the District's business functions with a longer retention period; and there are revisions necessary to current records categories for clarification purposes.

THEREFORE, BE IT RESOLVED that the Governing Board does hereby amend the District's Records Retention Schedule, as set forth in the attachment hereto and incorporated by reference herein.

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Date

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Clerk of the Board

# **SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT**

## **RECORDS RETENTION SCHEDULE**

As amended ~~October 2, 2009~~ January 6, 2012

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## SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT RECORDS RETENTION SCHEDULE

Item No.	TITLE AND DESCRIPTION OF RECORDS	RETENTION PERIOD	DISPOSITION/NOTES
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### DISTRICTWIDE

#### SECTION/DIVISION: Districtwide

1	Correspondence	3 yrs.	D
2	Interoffice Memoranda	3 yrs.	D

### EXECUTIVE OFFICE

#### SECTION/DIVISION: Executive Office

1	Chronological Files of Executive Officer	T + 7 yrs.	D
2	Personal and Professional Files of Executive Officer	T + 5 yrs.	D
3	Board Member Correspondence	T of Term + 5 yrs.	D
4	Board Member Travel Authorizations and Board Expense Claims	T of Term + 5 yrs. or 7 years after payment if later	D
5	Advisory Council Agendas, Minutes, Rosters, etc.	P	None
<u>6</u>	<u>Administrative Committee – Presentations, Agendas, and other materials</u>	<u>3 yrs.</u>	<u>D</u>

#### SECTION/DIVISION: Clerk of the Boards

1	Hearing Board Case Files	10 yrs.	D
2	Tapes of Hearing Board Hearings	5 yrs.	D
3	Check Registers	2 yrs.	D
4	Oaths of Office	Term + 6 yrs.	D
5	Agenda/Minutes Package	P	None
6	Publication Notices for Rules	Final compliance date + 1 yr.	D
7	Publication Notices for District Board Meetings	1 yr.	D

ASP	As Space Permits	DUP	Duplicate Record	SUP	When Superseded
CU	Current	L	Life of Contract or Project or object	T	Termination
D	Destroy Document	P	Permanent	UCC	Until Closed or Completed

**SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT  
RECORDS RETENTION SCHEDULE**

Item No.	TITLE AND DESCRIPTION OF RECORDS	RETENTION PERIOD	DISPOSITION/NOTES
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**EXECUTIVE OFFICE**

**SECTION/DIVISION: Media**

1	News Releases	5 yrs.	D
2	News Clippings	5 yrs.	D
3	Biographic Sketches	CU	D

**DISTRICT COUNSEL**

**SECTION/DIVISION: District Counsel**

2	Legislation & Administrative Matters - Comments	4 yrs.	D
3	Opinions/Advice Files	P	None
4	Rule Interpretations/Opinions	P	None
5	Litigation – Pleadings & Orders	P	None
6	Conflict of Interest Forms	Original filings - 7 yrs./ Copies of those filed with the FPPC - 4 yrs.	D
7	Settlement Agreements & MOUs	P	None
<b>8</b>	<b><u>FPPC California Form 802 – Agency Report</u></b>	<b><u>7 yrs.</u></b>	<b><u>D</u></b>

**DISTRICT PROSECUTOR**

**SECTION/DIVISION: Litigation and Investigations**

1	Hearing Board Matters	UCC + 7 yrs.	D
2	Enforcement-Cases	UCC + 4 yrs.	D
3	Enforcement Cases Civil Filings	UCC + 7	D
4	Third Party Subpoenas	1 yr.	D
5	Bankruptcy Creditor Claims	UCC + 1	D

ASP	As Space Permits	DUP	Duplicate Record	SUP	When Superseded
CU	Current	L	Life of Contract or Project or object	T	Termination
D	Destroy Document	P	Permanent	UCC	Until Closed or Completed

**SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT  
RECORDS RETENTION SCHEDULE**

Item No.	TITLE AND DESCRIPTION OF RECORDS	RETENTION PERIOD	DISPOSITION/NOTES
<b>FINANCE</b>			
<b>SECTION/DIVISION: Accounting</b>			
1	Bad Checks	3 yrs.	D
2	<b>Bank Deposits/Receipts, Supporting Documents</b>	<b>53 yrs.</b>	D
3	<b>Bank Statement</b>	<b>37 yrs.</b>	D
4	<b>Bills (Payable)(includes supporting documents)</b>	<b>37 yrs.</b>	D
5	<b>Checks – Self Insurance</b>	<b>57 yrs.</b>	D
6	Claims (Expense and Mileage)	7 yrs. after payment	D
7	Contract Payment and Records	7 yrs. after payment	D
8	Credit Card Payment and Records	7 yrs. after payment	D
9	Detailed Accounts Payable Check Register	3 yrs.	D
10	<b>Deposits Permits Registers</b>	<b>35 yrs.</b>	D
11	Expenditure Ledger (Old System)	P	None
12	Fiscal Year End Statements	P	None
13	<b>Fixed Asset Invoices</b>	<b>57 yrs.</b>	D
14	<b>General Journal</b>	<b>37 yrs.</b>	D
15	Income Tax Reports (1099) & Supporting	7 yrs.	D
16	Journal Report	3 yrs.	D
17	<b>Miscellaneous Revenue Registers</b>	<b>35 yrs.</b>	D
18	<b>Monthly Statements</b>	<b>35 yrs.</b>	D
19	<b>SCAQMD Receipts</b>	<b>35 yrs.</b>	D
20	Use/Sales Tax Returns & Records	7 yrs.	D
21	Voucher to be Posted Reports	3 yrs.	D

ASP	As Space Permits	DUP	Duplicate Record	SUP	When Superseded
CU	Current	L	Life of Contract or Project or object	T	Termination
D	Destroy Document	P	Permanent	UCC	Until Closed or Completed

**SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT  
RECORDS RETENTION SCHEDULE**

Item No.	TITLE AND DESCRIPTION OF RECORDS	RETENTION PERIOD	DISPOSITION/NOTES
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**FINANCE**

**SECTION/DIVISION: Cash Management**

1	Account Analysis Statement	3 yrs.	D
2	<b>Accounts Receivable Report -240 Report</b>	<b>35 yrs.</b>	<b>D</b>
3	Automated/Manual Refund Check Register	3 yrs.	D
4	Bamtrac	6 mos.	D
5	<b>Bank Statements</b>	<b>37 yrs.</b>	<b>D</b>
6	Cancelled Applications/Fee Data Sheet	3 yrs.	D
7	<b>Check Registers</b>	<b>37 yrs.</b>	<b>D</b>
8	Fixed Asset List	1 yr.	D – After close of fiscal year
9	Hartford 457 Deferred Comp	1 ½ yrs.	D
10	PAATS – Overpayment Report	3 yrs.	D
11	Payroll Direct Deposit	P	None
12	<b>Payroll Tax Deposits</b>	<b>4-½5 yrs.</b>	<b>D</b>
13	Quarterly CNG Tax	7 yrs.	D
14	Request for Trust Warrant	3 yrs.	D
15	<b>Receivable Paid Invoices</b>	<b>35 yrs.</b>	<b>D</b>
16	<b>Receipts – SCAQMD Receipts</b>	<b>35 yrs.</b>	<b>D</b>
17	Transmittal of W2	7 yrs.	D
18	Warrants	12 yrs.	D

**SECTION/DIVISION: Billing Services**

1	Fee Billing Problem Resolution Files	3 yrs.	D
2	Certified Mail Log	3 yrs.	D
3	Returned Mail (fee invoices and validations)	3 yrs.	D
4	Fee Billing Invoices	3 yrs.	D
5	Certified Mail Receipts – Fee Invoices	3 yrs.	D

ASP	As Space Permits	DUP	Duplicate Record	SUP	When Superseded
CU	Current	L	Life of Contract or Project or object	T	Termination
D	Destroy Document	P	Permanent	UCC	Until Closed or Completed

## SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT RECORDS RETENTION SCHEDULE

Item No.	TITLE AND DESCRIPTION OF RECORDS	RETENTION PERIOD	DISPOSITION/NOTES
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### FINANCE

#### SECTION/DIVISION: Financial Services

1	AB 2766 Mobile Source & SB 1928 Clean Fuels	Audit + 3 yrs.	D
2	AB 2588 "Hot Spots"	Audit + 3 yrs.	D (Or until CARB invoice is paid + 3 yrs.)
3	Budget – Draft	3 yrs.	D
4	Budget - Final	P	None
5	EPA Grants	Final Report + 3 yrs.	D
6	Subvention	Audit + 3 yrs.	D
7	<u>Investment Oversight and Finance Committees – presentations, agendas, and other materials</u>	<u>P</u>	<u>None</u>

#### SECTION/DIVISION: Payroll

1	Tickler Files	5 yrs.	D
2	Payroll History YTD Totals Report	P	None
3	W2s	P	None
4	W2 Reports	P	None
5	Year End Clearing/Closing Reports	P	None
6	941 Quarterly Reports	P	None
7	LA County Payroll Records	P	None
8	Timecards	P	None
9	Payroll Registers	P	None
10	LACERA Reports	P	None
11	SBCERA Reports	P	None
12	Vacation Requests	6 yrs.	D

#### SECTION/DIVISION: Procurement

1	Contract Files	L + 5 yrs./+ 7 yrs. if federal funding	D
2	Fixed Asset Purchase Orders	L + 34 yrs./+7 yrs. if federal funding	D
3	Stockroom Requisitions	Current FY + 42 yrs.	D
4	Purchase Orders and Requisitions	L + 34 yrs./+7 yrs. if federal funding	D
5	RFPs/RFQs/Proposals	Current FY + 2 yrs.	D

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**ADMINISTRATIVE & HUMAN RESOURCES**

**SECTION/DIVISION: Affirmative Action**

1	Workforce Reports	5 yrs.	D
2	Discrimination Complaint Files	T + 8 yrs.	D
3	Complaint Summary Log	P	None
4	Affirmative Action Plans	ASP	D

**SECTION/DIVISION: Risk Management**

1	Cal OSHA Reports & Citations	5 yrs.	D
2	Training Programs and Records	3 yrs.	D
3	Worker's Compensation Files	UCC + 5 yrs.	D
4	Accident Files	3 yrs.	D
5	Bonds and Insurance Policies	P	None
6	Training Completion Log	P	None
7	Employee Injury (First Aid) Files	3 yrs.	D
8	Tort Claim Liability Files	UCC + 5 yrs.	D

**SECTION/DIVISION: Employee/Labor Relations, Benefits & Records**

1	Individual Personnel Files	T + 5 yrs.	D
2	Negotiations	P	None
3	Grievances & Arbitrations	P	None
4	Disciplinary Support Files	T + 5 yrs.	D
5	Tuition Reimbursement	5 yrs.	D
6	Family/Medical Leave	5 yrs.	D
7	Health Insurance Documentation	3 yrs.	D
8	COBRA Documentation	6 yrs.	D
9	Section 125 Documentation	6 yrs.	D

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**ADMINISTRATIVE & HUMAN RESOURCES**

**SECTION/DIVISION: Employee/Labor Relations, Benefits & Records (Cont.)**

10	Life Insurance Documentation	T + 1 yr.; or UCC	D
11	457 Deferred Compensation Documentation	P	None
12	Insurance Contracts	L + 3 yrs.	D
13	Employee Workforce Data	P	None
14	Disciplinary Action Log	P	None

**SECTION/DIVISION: Recruitment, Selection, Classification & Compensation**

1	Deleted Class Specifications	ASP	D
2	Wage and Salary Data	ASP	D
3	Recruitment Files	5 yrs.	D
4	Classification Studies	SUP	D

**SECTION/DIVISION: Maintenance**

1	Original Building Blueprints	L of Building	D
2	Building Equipment Information	L of Building	D
3	Building Maintenance Information	L of Building	D
4	Maintenance Working Records	5 yrs.	D
5	Construction Drawings & Information	L of Building	D

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**ADMINISTRATIVE & HUMAN RESOURCES**

**SECTION/DIVISION: Business Services**

1	Drawings – Space Plans	SUP	D
2	Utility & Service Invoices	3 yrs.	D
3	Conference Room Records	3 yrs.	D
4	Video Tapes – Perimeter Security Cameras	3 yrs.	D
5	Security Guards Daily Activity Reports (DAR)	3 yrs.	D
6	Property Passes	3 yrs.	D
7	Security Badge Requests	1 yr.	D
8	Access Control I.D. Badges (old, returned, etc.)	2 yrs.	D
9	Janitorial Records – Restroom Inspections	1 yr.	D
10	Visitors Sign-in Books	1 yr.	D
<del>11</del>	<del>Video Tapes – Monthly Board Meetings, Workshops, Conferences, etc.</del>	<del>3 yrs.</del>	<del>D</del>

**SECTION/DIVISION: Print Services**

1	Invoices	5 yrs.	D
2	Negatives/Original	L or SUP	D
3	Print Shop Job Log	5 yrs.	D
4	Print Shop Request Form	3 yrs.	D

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**ADMINISTRATIVE & HUMAN RESOURCES**

**SECTION/DIVISION: Mail Center**

1	Accountable Mail Log Sheets	3 yrs.	D
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**SECTION/DIVISION: Subscription Services**

1	Subscription Order Form	3 yrs.	D
2	Invoices	3 yrs.	D
3	Subscription Services Request Forms	3 yrs.	D

**SECTION/DIVISION: Automotive**

1	Automotive Services Contractor Invoices	5 yrs.	D
2	Errand Request Form	3 yrs.	D
3	Vehicle Mileage Reports	L of Vehicle	D
4	Vehicle Maintenance Cost	L of Vehicle	D
5	Travel Trip Slips	3 yrs.	D

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### INFORMATION MANAGEMENT

#### SECTION/DIVISION: Records Services

1	Annual Report of Records Statistics	3 yrs.	D
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#### SECTION/DIVISION: Administration

1	IM Projects	L + 5 yrs.	D
2	Vendors, Software Contractors - Correspondence	L + 3 yrs.	D
3	IM Policies and Procedures	SUP + 5 yrs.	D
4	IM Reports and Presentations	CU + 5 yrs.	D
<b>5</b>	<b><u>Video Tapes - Board Meetings, Workshops, Conferences, etc.</u></b>	<b><u>3 yrs.</u></b>	<b><u>D</u></b>

#### SECTION/DIVISION: SCAQMD Library

1	Circulation Records & Statistics	T of borrower's privilege expires	D
2	Acquisition Records	6 yrs.	D

#### SECTION/DIVISION: Database Management

1	Database Unload (weekly/monthly)	1 yr.	D
2	Database Unload (yearly)	3 yrs.	D
3	Data Models	L + 6 mo.	D
4	Air Quality Monitoring Data	3 yrs.	D

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### INFORMATION MANAGEMENT

#### SECTION/DIVISION: Computer Operations

1	System Backup (Daily)	1 mo.	D
2	System Backup (Monthly)	3 yrs.	D
3	System Backup (Yearly)	3 yrs.	D
4	System Backup (Fiscal Year End)	3 yrs.	D

#### SECTION/DIVISION: Data Center/Telecommunications

1	Contractor Files - Timesheets, Invoices, etc.	L + 5 yrs.	D
2	Hardware/Software Licenses & Agreements	L + 3 yrs.	D
3	Purchase Requisitions and Inventory Reports	10 yrs.	D
4	Credit Card Receipts	7 yrs.	D

#### SECTION/DIVISION: Information Technology

1	Task Order Documents	L + 3 yrs.	D
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#### SECTION/DIVISION: Public Records Unit

1	Notification Request Transmittal	1 yr.	D
2	Public Records Request and Response	5 yrs.	D
3	Public Records Statistics - Daily	3 yrs.	D
4	Public Records Statistics – Monthly and Year-End	3 yrs.	D

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### PLANNING, RULE DEVELOPMENT & AREA SOURCES

#### SECTION/DIVISION: Planning, Rule Development & Area Sources

1	Rules – Administrative Files	P	None
2	Annual Emission Reports (AERs)	10 yrs.	D
3	AQMP Emission Inventory, Modeling & other related data (non-Baseline years)	SUP + 2 yrs.	D – 2 yrs. after new AQMP is approved by EPA
4	AQMP Baseline Years – Emission Inventory, Modeling & other related data	P	None
5	AB 2588 Files	SUP + 8 yrs.	D
6	Mobile Source Committee	5 yrs.	D
7	Rule 1415 Plan Registrations	5 yrs.	D
8	Rule 444 Permits and Plans	5 yrs.	D
9	Rule 1610 MSERC Generation Documentation	5 yrs. from approval of MSERC	D
10	Rule 1610 MSERC Transaction Forms	5 yrs.	D
<u>11</u>	<u>Home Rule Advisory Group – Agendas, Presentations, Minutes, Rosters, Audio Tapes/Recording</u>	<u>2 yrs.</u>	<u>D</u>
<u>12</u>	<u>AQMP Advisory Group – Agendas, Presentations, Minutes, Rosters, Audio Tapes/Recording</u>	<u>5 yrs.</u>	<u>D</u>
<u>13</u>	<u>Scientific, Technical &amp; Modeling Peer Review Advisory Group – Agendas, Presentation, Minutes, Rosters, Audio Tapes/Recording</u>	<u>5 yrs.</u>	<u>D</u>
<u>14</u>	<u>Public Hearing, Public Workshops and Consultation Meetings - Audio Tapes/Recording</u>	<u>P</u>	<u>None</u>
<u>15</u>	<u>Inspection and Investigative Reports</u>	<u>10 yrs.</u>	<u>D</u>
<u>16</u>	<u>Original Notices to Comply (NTC) and issued NTC submitted to AQMD noting that corrective action has been taken</u>	<u>10 yrs.</u>	<u>D</u>
<u>17</u>	<u>Notices of Violation and NOV Reports</u>	<u>10 yrs.</u>	<u>D</u>

#### SECTION/DIVISION: Meteorology

1	Meteorological Reports	1 yr.	D
2	Forecasts	P	None

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<b>PLANNING, RULE DEVELOPMENT &amp; AREA SOURCES</b>			
<b>SECTION/DIVISION: Transportation Programs</b>			
1	Rule 2202 Employee Transportation Coordinator (ETC) Training – program records and contracts	7 yrs	D
2	Rule 2202 ETC Training – class attendees and class evaluations	P	None
3	Transportation Conformity meetings – meeting agenda packets, presentations, correspondence	5 yrs.	D
4	Transportation Conformity meetings – critical reports and correspondence	P	None
5	AQMD Employee Commute Reduction Program – annual reports, employee commute activity data, incentive claim forms	7 yrs.	D
6	AQMD Employee Commute Reduction Program – employee enrollment information	P	None
7	Rule 2202 Program – employer annual compliance forms and correspondence	5 yrs.	D
8	AB 2766 Subvention Fund Program – local jurisdiction annual program reports, staff reports and resource guides	7 yrs.	D

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### SCIENCE & TECHNOLOGY ADVANCEMENT

#### SECTION/DIVISION: Monitoring & Source Test Engineering

1	QA/QC Records	3 yrs.	D
2	RECLAIM Relative Accuracy Test Audit (RATA) Test Report Summaries and Data	5 yrs.	D
3	Air Quality Data Charts	5 yrs.	D
4	Test Protocols and Plans	5 yrs.	D
5	In-House Source Test Reports	P	None
6	Facility-submitted Source Tests – including BBQ, and AB 2588 Reports	SUP + 5 yrs.	D
7	Contract Source Testing	SUP + 5 yrs.	D
8	Laboratory Approval Programs	L + 2 yrs.	D – 2 years after closure of facility
9	Continuous Emission Monitoring System (CEMS) Reports	10 yrs.	D
10	Station Log Books	5 yrs.	D
11	Instrument Log Books	L + 5 yrs.	D
12	Equipment Location Forms	3 yrs.	D
13	Calibration Records	5 yrs.	D
14	Work Orders and Repair Orders	3 yrs.	D

#### SECTION/DIVISION: Technology ~~Demonstration~~ Advancement Office

1	Technology Committee Agendas, Presentations, Minutes, Rosters, etc.	1 yr.	D
2	Advisory Group Agendas, Presentations, Minutes, Rosters, etc.	3 yrs.	D
3	Clean Fuels Program Annual Report & Plan Update	P	None
4	Program Project Files – Board Letter, Contract, Modifications, Correspondence, Invoices, Reports, including 2-page synopsis	UCC+ 5 yrs.	D
5	Program Project Final Reports, including 2-page synopsis	P	None
6	MSRC Agenda/Minutes Package	P	None

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### SCIENCE & TECHNOLOGY ADVANCEMENT

#### SECTION/DIVISION: Technology ~~Demonstration~~ Advancement Office (Cont.)

7	MSRC TAC Agenda/Minutes Package	P	None
8	MSRC Project Files – Board Letter, Contract, Modifications, Correspondence, Invoices, Reports, including 2-page synopsis	P	None
9	Conferences – Attendee database, Bio, Outreach, etc.	UCC + 5 yrs.	D

#### SECTION/DIVISION: Laboratory Services

1	Analytical Data	10 yrs.	D
2	PM10 Filters and Envelopes	5 yrs.	D
3	PM 2.5 Filters	3 yrs.	D
4	Sample Request Sheets	P	None
5	Laboratory Reports of Analysis	P	None
6	PAMS Analysis Data	P	None
7	Toxics Analysis Data	10 yrs.	D
8	Toxics Analysis Reports	P	None
9	Laboratory Notebooks	P	None
10	Laboratory Reports of Analysis	P	None
11	Compliance Samples Analysis Data	10 yrs.	D
12	XRD Spectra and Data	10 yrs.	D
13	FTIR Spectra and Data	10 yrs.	D
14	Methods of Analysis	SUP + 5 yrs.	D
15	Standard Operating Procedures	SUP + 5 yrs.	D
16	Chain of Custodies for Glass Plates and Samples	P	None

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**SCIENCE & TECHNOLOGY ADVANCEMENT**

**SECTION/DIVISION: Quality Control Testing**

1	Proficiency Test	L of equipment + 3 yrs.	D
2	10% Quality Assurance Analysis Reports	L of equipment + 3 yrs.	D
3	Blind Sample Analysis Reports	L of equipment + 3 yrs.	D
4	Interlab Analysis Reports	L of equipment + 3 yrs.	D
5	Quality Control Charts and Data	L of equipment + 3 yrs.	D

**SECTION/DIVISION: Quality Assurance Program**

1	Quality Assurance Manual	SUP + 7 yrs.	D
2	Maintenance and Calibration Reports	L of equipment + 3 yrs.	D
3	Audit Records	L of equipment + 3 yrs.	D
4	Additional Records Required by NVLAP Accreditation Program	L of equipment + 3 yrs.	D

**SECTION/DIVISION: Microscopy – Instrument Documentation**

1	Manuals and Maintenance Records	L of equipment	D
2	Requisitions and Purchase Orders	L + 3 yrs.	D

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### ENGINEERING & COMPLIANCE

#### SECTION/DIVISION: RECLAIM

1	Initial RECLAIM File - Pre-RECLAIM permits, correspondences, and related documentation	P	None
2	RECLAIM Trading Credit (RTC) transaction registration files	P	None
3	RECLAIM Trading Folder – forms, contracts & related documentation	P	None
4	RECLAIM Trade Reports	P	None
5	RECLAIM APEP & QCER Reports	P	None
6	RECLAIM Monitoring, Reporting & Recordkeeping (MMR) Reports	5 yrs.	D
7	MMR and trade-related correspondences	P	None
8	RECLAIM allocation files	P	None
9	Breakdown Emission Exemption Reports	P	None
10	Rule 2009.1 Forecast Reports	5 yrs.	D

#### SECTION/DIVISION: Compliance

1	Inspector Dailies	3 yrs.	D
2	Equipment Lists	P	None
3	Inspection and Investigative Reports	<del>P 10 yrs.</del>	<del>None</del> D
4	Original Notices to Comply (NTC) and issued NTC submitted to AQMD noting that corrective action has been taken	<del>P 10 yrs.</del>	<del>None</del> D
5	<del>Rule 461 – Gasoline Dispensing Facility Backfill Notifications, test requests and results</del>	<del>P 3 yrs.</del>	<del>None</del> D
6	Plans submitted to, or approved by, AQMD	L + 5 yrs.	D
7	Notifications, reports, analysis, and other information submitted to demonstrate compliance pursuant to federal, state, or local requirements	5 yrs.	D
8	Approved RECLAIM audit & support documentation	P	None
9	Aerometric Information Retrieval System (AIRS) Compliance Checklist	P	None
10	Title V Annual & Semi-Annual Certification Reports, Periodic Monitoring Reports	7 yrs.	D
11	Notices of Violation and NOV Reports	<del>P 10 yrs.</del>	<del>None</del> D

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### ENGINEERING & COMPLIANCE

#### SECTION/DIVISION: Engineering

1	Permit File – including applications, drawings/schematics, assessments, evaluations, permit/reissuance, public notice, public comments/responses, correspondence & related documentation	P	None
2	Plan File – including application, issued plan, drawings/schematics, evaluation, correspondence & related documentation	P	None
3	ERC File – application & related documentation	P	None
4	Title V Permits	P	None
5	RECLAIM Permits	P	None

#### SECTION/DIVISION: Miscellaneous

1	Training-related ID cards, photos, licenses, certificates, exams and other training related documentation	5 yrs.	D
2	<u>Stationary Source Committee – presentations, agendas, and other materials</u>	<u>P</u>	<u>None</u>

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### **LEGISLATIVE & PUBLIC AFFAIRS**

#### **SECTION/DIVISION: Public Affairs**

1	<u>Legislative Committee, and</u> Advisory Group Records	2 yrs.	D
2	Miscellaneous Reports	2 yrs.	D
3	Speeches/Statements/Presentations	2 yrs.	D

#### **SECTION/DIVISION: Small Business Assistance**

1	Public Notices – Flyers & Advertisements	2 yrs.	D
2	Small Business Loan Program Records	L of loan + 2 yrs.	D
3	AQMD Grant Program Records	Audit + 2 yrs.	D
4	Fee Review Committee	3 yrs.	D
5	Expired Permit Call-Back Program	2 yrs.	D
6	SBA Policies	SUP + 2 yrs.	D

#### **SECTION/DIVISION: Community Outreach**

1	Requests for General Information	2 yrs.	D
2	Requests for Publications	2 yrs.	D
3	General Publication Reports	2 yrs.	D
3	Requests for Speaker	5 yrs.	D
4	Mailing Lists	SUP	D
5	Special Brochures	2 yrs.	D
6	Conferences (Attendee Database, Bio, Outreach, etc.)	UCC + 2 yrs.	D
7	Events Information and Materials	2 yrs.	D
8	Visitor Tours Information	2 yrs.	D
9	Web Inquiries Responses	2 yrs.	D

#### **SECTION/DIVISION: Environmental Justice**

1	Town Hall Meeting Records	P	None
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**LEGISLATIVE & PUBLIC AFFAIRS**

**SECTION/DIVISION: Communications Center**

1	Reports and Complaints	2 yrs.	D
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**SECTION/DIVISION: Graphics**

1	Photos and Negatives	P	None
2	Release and Authorization Forms	2 yrs.	D

**SECTION/DIVISION: Legislative**

1	State and Federal Legislation – AQMD Related	2 yrs.	D
2	State and Federal Bills – Unrelated	2 yrs.	D
3	Legislative Committee Records	2 yrs.	D
4	Lobbyist Employer/Lobbyist Registration	3 yrs.	D

ASP	As Space Permits	DUP	Duplicate Record	SUP	When Superseded
CU	Current	L	Life of Contract or Project or object	T	Termination
D	Destroy Document	P	Permanent	UCC	Until Closed or Completed

BOARD MEETING DATE: January 6, 2012

AGENDA NO. 7

PROPOSAL: Legislative and Public Affairs Report

SYNOPSIS: This report highlights November 2011 outreach activities of Legislative and Public Affairs, which include: Environmental Justice Update, Community Events/Public Meetings, Business Assistance, and Outreach to Business and Federal, State, and Local Government.

COMMITTEE: No Committee Review

RECOMMENDED ACTION:  
Receive and file.

Barry R. Wallerstein, D.Env.  
Executive Officer

OA:AG:MC:DM

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### **Background**

This report summarizes the activities of Legislative and Public Affairs for November 2011. The report includes four major areas: Environmental Justice Update, Community Events/Public Meetings (including the Speakers Bureau/Visitor Services, Communications Center, and Public Information Center), Business Assistance and Outreach to Business and Federal, State, and Local Governments.

### **ENVIRONMENTAL JUSTICE UPDATE**

The following are key environmental justice-related activities in which staff participated during November 2011. These events involve communities which suffer disproportionately from adverse air quality impacts.

- On November 4, staff made a presentation on air quality issues to middle school students at Rodriguez Prep Academy in San Bernardino, which is located in an area



of high health risks from air pollution. Students also learned about the Clean Communities Plan and air filter projects in the area.

- On November 5, staff hosted a booth and demonstrated the PHEV Ford Escape at the Inland Empire Lung Association Walk at Fairmont Park in Riverside.
- On November 9, staff assisted with a special screening of AQMD's signature film, *The Right to Breathe*, at the Long Beach Alliance for Children with Asthma (LBACA). The event also featured presentations by LBACA Project Director and Founder Dr. Elisa Nicholas, and the Deputy Director of USC's Children's Environmental Health Center, Dr. Rob McConnell.

### **COMMUNITY EVENTS/PUBLIC MEETINGS**

Each year, thousands of residents engage in valuable information exchanges through events and meetings that AQMD sponsors alone, or in partnership with others. Attendees typically receive the following information: tips on reducing their exposure to smog and its health effects, clean air technologies and their deployment, invitations or notices of conferences, seminars, workshops and other public events, ways to participate in AQMD rule and policy development and assistance in resolving air quality-related problems. The events that AQMD staff attended and provided information and updates include:

- November 1           Annual Health and Wellness Senior Fair, Stanton
- November 3           San Bernardino County Rideshare Fair Event, San Bernardino
- November 4           Brotherhood Crusade Pioneer of African American Achievement Awards Dinner, Beverly Hills
- November 5           American Lung Association in California's 2011 Fight For Air Walk, Riverside
- November 5           Service Employees International Union, Community Health and Resource Fair, Los Angeles
- November 8           Santa Ana Senior Center 2011 Senior Health & Information Fair, Santa Ana Senior Center
- November 10          American Fuel Cell Bus event, SunLine Transit Agency, Thousand Palms
- November 10          Valley Mobility Summit, Van Nuys
- November 15          City of Whittier Employee Expo, Whittier
- November 16          AQMD's Clean Air Fair for Seniors, San Bernardino
- November 18          Santa Monica Bike Center Grand Opening, Santa Monica
- November 19          Rose Bowl Football Game, Pasadena
- November 20          Vietnamese Community Health Fair, Garden Grove
- November 29          Monterey Park Environmental Students Meet & Greet Event, Monterey Park

### **Speakers Bureau/Visitor Services**

AQMD receives requests for staff to speak on a variety of air quality-related issues. The requests come from organizations such as trade associations, chambers of commerce, community-based groups, schools, hospitals and health-based organizations. AQMD also hosts visitors from around the world who meet with staff on a wide range of air quality issues.

- On November 3, staff provided a presentation on current air quality standards and regulations to a delegation of 30 officials from China at the AQMD's Headquarters.

### **Communication Center Statistics**

The Communication Center handles calls on the AQMD main line, 1-800-CUT-SMOG<sup>®</sup> line and Spanish line. Calls received in the month of November 2011 are summarized below:

Main Line Calls	2,430
1-800-CUT-SMOG <sup>®</sup> Line	1,389
After Hours Calls*	612
Spanish Line Calls	<u>36</u>
Total Phone Calls	4,467

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\* Saturdays, Sundays, holidays and after 9:00 p.m.,  
Monday through Friday.

### **Public Information Center Statistics**

The Public Information Center (PIC) handles phone calls and walk-in requests for general information. Information for the month of November 2011 is summarized below:

Visitor Transactions	245
Packages Mailed Out	2
Calls Received by PIC Staff	46
Calls to Automated System	<u>1,326</u>
Total Phone Calls	1,372
E-mail Advisories Sent	25,659

## **BUSINESS ASSISTANCE**

AQMD assists businesses by notifying them of proposed regulations so they can participate in the development of these rules. AQMD also works with other agencies and governments to identify efficient, cost-effective ways to reduce air pollution and shares that information broadly. Additionally, staff provides personalized assistance to small businesses both over the telephone and by on-site consultation. The information is summarized below.

- Conducted 5 free on-site consultations
- Provided permit application assistance to 150 companies
- Issued 16 clearance letters

Types of business assisted:

- |                               |                             |
|-------------------------------|-----------------------------|
| ✓ Food products manufacturing | ✓ Gasoline stations         |
| ✓ Guitar string manufacturing | ✓ Auto bedliner application |
| ✓ Auto body shops             | ✓ Restaurants               |
| ✓ Cellular site               | ✓ Dry cleaners              |
| ✓ Metal plating               | ✓ Furniture refinishing     |

## **OUTREACH TO BUSINESS AND FEDERAL, STATE, AND LOCAL GOVERNMENTS**

Field visits and communications were conducted with elected officials or staff from the following cities:

Alhambra, Agoura Hills, Aliso Viejo, Anaheim, Arcadia, Artesia, Avalon, Azusa, Baldwin Park, Banning, Beaumont, Bell, Bell Gardens, Bellflower, Beverly Hills, Big Bear Lake, Bradbury, Brea, Buena Park, Burbank, Calabasas, Calimesa, Canyon Lake, Carson, Cathedral City, Cerritos, Chino, Chino Hills, Claremont, Coachella, Colton, Commerce, Compton, Corona, Costa Mesa, Covina, Cudahy, Culver City, Cypress, Dana Point, Desert Hot Springs, Diamond Bar, Downey, Duarte, Eastvale, El Monte, El Segundo, Fontana, Fountain Valley, Fullerton, Garden Grove, Gardena, Glendale, Glendora, Grand Terrace, Hawaiian Gardens, Hawthorne, Hemet, Hermosa Beach, Hidden Hills, Highland, Huntington Beach, Huntington Park, Indian Wells, Indio, Industry, Inglewood, Irvine, Irwindale, Jurupa Valley, La Cañada Flintridge, La Habra, La Habra Heights, La Mirada, La Palma, La Puente, La Quinta, La Verne, Laguna Beach, Laguna Hills, Laguna Niguel, Laguna Woods, Lake Elsinore, Lake Forest, Lakewood, Lawndale, Loma Linda, Lomita, Long Beach, Los Alamitos, Los Angeles, Lynwood, Malibu, Manhattan Beach, Maywood, Menifee, Mission Viejo, Monrovia, Montclair, Montebello, Monterey Park, Moreno Valley, Murrieta, Newport Beach, Norco, Norwalk, Ontario, Orange, Palm Desert, Palm Springs, Palos Verdes Estates, Paramount, Pasadena, Perris, Pico Rivera, Placentia, Pomona, Rancho Cucamonga, Rancho Mirage, Rancho Palos Verdes, Rancho Santa Margarita, Redlands, Redondo

Beach, Rialto, Riverside, Rolling Hills, Rolling Hills Estates, Rosemead, San Bernardino, San Clemente, San Dimas, San Fernando, San Gabriel, San Jacinto, San Juan Capistrano, San Marino, Santa Ana, Santa Clarita, Santa Fe Springs, Santa Monica, Seal Beach, Sierra Madre, Signal Hill, South El Monte, South Gate, South Pasadena, Stanton, Temecula, Temple City, Torrance, Tustin, Upland, Vernon, Villa Park, Walnut, West Covina, West Hollywood, Westlake Village, Westminster, Whittier, Wildomar, Yorba Linda, and Yucaipa.

Visits and/or communications were conducted with elected officials or staff from the following offices:

- U.S. Senator Barbara Boxer
- U.S. Congressman Joe Baca
- State Senator Bob Dutton
- State Senator Gloria Negrete McLeod
- Assembly Member Wilmer Amina Carter
- Assembly Member Betsy Butler

Staff represented AQMD and/or provided a presentation to the following groups:

American Lung Association in California, Inland Counties  
American Auto Association, Palm Springs  
Art Samson Community Library, Thousand Palms  
Atria Senior Living, Palm Desert  
Beaumont Senior Center  
Banning Senior Center  
Cathedral City Senior Library  
Cathedral City Senior Center  
Chinese American Working Group, Rosemead  
Coachella Valley Senior Center, Coachella  
Five-Mountain Communities Government Affairs Council, Blue Jay  
Grange Community Center, Cherry Valley  
Har-El Organization, Palm Desert  
Indio Municipal Golf Course  
Irwindale Chamber of Commerce  
Ivey Ranch, Thousand Palms  
James A. Venable Center, Cabazon  
Mecca Library  
Morongo Senior Center, Banning  
Norton Younglove MPR Senior Center, Calimesa  
Pasadena Chamber of Commerce  
Rancho Casa Blanca, RV Resort, Cathedral City

Rancho Mirage Public Library  
Rodriguez Prep Academy, San Bernardino  
Rosemead Chamber of Commerce  
San Bernardino Chamber of Commerce  
San Bernardino Association of Governments, San Bernardino  
San Gabriel Valley Council of Governments, Irwindale  
San Gabriel Valley Economic Partnership, Baldwin Park  
Segovia Living Facility, Palm Desert  
Shenandoah Springs, Thousand Palms  
South Pasadena Chamber of Commerce  
Temple Isaiah, Palm Springs  
Temple Sinai, Palm Desert  
Thousand Palms Library  
Westside Cities Council of Governments, Beverly Hills

BOARD MEETING DATE: January 6, 2012

AGENDA NO. 8

REPORT: Hearing Board Report

SYNOPSIS: This reports the actions taken by the Hearing Board during the period of November 1 through November 30, 2011.

COMMITTEE: Not Applicable

RECOMMENDED ACTION:  
Receive and file this report.

Edward Camarena  
Chairman of Hearing Board

DP

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Two summaries are attached: **Rules From Which Variances and Orders for Abatement Were Requested in 2011** and **November 2011 Hearing Board Cases**.

The total number of appeals filed during the period November 1 to November 30, 2011 is 1; and total number of appeals filed during the period of January 1 to November 30, 2011 is 3.

## Report of November 2011 Hearing Board Cases

Case Name and Case No.	Rules	Reason for Petition	District Position/ Hearing Board Action	Type and Length of Variance or Order	Excess Emissions
1. Air Products & Chemicals, Inc. Case #4276-13 (J. Voge)	203(b) 2004(f)(1) 2012(c)(2)(A) 3002(c)	Malfunction of CEMS NOx analyzer.	Not Opposed/Granted	Ex Parte EV granted commencing 11/8/11 and continuing for 30 days or until the EV hearing currently scheduled for 11/16/11, whichever comes first.	None
2. Air Products & Chemicals, Inc. Case #4276-13 (J. Panasiti)	203(b) 2004(f)(1) 2012(c)(2)(A) 3002(c)(1)	Malfunction of CEMS NOx analyzer.	Opposed/Denied	EV denied.	N/A
3. City of Riverside, Regional Water Quality Plant Case #5674-7 (K. Manwaring)	202(b) 203(b)	Petitioner discovered new leaks during an inspection of recently repaired digester gas system requiring additional repairs.	Not Opposed/Granted	SV granted commencing 11/17/11 and continuing through 1/17/12.	H2S to be determined by 12/2/11
4. Marriott Courtyard Sherman Oaks Case #5850-1 (J. Panasiti)	1146.2	Petitioner operating boilers in violation of limits in Rule 1146.2.	Opposed/Dismissed	IV dismissed for lack of good cause.	N/A
5. SCAQMD vs. Linn Operating, Inc. Case #5711-6 (T. Barrera)	203(b) 2004(f)(1) 2012 3012(c)(1)	Flare has been reclassified as "Large Source" and no longer requires CEMS.	Issued/Stipulated	Mod. O/A issued commencing 11/1/11; the Hearing Board shall retain jurisdiction over this matter until 11/16/11.	N/A
6. SCAQMD vs. Providence Saint Joseph Medical Center Case #5846-1 (K. Manwaring)	1146(c)(2)	Respondent operating two boilers in noncompliance with Rule 1146.	Stipulated/Issued	O/A issued commencing 11/17/11; the Hearing Board shall retain jurisdiction over this matter until 11/17/12.	N/A
7. SCAQMD vs. Stationary Diesel- Fueled Internal Combustion Engine Facilities, Does 1-100 Case #5823-1 (Consent Calendar; No Appearance)	1470	Respondents operating stationary diesel-fueled ICEs violating Tier 4 limit in Rule 1470.	Stipulated/Issued	Group O/A issued 11/17/11 with respect to Does 86 through 99; the Hearing Board shall retain jurisdiction over this matter until 3/1/12.	N/A
8. U.S. General Services Administration Case #5848-1 (M. Reichert)	1146.2(c)(4)	Petitioner operating two boilers without permit and in excess of Rule 1146.2 NOx limits.	Opposed/Denied	Ex Parte EV denied.	N/A

9. Ultramar, Inc., dba Valero Wilmington Refinery Case #3845-80 (M. Reichert)	202(a) 203(b) 401(b)(1) 407(a) 2004(f)(1) 2011(c) 2012(c) 3002(c)(1) H&S §41701	Turnaround of major refinery units may result in periodic excess emissions.	Not Opposed/Granted	SV & AOC granted commencing 1/13/12 and continuing through 3/15/12.	Variance: PM, NOx, ROG, CO and SOx as S02 to be determined by 12/16/11  AOC: PM, NOx, ROG, CO and SOx as S02 to be determined by 12/16/11*  *Petitioner estimates 274 lbs/total of NOx and 503 lbs/total of SOx for the two months of January and February, to be offset by RTCs.
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## Acronyms

APC: Air Pollution Control  
 AOC: Alternative Operating Conditions  
 CARB: California Air Resources Board  
 CEMS: Continuous Emissions Monitoring System  
 CEQA: California Environmental Quality Act  
 CO: Carbon Monoxide  
 ESP: Electrostatic Precipitator  
 EV: Emergency Variance  
 FGRS: Flare Gas Recovery System  
 FCCU: Fluid Catalytic Cracking Unit  
 FCD: Final Compliance Date  
 GDF: Gasoline Dispensing Facility  
 H&S: Health & Safety Code  
 H2S: Hydrogen Sulfide  
 ICE: Internal Combustion Engine  
 ISD: In-Station Diagnostic System  
 I/P: Increments of Progress  
 IA: Interim Authorization  
 IV: Interim Variance  
 MFCD/EXT: Modification of a Final Compliance Date and Extension of a Variance  
 Mod. O/A: Modification of an Order for Abatement  
 NH3: Ammonia  
 NMOC: Non-Methane Organic Compounds  
 NOV: Notice of Violation  
 NOx: Oxides of Nitrogen  
 O/A: Order for Abatement  
 PM: Particulate Matter  
 RATA: Relative Accuracy Test Audit  
 RECLAIM: Regional Clean Air Incentives Market

ROG: Reactive Organic Gas  
 RTC: RECLAIM Trading Credit  
 RV: Regular Variance  
 SCR: Selective Catalytic Reduction  
 SO2: Sulfur Dioxide  
 SOx: Oxides of Sulfur  
 SV: Short Variance  
 TBD: To be determined  
 TOC: Total Organic Compounds  
 VOC: Volatile Organic Compounds  
 VRS: Vapor Recovery System



Rules from which Variances and Order for Abatements were Requested in 2011														
	2011	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total Action
# of HB Actions*														
Involving														
Rules														
109				1							1			2
109(c)														0
109(c)(1)							1							1
201						1	1			2				4
201.1														0
202														0
202(a)						2	1		1	3		1		8
202(b)		1	1	1	1		2		1	2	1	1		11
202(c)														0
203														0
203(a)		1		3	2		3	1			3			13
203(b)		2	5	9	7	5	5	2	6	11	7	5		64
204					1									1
218.1(b)(4)(A)						2								2
218.1(b)(4)(B)						2								2
218.1(B)(4)(C)						2								2
218.1(b)(4)(D)						2								2
218(b)(1)					2									2
218(b)(2)					2						1			3
218(c)(1)(A)					2									2
218(c)(1)(B)(i)					2									2
218(c)(4)(A)					2									2
218(d)(1)(A)														
218(d)(1)(B)														0
218(f)(2)														0
221(b)														0
221(c)														0
221(d)														0
222														1
222(e)(1)					1									1
401				2										2
401(b)														0
401(b)(1)										1	1	1		3
401(b)(1)(A)														0
401(b)(1)(B)														0
402		1					1	1						3
403(d)(1)														0
403(d)(1)(A)														0
403(d)(2)														0
404														0
404(a)														0
405														0
405(a)														0
407														0

Rules from which Variances and Order for Abatements were Requested in 2011														
	2011	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total Action
407(a)												1		1
407(a)														0
407(a)(1)											1			1
407(a)(2)(A)														0
410(d)														0
430(b)(3)(A)(iv)														0
431.1														0
431.1														0
431.1(c)(1)														0
431.1(c)(2)														0
431.1(c)(3)(C)														0
431.1(d)(1)														0
431.1(d)(1), Att A(1)														0
442														0
444														0
444(a)														0
444(c)														0
444(d)														0
461														0
461(c)(1)														0
461(c)(1)(A)														0
461(c)(1)(B)						1	1			1				3
461(c)(1)(C)														0
461(c)(1)(E)														0
461(c)(1)(F)(i)														0
461(c)(1)(F)(iv)														0
461(c)(1)(F)(v)														0
461(c)(1)(H)														0
461(c)(2)														0
461(c)(2)(A)		1	2			1				5	3			4
461(c)(2)(B)										1				1
461(c)(2)(C)														0
461(c)(3)														0
461(c)(3)(A)														0
461(c)(3)(B)														0
461(c)(3)(C)														0
461(c)(3)(D)(ii)														0
461(c)(3)(E)														0
461(c)(3)(F)						1				1				2
461(c)(3)(I)						1				1				2
461(c)(4)(B)														0
461(c)(4)(B)(ii)														0
461(d)(5)(A)														0
461(e)(1)			2							3	3			8
461(e)(2)														0
461(e)(2)(A)														0
461(e)(2)(A)(i)														0

Rules from which Variances and Order for Abatements were Requested in 2011														
	2011	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total Action
461(e)(2)(B)						1								1
461(e)(2)(C)														0
461(e)(3)														0
461(e)(3)(A)														0
461(e)(3)(C)(i)(I)														0
461(e)(3)(D)														0
461(e)(3)(E)														0
461(e)(5)														0
461(e)(7)														0
462														0
462(c)(4)(B)(i)														0
462(c)(7)(A)(ii)														0
462(d)														0
462(d)(1)					1									1
462(d)(1)(A)														0
462(d)(1)(A)(i)														0
462(d)(1)(B)														0
462(d)(1)(C)														0
462(d)(1)(E)(ii)														0
462(d)(1)(F)														0
462(d)(1)(G)														0
462(d)(2)(A)(i)														0
462(e)(1)														0
462(e)(1)(E)														0
462(e)(1)(E)(ii)														0
462(e)(1)(E)(i)(II)														0
462(e)(2)(A)(i)														0
462(e)(4)														0
462(h)(1)														0
463														0
463(c)														0
463(c)(1)														0
463(c)(1)(A)(I)-(iv)														0
463(c)(1)(B)														0
463(c)(1)(C)														0
463(c)(1)(D)														0
463(c)(1)(E)														0
463(c)(2)														0
463(c)(2)(B)														0
463(c)(2)(C)														0
463(c)(3)										1				1
463(c)(3)(A)														0
463(c)(3)(B)														0
463(c)(3)(C)														0
463(d)														0
463(d)(2)														0
463(e)(3)(C)														0

[illegible]

[illegible]



[illegible]

Rules from which Variances and Order for Abatements were Requested in 2011														
	2011	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total Action
1171														0
1171(c)														0
1171(c)(1)														0
1171(c)(1)(A)(i)														0
1171(c)(1)(b)(i)														0
1171(c)(4)														0
1171(c)(5)														0
1171(c)(5)(A)(i)														0
1171(c)(6)														0
1173														0
1173(c)														0
1173(d)														0
1173(e)(1)														0
1173(f)(1)														0
1173(g)														0
1175														0
1175(c)(2)														0
1175(c)(4)(B)														0
1175(c)(4)(B)(i)														0
1175(c)(4)(B)(ii)														0
1175(c)(4)(B)(ii)(I)														0
1175(b)(1) (C)														0
1175(d)(4)(ii)(II)														0
1176														0
1176(e)														0
1176(e)(1)							1		1		1			3
1176(e)(2)							1							1
1176(e)(2)(A)														0
1176(e)(2)(A)(ii)									1		1			2
1176(e)(2)(B)(v)														0
1178(d)(1)(A)(xiii)														0
1178(d)(1)(A)(xiv)														0
1178(d)(1)(B)														0
1176(f)(3)														0
1178(d)(1)(C)														0
1178(d)(3)(C)														0
1178(d)(3)(D)														0
1178(d)(3)(E)														0
1178(d)(4)(A)(i)														0
1178(g)														0
1186.1														0
1186.1														0
1189(c)(3)														0
1195														0
1195(d)(1)(D)														0
1303														0
1303						1								1



[illegible]

Rules from which Variances and Order for Abatements were Requested in 2011														
	2011	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total Action
1470(c)(9)														0
2004								1						1
2004(b)(1)														0
2004(b)(4)														0
2004(c)(1)														0
2004(c)(1)(C)														0
2004(f)(1)				7	6	2	5		5	3	3	4		35
2004(f)(2)														0
2004(k)														0
2005														0
2009(b)(2)														0
2009(c)														0
2009(f)(1)														0
2009(f)(2)														0
2009.1														0
2009.1(c)														0
2009.1(f)(1)														0
2009.1(f)(2)														0
2009.1(f)(3)														0
2011														0
2011(c)												1		1
2011(c)(2)							1							1
2011(c)(2)(A)														0
2011(c)(2)(B)														0
2011(c)(3)(A)														0
2011(e)(1)														0
2011(f)(3)														0
2011(g)														0
2011(g)(1)														0
2011(k)														0
2011(k) Appen. A, Chap. 2, except E & Attach C														0
2011(k) Appen. A, Chap. 2, Section A.3 a-c, A.5 and B. 1-4														0
and Appen. A, Chap. 2, Section C.2.a, c & d														0
2011(k) Appen. A, Chap. 2, Sections A.3.,a.-c.,e.g. and B.1.-4														0
2012			1									1		2
2012, Appen A, Att. C, Section A					2									2
2012 Attach. C, Section B.2.a.				1			1							2
2012 Appen. A, Attach. C, Section B.2.							1		2					3
2012 Appen. A, Attach. C, Section B.2.a. & b.							1							1
2012 Appen. A, Chap. 2														0
2012 Appen A, Chap. 2, Sec. A							1				2			3
2012 Appen A. Chap. 2. Sec. A1.g.														0
2012 Appen A, Chap. 2, Sec. B														0
2012(c)												1		1
2012(c)(2)							1				1			2
2012(c)(2)(A)					2						1	2		5
2012(c)(2)(B)					2									2



[illegible]

BOARD MEETING DATE: January 6, 2012

AGENDA NO. 9

REPORT: Civil Filings and Civil Penalties Report

SYNOPSIS: This reports the monthly penalties from November 1 through November 30, 2011, and legal actions filed by the District Prosecutor during November 1 through November 30, 2011. An Index of District Rules is attached with the penalty report.

COMMITTEE: No Committee Review

RECOMMENDED ACTION:  
Receive and file this report.

Kurt R. Wiese  
General Counsel

KRW:lc

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Violations

Civil Actions Filed

1	PRECISION CONCRETE PUMPING Los Angeles Superior Court – East Pomona Court Case No. 11B05235, Filed: 11.8.11 (NAS) P56215 R. 203 – Permit to Construct
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1 Violation

1 Case

ATTACHMENTS

November 2011 Penalty Reports  
Index of District Rules and Regulations

**SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT  
District Prosecutor's Office**

**November 2011 Penalty Report**

**Total Penalties**

Civil Penalties:	\$12,500.00
MSPAP Penalties:	\$68,878.00
Hearing Board Penalties:	\$13,000.00

Total Cash Penalties:	\$94,378.00
Total SEP Value:	\$0.00

Fiscal Year through November 2011 Cash Total:	\$2,309,928.39
Fiscal Year through November 2011 SEP Value Only Total:	\$46,753.00

FAC ID	COMPANY NAME	RULE NUMBER	RECLAIM ID	SETTLED DATE	ATTY INT	NOTICE NO	TOTAL SETTLEMENT
<b>CIVIL PENALTIES:</b>							
115394	AES ALAMITOS, LLC	3002(C)(1) 2004(F)(1)	Y	11/3/2011	JMP	P51971	\$2,000.00
101656	AIR PRODUCTS AND CHEMICALS, INC.	2004	Y	11/30/2011	JMP	P55519	\$9,000.00
84273	TEVA PARENTERAL MEDICINES, INC	3002(C)(1) 1146		11/4/2011	KCM	P53678	\$1,500.00
<b>TOTAL CIVIL PENALTIES: \$12,500.00</b>							
<b>MSPAP SETTLEMENTS:</b>							
131741	7ELEVEN INC. #33155	461, 461(C)(2)(B)		11/30/2011		P58613	\$390.00
167743	A & J CONCRETE PUMING	203(A)		11/16/2011		P49280	\$330.00
136327	ABBOTT CARDIOVASCULAR SYSTEM	222, 1415		11/15/2011		P58024	\$2,200.00
151828	B.S.N. ENTERPRISES, INC.	461		11/16/2011		P54017	\$420.00
72516	BEL AIR COUNTRY CLUB	203, 461(E)(2)		11/30/2011		P57929	\$550.00

164339	BLUE WAVE MARITIME AGENCY	41701 401(B)(1)(A)	11/3/2011	P57226	\$2,500.00
92985	BODY BUILDERS, ERIC ZUZO & ZAVEN GA	1151(E)(1)	11/4/2011	P57961	\$1,790.00
124815	BOWERS & SONS CLEANERS, VIVIAN BOWE	1421	11/16/2011	P58805	\$500.00
29894	BRENT WEST CAR WASH	461, 41960.2	11/16/2011	P58505	\$490.00
136432	CALABASAS UNION CORP., AMIR AMIRIAN	461(C)(2)(B) 41960.2	11/4/2011	P52235	\$975.00
18885	CHILDREN'S HOSPITAL OF LOS ANGELES	203 (B), 1470	11/22/2011	P55995	\$1,300.00
163378	GAC SHIPPING USA	401, 41701	11/17/2011	P54797	\$4,975.00
163378	GAC SHIPPING USA	401	11/17/2011	P57223	\$1,228.00
147347	K S 4000, INC	461(C)(2)(B)	11/3/2011	P54026	\$300.00
72346	LA COUNTY SHERIFF'S DEPT	1146.1	11/15/2011	P54830	\$1,100.00
153479	LOS ANGELES DYE & WASH CO INC	203 (B), 1146	11/29/2011	P54297	\$800.00



163751	LUCAS IRON WORKS	109, 203(A)	11/16/2011	P57605	\$250.00
146493	MIRACLE CLEANERS, PHILLIP S. YU DBA	203 (A), 1102	11/16/2011	P48471	\$400.00
160957	MOHAMMAD KAS KAS	461(C)(1)(A)	11/3/2011	P57041	\$400.00
152069	MORAN SHIPPING	401	11/3/2011	P54793	\$2,500.00
152069	MORAN SHIPPING	401	11/18/2011	P54789	\$2,500.00
133349	MSRK INC PLAYA VISTA	203 (B), 461	11/16/2011	P57043	\$2,250.00
146527	MULTIPLEX CAR WASH, INC/GRANVIA SER	461(C)(2)(B) 402	11/15/2011	P46544	\$1,250.00
107143	NORTH STAR MINERALS INC, ACTON CLAY	201, 203(A)	11/16/2011	P57556	\$2,200.00
12182	PARK LA BREA	3002(C)(1)	11/16/2011	P57926	\$1,000.00
98725	PORT PETROLEUM INC	461(C)(1)(A)	11/16/2011	P56681	\$550.00
167054	PRECISION COLD PLANING	203(A)	11/10/2011	P54687	\$550.00

166814	PYRAMID ENTERPRISES, INC	203 (A) 461(C)(2)(A)	11/15/2011	P58008	\$1,500.00
32056	R & S OIL CO/ARCO DEALER	461	11/3/2011	P56864	\$200.00
167074	RAMOS BROS. CIRCUS	203(A)	11/15/2011	P54688	\$550.00
91146	SAN BERNARDINO CO VEHICLE SRV DEPT,	203 (B), 461	11/30/2011	P35772	\$600.00
18451	SAN GORGONIO PASS MEM HOSP DIST	1146.1	11/30/2011	P57536	\$1,800.00
58670	SAN JUAN SERVICE	203 (B) 461(C)(2)(B) 41960.2	11/3/2011	P58122	\$1,500.00
28108	SO CAL EDISON CO	403	11/30/2011	P56022	\$3,000.00
164271	SUNRISE ENERGY INC	461	11/30/2011	P54025	\$330.00
122324	SUPER CLEANERS	1421	11/30/2011	P46720	\$550.00
136929	T.G.L.	461(C)(2)(B) 41960.2	11/30/2011	P58371	\$1,000.00

87450	TARGET CORP, TARGET LA VERNE T226	203 (A), 1470	11/30/2011	P57615	\$1,100.00
126198	TMP CORPORATION	461, 41960.2	11/30/2011	P57049	\$450.00
93459	UNITED OIL, RAPID GAS #47	461(C)(2)(B) 41960.2	11/30/2011	P58372	\$550.00
160360	UNIVERSAL SUN VALLEY	461	11/30/2011	P54039	\$325.00
18452	UNIVERSITY OF CALIFORNIA, LOS ANGEL	218.1 3002(C)(1)	11/30/2011	P57928	\$6,500.00
167750	URS ENERGY & CONSTRUCTION, INC	461(E)(2), 461	11/30/2011	P46721	\$1,100.00
144681	WARREN E&P, INC	1176(E)(2) 203 (B)	11/30/2011	P56964	\$3,000.00
167333	WESTERN OILFIELDS SUPPLY CO., RAIN	TITLE 13	11/30/2011	P55993	\$45.00
149619	WESTMINSTER SUPERSTORE	203 (A), 1470	11/30/2011	P58251	\$1,100.00
62617	WILLARD MARINE INC	3002(C)(1)	11/30/2011	P56068	\$2,400.00

167247	WILSHIRE TOWERS APARTMENTS	203 (A)	11/2/2011	P55990	\$275.00
144838	WOODLAND PARK WEST	1146.2	11/30/2011	P53931	\$2,420.00
147406	WORLD OIL MARKETING COMPANY #109	41960.2 461(C)(2)(B)	11/30/2011	P56824	\$3,400.00
11369	WORTMANN OIL CO	461, 41960.2	11/30/2011	P52229	\$400.00
165281	YANG'S CHEVRON	41960.2 461(C)(2)(B)	11/30/2011	P56680	\$535.00
150423	YOSSI 76, JOSSI J SHIMSHI	203 (B) 461 (E) (1)	11/3/2011	P56750	\$550.00

**TOTAL MSPAP SETTLEMENT:        \$68,878.00**

**HEARING BOARD SETTLEMENTS:**

160351	IMPERIAL WESTERN PRODUCTS, INC. Hearing Board Case No. 5782-1 Facility to pay \$2,500/month it operates for each month it violates permit conditions. Penalty is for the month of October 2011.		11/3/2011	TRB   HRB2024	\$2,500.00
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147371	INLAND EMPIRE UTILITIES AGENCY Hearing Board Case No. 5209-4 Operating Boiler No. 1 and 2, Flare No. 2 and Engine No. 1 and 2 by failing to conduct source testing withink 180 days of initial startup of equipment and violating District Rules.	203, 3002		11/29/2011	KCM	HRB2026	\$2,500.00
151532	LINN OPERATING, INC Hearing Board Case No. 5711-6 Facility to pay \$200/day plus calculated daily excess emissions for each day gas falred exceeds 6MMCF per month because of failure in catalyst. Penalty is for the month of October 2011 through November 9, 2011.	203, 1148, 2004 2012, 3004	Y	11/15/2011	TRB	HRB2025	\$8,000.00

**TOTAL HEARING BOARD SETTLEMENTS:           \$13,000.00**

**Total Penalties**

<b>Civil Penalties:</b>	<b>\$12,500.00</b>
<b>MSPAP Penalties:</b>	<b>\$68,878.00</b>
<b>Hearing Board Penalties:</b>	<b>\$13,000.00</b>

<b>Total Cash Penalties:</b>	<b>\$94,378.00</b>
<b>Total SEP Value:</b>	<b>\$0.00</b>

<b>Fiscal Year through November 2011 Cash Total:</b>	<b>\$2,309,928.39</b>
<b>Fiscal Year through November 2011 SEP Value Only Total:</b>	<b>\$46,753.00</b>

## **DISTRICT RULES AND REGULATIONS INDEX FOR NOVEMBER 2011 PENALTY REPORTS**

### **REGULATION I - GENERAL PROVISIONS**

Rule 109      Recordkeeping for Volatile Organic Compound Emissions (*Amended 5/2/03*)

### **REGULATION II – PERMITS**

List and Criteria Identifying Information Required of Applicants Seeking A Permit to Construct from the South Coast Air Quality Management - District (*Amended 4/10/98*)

Rule 201      Permit to Construct (*Amended 12/3/04*)

Rule 203      Permit to Operate (*Amended 12/3/04*)

Rule 218      Continuous Emission Monitoring (*Amended 5/14/99*)

Rule 222      Filing Requirements for Specific Emission Sources Not Requiring a Written permit Pursuant to Regulation II.  
(*Amended 5/19/00*)

### **REGULATION IV - PROHIBITIONS**

Rule 401      Visible Emissions (*Amended 9/11/98*)

Rule 402      Nuisance (*Adopted 5/7/76*)

Rule 403      Fugitive Dust (*Amended 12/11/98*) *Pertains to solid particulate matter emitted from man-made activities.*

Rule 461      Gasoline Transfer and Dispensing (*Amended 6/15/01*)

### **REGULATION XI - SOURCE SPECIFIC STANDARDS**

Rule 1102      Petroleum Solvent Dry Cleaners

Rule 1146      Emissions of Oxides of Nitrogen from Industrial, Institutional and Commercial Boilers, Steam Generators, and Process Heaters (*Amended Rule*)

Rule 1148      Thermally Enhanced Oil Recovery Wells (*Adopted 11/5/82*)

Rule 1151      Motor Vehicle and Mobile Equipment Non-Assembly Line Coating Operations

Rule 1176      Sumps and Wastewater Separators (*Amended 9/13/96*)

### **REGULATION XIV - TOXICS**

Rule 1415      Reduction of Refrigerant Emissions from Stationary Refrigeration and Air Conditioning Systems (*Amended 10/14/94*)

Rule 1421      Control of Perchloroethylene Emissions from Dry Cleaning Operations (*Amended 6/13/97*)

Rule 1470      Requirements for Stationary Diesel-Fueled Internal Combustion and Other Compression Ignition Engines

## **REGULATION XX - REGIONAL CLEAN AIR INCENTIVES MARKET (RECLAIM)**

Rule 2004      Requirements (*Amended 4/6/07*)

Rule 2012      Requirements for Monitoring, Reporting, and Recordkeeping for Oxides of Nitrogen (NO<sub>x</sub>) Emissions  
(*Amended 5/6/05*)

## **REGULATION XXX - TITLE V PERMITS**

Rule 3002      Requirements (*Amended 11/14/97*)

## **CALIFORNIA HEALTH AND SAFETY CODE § 41700**

41701          Violation of General Limitations

41960          Gasoline Vapor Recovery

## **CALIFORNIA CODE OF REGULATIONS**

Title 13          Mobile Sources and Fuels

BOARD MEETING DATE: January 6, 2012

AGENDA NO. 10

REPORT: Lead Agency Projects and Environmental Documents Received by the AQMD

SYNOPSIS: This report provides, for the Board's consideration, a listing of CEQA documents received by the AQMD between November 1, 2011, and November 30, 2011, and those projects for which the AQMD is acting as lead agency pursuant to CEQA.

COMMITTEE: No Committee Review

RECOMMENDED ACTION:  
Receive and file.

Barry R. Wallerstein, D.Env.  
Executive Officer

EC:LT:SN:IM:AK

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## Background

**CEQA Document Receipt and Review Logs (Attachments A and B)** – Each month, the AQMD receives numerous CEQA documents from other public agencies on projects that could adversely affect air quality. A listing of all documents received during the reporting period of November 1, 2011 through November 30, 2011, is contained in Attachment A. A list of active projects from previous reporting periods for which AQMD staff is continuing to evaluate or prepare comments is included as Attachment B.

The Intergovernmental Review function, which consists of reviewing and commenting on the adequacy of the air quality analysis in CEQA documents prepared by other lead agencies, is consistent with the Board's 1997 Environmental Justice Guiding Principles and Initiative #4. Consistent with the Environmental Justice Program Enhancements for FY 2002-03 approved by the Board in September 2002, each of the attachments notes those proposed projects where the AQMD has been contacted regarding potential air quality-related environmental justice concerns. The AQMD has established an internal central contact to receive information on projects with potential air quality-related



environmental justice concerns. The public may contact the AQMD about projects of concern by the following means: in writing via fax, e-mail, or standard letters; through telephone communication; as part of oral comments at AQMD meetings or other meetings where AQMD staff is present; or submitting newspaper articles. The attachments also identify for each project the dates of the public comment period and the public hearing date, if known at the time the CEQA document is received by the AQMD.

At the January 6, 2006 Board meeting, the Board approved the Workplan for the Chairman's Clean Port Initiatives. One action item of the Chairman's Initiatives was to prepare a monthly report describing CEQA documents for projects related to goods movement and to make full use of the process to ensure the air quality impacts of such projects are thoroughly mitigated. In response to describing goods movement CEQA documents, Attachments A and B were reorganized to group projects of interest into the following categories: goods movement projects; schools; landfills and wastewater projects; airports; and general land use projects; etc. In response to the mitigation component, guidance information on mitigation measures were compiled into a series of tables relative to the following equipment: off-road engines, on-road engines, harbor craft, ocean-going vessels, locomotives, and fugitive dust. These mitigation measure tables are on the CEQA webpages portion of the AQMD's website. Staff will continue compiling tables of mitigation measures for other emission sources including airport ground support equipment, etc.

As resources permit, staff focuses on reviewing and preparing comments for projects: where the AQMD is a responsible agency; that may have significant adverse regional air quality impacts (e.g., special event centers, landfills, goods movement, etc.); that may have localized or toxic air quality impacts (e.g., warehouse and distribution centers); where environmental justice concerns have been raised; and those projects for which a lead or responsible agency has specifically requested AQMD review.

During the period November 1, 2011, through November 30, 2011, the AQMD received 53 CEQA documents. Of the total of 77 documents listed in Attachments A and B:

- 17 comment letters were sent;
- 16 documents were reviewed, but no comments were made;
- 32 documents are currently under review;
- 8 documents did not require comments (e.g., public notices, plot plans, Final Environmental Impact Reports); and
- 4 documents were not reviewed.

Copies of all comment letters sent to lead agencies can be found on the AQMD's CEQA webpage at the following internet address: [www.aqmd.gov/ceqa/letters.html](http://www.aqmd.gov/ceqa/letters.html).

**AQMD Lead Agency Projects (Attachment C)** – Pursuant to CEQA, the AQMD periodically acts as lead agency for stationary source permit projects. Under CEQA, the lead agency is responsible for determining whether an Environmental Impact Report (EIR) or a Negative Declaration (ND) is appropriate for any proposal considered to be a “project” as defined by CEQA. An EIR is prepared when the AQMD, as lead agency, finds substantial evidence that the proposed project may have significant adverse effects on the environment. A ND or Mitigated Negative Declaration (MND) may be prepared if the AQMD determines that the proposed project will not generate significant adverse environmental impacts, or the impacts can be mitigated to less than significance. The ND and MND are written statements describing the reasons why proposed projects will not have a significant adverse effect on the environment and, therefore, do not require the preparation of an EIR.

Attachment C to this report summarizes the active projects for which the AQMD is lead agency and is currently preparing or has prepared environmental documentation. Through the end of November, the AQMD received no new requests to be the lead agency for a stationary source permit application project. No CEQA documents for permit application projects were certified in November. As noted in Attachment C, through the end of November 2011, the AQMD continued working on the CEQA documents for six active projects.

To date in 2011, AQMD staff has been responsible for preparing or having prepared CEQA documents for nine stationary source permit projects, five continuing from 2010. Through the end of November 2011, three CEQA documents have been certified for permit application projects.

#### **Attachments**

- A. Incoming CEQA Documents Log
- B. Ongoing Active Projects for Which AQMD Has or Will Conduct a CEQA Review
- C. Active AQMD Lead Agency Projects

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**ATTACHMENT A  
INCOMING CEQA DOCUMENTS LOG  
NOVEMBER 1, 2011 TO NOVEMBER 30, 2011**

SCAQMD LOG-IN NUMBER PROJECT TITLE	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
<b><i>General Land Use (residential, etc.)</i></b> <u>LAC111103-02</u> Restorative Actions for View	The proposed project consists of restorative actions at 32 Portuguese Bend Road to restore and preserve a view from a private residence that has been significantly impaired by landscaping.  Comment Period: 10/28/2011 - 11/28/2011 Public Hearing: N/A	Mitigated ND	City of Rolling Hills	Document reviewed - No comments Sent
<b><i>General Land Use (residential, etc.)</i></b> <u>LAC111104-05</u> Claremont Wilderness Park and Thompson Creek Trail Parking Lots Expansion Project	The proposed project consists of expanding parking facilities parking facilities to better serve and accommodate existing parking and use demands. The number of spaces will increase from 65 spaces to 315 spaces.  Comment Period: 11/4/2011 - 12/7/2011 Public Hearing: N/A	Mitigated ND	City of Claremont	Document reviewed - No comments Sent
<b><i>General Land Use (residential, etc.)</i></b> <u>LAC111110-01</u> 133 Peterson Avenue (Project No. 1491-DRX-HDP)	This document consists of an environmental checklist form for the construction of a new 2,954 square-foot single family house on an 8,600 square-foot up-sloped vacant lot.  Comment Period: N/A Public Hearing: N/A	Other	City of South Pasadena	Document reviewed - No comments Sent
<b><i>General Land Use (residential, etc.)</i></b> <u>LAC111115-01</u> Hillside Development Permit, Design Review and Environmental Assessment	The proposed project consists of permitting an existing unpermitted addition to a 1,363 square-foot house.  Comment Period: N/A Public Hearing: N/A	ND	City of South Pasadena	Document reviewed - No comments Sent
<b><i>General Land Use (residential, etc.)</i></b> <u>LAC111115-06</u> Rancho Las Bolsas Affordable Housing	The proposed project consists of developing 128 affordable apartment units in four 4-story buildings totaling 180,875 square feet of a 3.96-acre site.  Comment Period: 11/17/2011 - 12/7/2011 Public Hearing: N/A	Mitigated ND	City of Fountain Valley	Document reviewed - No comments Sent

*\*\*Sorted by Land Use Type (in alpha order), followed by County, then date received.*

DEIR - Draft Environmental Impact Report

FEIR - Final Environmental Impact Report

RDEIR - Revised Draft Environmental Impact Report

SEIR - Subsequent Environmental Impact Report

SupEIR – Supplemental EIR

NOI - Notice of Intent to prepare an EIS

NOP - Notice of Preparation

IS - Initial Study

DEA - Draft Environmental Assessment

EIS - Environmental Impact Statement

FONSI - Finding of No Significant Impact

ND - Negative Declaration

Other - Typically notices of public meetings

N/A - Not Applicable

# - Project has potential environmental justice concerns due to the nature and/or location of the project.

**ATTACHMENT A**  
**INCOMING CEQA DOCUMENTS LOG**  
**NOVEMBER 1, 2011 TO NOVEMBER 30, 2011**

<u>SQAQMD LOG-IN NUMBER</u>	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
PROJECT TITLE				
<b><i>General Land Use (residential, etc.)</i></b> <u>LAC111129-02</u> Local Coastal Program Amendment No. 09-004 and Zoning Text Amendment No. 09-006	This document consists of a notice of public hearing for an amendment to the Local Coastal Program and Malibu Municipal Code regarding limited lighting of the main sports field at public high schools with temporary light standards.  Comment Period: N/A Public Hearing: 12/12/2011	Other	City of Malibu	Document does not require comments
<b><i>General Land Use (residential, etc.)</i></b> <u>ORC111115-04</u> Department of Water and Power Specific Plan Amendment	The proposed project consists of amendments to the 1996 DWP Specific Plan that would allow for the development of a 48-lot residential development. The project applicant would construct the project in one phase, which would include the finished pads and all infrastructure necessary to serve the new residential development.  Comment Period: 11/14/2011 - 1/9/2011 Public Hearing: N/A	DEIR	City of Seal Beach	Currently under review
<b><i>General Land Use (residential, etc.)</i></b> <u>ORC111115-05</u> Sunset Channel Maintenance Project	The proposed project consists of maintenance work along the channel; export and import of soil, grading, compacting, and placement of riprap. Specifically the project includes removal of approximately 3,824 cubic yards of soil from eroded channel banks; import and grading of 5,701 cubic yards of soil for repair of about 10,144 linear feet of channel bank; placement of 5,826 cubic yards of 18-inch riprap for improvement of 3,288 linear feet of channel bank; repair of riprap on 340 linear feet of channel bank; removal of pipe and wire from 282-foot section in the eastern part of the channel.  Comment Period: N/A Public Hearing: N/A	ND	County of Orange	Document reviewed - No comments Sent
<b><i>General Land Use (residential, etc.)</i></b> <u>RVC111102-02</u> PA11-0275	This document consists of a development plan application to allow for the creation of a residential project over two phases. Phase 1 will consists of a 325 multi-family apartment unit. Phase 2 will consist of a 49 single family cluster unit.  Comment Period: N/A Public Hearing: N/A	Other	City of Temecula	Document does not require comments
<b><i>General Land Use (residential, etc.)</i></b> <u>RVC111102-05</u> Crystal View Terrace/Green Orchard Place/Overlook Parkway Project	The proposed project consists of the Crystal View Terrace/Green Orchard Place/Overlook Parkway project that involves the local roadway system in the eastern portion of the City of Riverside and southeast of Interstate 91.  Comment Period: 11/2/2011 - 12/1/2011 Public Hearing: N/A	NOP (No IS Attached)	City of Riverside	Currently under review

DEIR - Draft Environmental Impact Report  
FEIR - Final Environmental Impact Report  
RDEIR - Revised Draft Environmental Impact Report  
SEIR - Subsequent Environmental Impact Report  
SupEIR – Supplemental EIR

NOI - Notice of Intent to prepare an EIS  
NOP - Notice of Preparation  
IS - Initial Study  
DEA - Draft Environmental Assessment  
EIS - Environmental Impact Statement

FONSI - Finding of No Significant Impact  
 ND - Negative Declaration  
 Other - Typically notices of public meetings  
 N/A - Not Applicable  
 # - Project has potential environmental justice concerns due to the nature and/or location of the project.

**ATTACHMENT A**  
**INCOMING CEQA DOCUMENTS LOG**  
**NOVEMBER 1, 2011 TO NOVEMBER 30, 2011**

<u>SCAQMD LOG-IN NUMBER</u> PROJECT TITLE	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
<b><i>General Land Use (residential, etc.)</i></b> <u>RVC111103-03</u> General Plan Amendment and Planned Development District in lieu of Change of Zone application for a 114-bed assisted living facility on approximately 1.4 acres	The proposed project consists of constructing an approximately 91,800 square-foot, three-story assisted living facility comprised of 91 units.  Comment Period: 11/2/2011 - 11/21/2011 Public Hearing: N/A	Mitigated ND	City of Palm Springs	No review conducted - No comments sent
<b><i>General Land Use (residential, etc.)</i></b> <u>RVC111108-01</u> Tesquite Park Project	This document consists of a Final EIR which includes responses to comments. The proposed project consists of a park including: two baseball diamonds and concession stands on the east, open turf grass and play equipment on the west, entry plaza, parking lot for up to approximately 350 cars and two basketball courts at the center, and park trails along the perimeter of the project site.  Comment Period: N/A Public Hearing: N/A	FEIR	City of Riverside	Document reviewed - No comments Sent
<b><i>General Land Use (residential, etc.)</i></b> <u>SBC111117-02</u> Johnston House Relocation (SUBTT18819, DRC2011-00629, DRC2011-00383, DRC2011-00638, and DRC2011-01121)	The proposed project consists of subdividing 10.6 acres into 17 lots including the design review of 16 homes, a request to reduce the minimum average lot size and the minimum lot depth requirement for 1 lot associated with the project and a request to remove 63 heritage trees on the project site found within the Etiwanda Specific Plan.  Comment Period: 11/21/2011 - 12/14/2011 Public Hearing: N/A	Mitigated ND	City of Rancho Cucamonga	Currently under review
<b><i>Industrial and Commercial</i></b> <u>LAC111129-03</u> Coastal Development Permit No. 10-026, Demolition Permit No. 10-021, Initial Study no. 10-002 and Mitigated Negative Declaration No. 10-001	This document consists of a notice of public hearing of a Mitigated ND for full demolition of an existing gas and service station including all structures, piping pumps, hydraulic lifts, hardscape and signage, and the removal of four 10,000 gallon underground gasoline and diesel storage tanks.  Comment Period: N/A Public Hearing: 12/6/2011	Other	City of Malibu	Currently under review

DEIR - Draft Environmental Impact Report  
FEIR - Final Environmental Impact Report  
RDEIR - Revised Draft Environmental Impact Report  
SEIR - Subsequent Environmental Impact Report  
SupEIR – Supplemental EIR

NOI - Notice of Intent to prepare an EIS  
NOP - Notice of Preparation  
IS - Initial Study  
DEA - Draft Environmental Assessment  
EIS - Environmental Impact Statement

FONSI - Finding of No Significant Impact  
 ND - Negative Declaration  
 Other - Typically notices of public meetings  
 N/A - Not Applicable  
 # - Project has potential environmental justice concerns due to the nature and/or location of the project.

**ATTACHMENT A**  
**INCOMING CEQA DOCUMENTS LOG**  
**NOVEMBER 1, 2011 TO NOVEMBER 30, 2011**

<u>SCAQMD LOG-IN NUMBER</u>	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
PROJECT TITLE				
<b><i>Industrial and Commercial</i></b>	This document consists of an initial case transmittal which proposes to accommodate the access of mineral resources located within the setbacks as well as accommodating the access of mineral resources located within the setbacks.	Other	County of Riverside	Document does not require comments
<u>LAC111129-07</u> Surface Mining permit No. 143, Substantial Conformance No. 1, Surface Mining Permit No. 182, Substantial Conformance No. 2				
	Comment Period: N/A Public Hearing: N/A			
<b><i>Industrial and Commercial</i></b>	This document consists of an initial project review request. The proposed project consists of a conditional use permit for the approximately 151.73 acre automotive dismantling business known as "Pick a Part".	Other	City of Jurupa Valley	Document does not require comments
<u>SBC111101-03</u> Searles Company CUP 11-01 and CZ 11-01				
	Comment Period: 11/1/2011 - 11/9/2011 Public Hearing: N/A			
<b><i>Industrial and Commercial</i></b>	This document consists of minor use permit for a contractor's storage yard with a 3,200 square-foot metal building on one acre.	Other	County of San Bernardino	Document does not require comments
<u>SBC111110-02</u> P201100311/MUP				
	Comment Period: N/A Public Hearing: N/A			
<b><i>Industrial and Commercial</i></b>	The proposed project consists of operating a 4.42-acre scrap operation and make site improvements including constructing new screen walls, installing all street improvements, and landscaping, completing on-site paving and adding an employee eating area and parking for a project site.	Mitigated ND	City of Rancho Cucamonga	Currently under review
<u>SBC111117-01</u> Conditional Use Permit DRC2011-00254 and Development Design Review DRC2011-00255				
	Comment Period: 11/21/2011 - 12/14/2011 Public Hearing: N/A			
<b><i>Institutional (schools, government, etc.)</i></b>	The proposed project consists of developing a 255,000 gross square-foot project on the site of the existing Parking Structure 6. The new Meyer and Renee Luskin Conference and Guest Center would include conference/meeting space and associated support facilities, guest rooms and amenities, service and support facilities, a loading dock, and one-level of subterranean parking.	NOP/IS	University of California, Los Angeles	Currently under review
<u>LAC111109-01</u> Meyer and Renee Luskin Conference and Guest Center				
	Comment Period: 11/8/2011 - 12/8/2011 Public Hearing: 11/14/2011			

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**ATTACHMENT A**  
**INCOMING CEQA DOCUMENTS LOG**  
**NOVEMBER 1, 2011 TO NOVEMBER 30, 2011**

<u>SCAQMD LOG-IN NUMBER</u> PROJECT TITLE	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
<i><b>Institutional (schools, government, etc.)</b></i> <u>LAC111115-07</u> Conditional Use Permit 930-294	This document consists of a request for comment. The proposed project consists of utilizing the former Rancho Elementary School site for home schooling classroom instruction, officially operated as the Biola Youth Academics program.  Comment Period: 11/15/2011 - 11/30/2011 Public Hearing: N/A	Other	City of La Mirada	Document reviewed - No comments Sent
<i><b>Institutional (schools, government, etc.)</b></i> <u>ORC111129-01</u> Chapman University Specific Plan Amendment #6	This document consists of a notice of public hearing for the amendment for the Chapman University Specific Plan in preparation for a future request to construct the proposed development.  Comment Period: N/A Public Hearing: 12/13/2011	Other	City of Orange	No review conducted - No comments sent
<i><b>Institutional (schools, government, etc.)</b></i> <u>SBC111101-01</u> Claremont Colleges East Campus	The proposed project consists of a subdivision, master site plan, site plan, and development agreement for the phased development of a college sports complex with recreational/athletic fields, sports courts, parking, and supporting building facilities on a 75-acre former aggregate quarry within the City of Upland and Claremont.  Comment Period: 10/31/2011 - 12/14/2011 Public Hearing: N/A	DEIR	City of Upland	Currently under review
<i><b>Institutional (schools, government, etc.)</b></i> <u>SBC111129-04</u> Santa Rosa Academy	This document consists of a planning application for the proposed charter school for grades K-12 including administration buildings, library, classrooms, theater, gym and sports fields within a 27.22 gross acre site.  Comment Period: 11/29/2011 - 12/21/2011 Public Hearing: N/A	Other	City of Menifee	Document does not require comments
<i><b>Medical Facility</b></i> <u>ORC111115-03</u> CHOC Helistop	The proposed project consists of adding a helistop that would be located on the Children's Hospital South Tower.  Comment Period: 11/14/2011 - 12/13/2011 Public Hearing: N/A	Mitigated ND	City of Orange	Document reviewed - No comments Sent

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PROJECT TITLE				
<b><i>Plans and Regulations</i></b>	This document consists of responses to comments. The proposed project consists of adopting and implementing the Long Beach Downtown Plan to replace the existing zoning, and planned development districts with the Downtown Plan thus becoming the land use and design document for all future development.	Other	City of Long Beach	Document reviewed - No comments Sent
<u>LAC111102-03</u> Downtown Long Beach Community Plan	Comment Period: N/A  Public Hearing: N/A			
<b><i>Plans and Regulations</i></b>	This document consists of a public notice of a public hearing. The proposed project consists of amending Title 19 of the West Hollywood Municipal Code to reflect two new commercial zoning districts, eliminating mixed-use development incentives from certain parcels on Santa Monica Boulevard, eliminating the existing density bonus for exemplary green buildings in residential zones, eliminating the public parking and entertainment production facilities bonuses, prohibiting new residential uses in certain commercial nodes, and updating the Zoning Map pursuant to the West Hollywood General Plan 2035.	Other	City of West Hollywood	Document does not require comments
<u>LAC111108-02</u> Zone Text Amendment 2011-005 and Zone Map Amendment 2011-007	Comment Period: N/A  Public Hearing: 11/17/2011			
<b><i>Plans and Regulations</i></b>	The proposed project consists of adopting the Old Town La Verne Specific Plan, an amendment to the City of La Verne General Plan to replace the land use existing designations with an "Old Town La Verne Specific Plan" land use designation, an amendment to Chapter 18 of the Municipal Code to replace zoning for the Specific Plan area with the provisions of the Old Town La Verne Specific Plan, and amendments to the boundaries of Lordsburg Specific Plan and the Arrow Corridor Specific Plan to remove areas form those Specific Plan that are within the boundaries of the proposed Old Town La Verne Specific Plan.	NOP/IS	City of La Verne	Currently under review
<u>LAC111108-04</u> La Verne Specific Plan	Comment Period: 11/8/2011 - 12/5/2011  Public Hearing: N/A			
<b><i>Plans and Regulations</i></b>	The proposed project consists of the Historic Town Center planning area which encompasses 150 acres, and includes 31 acres of downtown core which includes the Mission San Juan Capistrano and extends southerly to the commercial areas to the south of Del Obispo Street, and extends from Interstate 5 to Trabuco Creek.	DEIR	City of San Juan Capistrano	Currently under review
<u>ORC111104-02</u> Historic Town Center Master Plan, General Plan Amendment and Zone Change	Comment Period: 11/2/2011 - 12/16/2011  Public Hearing: N/A			

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<b><i>Plans and Regulations</i></b> <u>RVC111104-01</u> Perris Downtown Specific Plan	This document consists of responses to comments. The proposed project consists of the Perris Downtown Specific Plan (DTSP). At build out, the Perris DTSP would allow a maximum of 852,056 square feet of retail uses, 1,878,641 square feet of office uses, and 4,946 dwelling units in Downtown.  Comment Period: N/A Public Hearing: 11/16/2011	Other	City of Perris	No review conducted - No comments sent
<b><i>Plans and Regulations</i></b> <u>RVC111108-03</u> The Eastvale Commerce Center Specific Plan	The proposed project consists of the replacement of the Resort Specific Plan. The proposed Specific Plan would change current land uses from high density residential to approximately 39 acres of commercial/retail uses, 22 acres of office uses, 33 acres of light industrial uses and 112 acres of heavy industrial uses.  Comment Period: 11/8/2011 - 12/3/2011 Public Hearing: 11/29/2011	NOP/IS	City of Eastvale	Currently under review
<b><i>Plans and Regulations</i></b> <u>RVC111129-05</u> City of Eastvale General Plan	The proposed project consists of adopting a new General Plan. The proposed General Plan will be based on the City's currently adopted General Plan, but will be tailored to reflect the current conditions of the City and to address those issues that affect the City. No changes are proposed to the existing land use or circulation maps.  Comment Period: 11/21/2011 - 12/21/2011 Public Hearing: N/A	NOP/IS	City of Eastvale	Currently under review
<b><i>Plans and Regulations</i></b> <u>SBC111125-03</u> Perris Valley Commerce Center Specific Plan	This document consist of responses to comments. The proposed project consists of the adoption of the Perris Valley Commerce Center (PVCC) Specific Plan and related infrastructure plans. The PVCC would slightly modify the existing General Plan land use designation and sets forth a list of permitted uses, infrastructure plans, guidelines for architectural design and landscaping, and administrative procedures for development within the Specific Plan.  Comment Period: N/A Public Hearing: 12/13/2011	Other	City of Perris	Document reviewed - No comments Sent
<b><i>Retail</i></b> <u>RVC111103-01</u> Gless Ranch	This document consists of a notice of availability of a Draft EIR. The proposed project consists of removing the existing orange grove and associated structures on site. The existing fruit stand will stay on site and be incorporated into the larger development plan. The 40-acre site would be developed into commercial retail center. The project includes an approximately 138,516 square-foot Target store, an approximately 124,076 square-foot home improvement center with approximately 31,3547 square feet of outdoor garden center, and approximately 125,608 square feet of other retail pads.  Comment Period: 11/1/2011 - 12/15/2011 Public Hearing: N/A	Other	City of Riverside	Currently under review

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<b><i>Retail</i></b> <u>SBC111125-04</u> Redlands Crossing Center	The proposed project consists of developing a regional shopping center that includes approximately 275,500 square feet of commercial retail uses on approximately 23.9 acres. The project includes 215,000 square feet for the proposed Redlands Crossing Walmart and 60,500 square feet consisting of three fast food with drive-thru spaces, three retail spaces, a fast food without drive-thru and retail, a retail with drive-thru space and restaurant.  Comment Period: 11/21/2011 - 1/4/2012 Public Hearing: N/A	DEIR	City of Redlands	Currently under review
<b><i>Transportation</i></b> <u>LAC111118-01</u> Addition of One High Occupancy Vehicle Lane in Each Direction on the San Bernardino Freeway (Interstate 10) from Puente Avenue to State Routes 57/71 in Los Angeles County	The proposed project consists of an addition of one High-Occupancy Vehicle Lane in the center median in each direction on Interstate Route 10 between Puente Avenue and State Route 57 in Los Angeles County.  Comment Period: 11/18/2011 - 1/6/2012 Public Hearing: 12/13/2011	DEIR	Department of Transportation	Currently under review
<b><i>Transportation</i></b> <u>LAC111125-02</u> Huntington Drive Capacity Improvements	The proposed project consists of widening and reconstructing Huntington Drive between Colorado Place and Santa Anita Avenue with improvements.  Comment Period: 11/26/2011 - 1/3/2012 Public Hearing: N/A	Mitigated ND	City of Arcadia	Currently under review
<b><i>Transportation</i></b> <u>ORC111101-02</u> Pacific Coast Highway/Del Prado Avenue Phase I Street Improvement	The proposed project consists of the Pacific Coast Highway/ Del Prado Avenue Phase 1 streetscape improvements for the Dana Point Town Center.  Comment Period: N/A Public Hearing: N/A	Sup DEIR	City of Dana Point	Document reviewed - No comments Sent
<b><i>Transportation</i></b> <u>ORC111129-09</u> I-5 HOV Lane Extension Project	The proposed project consists of widening Interstate 5, by adding one HOV lane in each direction, and reestablishing and constructing auxiliary lanes between Avenida Pico and San Juan Creek Road in the Cities of San Clemente, Dana Point and San Juan Capistrano.  Comment Period: 11/29/2011 - 2/12/2011 Public Hearing: N/A	Mitigated ND	California Department of Transportation	Currently under review

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SCAQMD LOG-IN NUMBER	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
PROJECT TITLE				
<b>Utilities</b>	The proposed project consists of two main components: 1) a solar/wind Energy Farm, designed to generate up to 300 megawatts of electricity, and 2) an off-site underground Gen-Tie Line, to carry the electricity generated by the Energy Farm to the regional electricity grid.	NOP/IS	County of Los Angeles	Currently under review
<u>LAC111104-06</u> Wildflower Green Energy Farm				
	Comment Period: 11/4/2011 - 1/5/2011			
<b>Utilities</b>	The proposed project consist of replacing the portable generators at five Booster Pump Station sites to improve the reliability of the water system during power outages, particularly when the power outages are caused by fires in the canyons.	Mitigated ND	Irvine Ranch Water District	Document reviewed - No comments Sent
<u>ORC111102-04</u> Santiago Canyon Area Booster Pump Station Permanent Generators Project				
	Comment Period: 11/2/2011 - 12/1/2011			
<b>Utilities</b>	The proposed project consists of constructing two adjacent ground-mount photovoltaic power plants, each with approximately 1.47 megawatts of capacity. Each of the two plants will consists of approximately 7,500 panels.	Mitigated ND	City of Desert Hot Springs	Document reviewed - No comments Sent
<u>RVC111115-02</u> Aloha Systems Desert Hot Springs Photovoltaic Plant				
	Comment Period: 11/14/2011 - 12/14/2011			
<b>Utilities</b>	The proposed project consists of constructing a new 220/115/12kV substation, upgrading of the existing Zanga and Banning Substations and SCE's Mill Creek Communication Site, upgrading a total of 15.4 miles of 115 kV electric substation line and associated structures, and installation of fiber optic communication cables within existing conduits in public streets and on existing SCE structures between the Cities of Redlands and Banning.	Draft SupEIR	California Public Utilities Commission	Currently under review
<u>RVC111130-01</u> El Casco System Project				
	Comment Period: 11/30/2011 - 1/13/2011			
<b>Warehouse &amp; Distribution Centers</b>	This document consists of a planning project notice for the establishment of a 425,000 square-foot industrial building with 25,000 square feet of office area to be used as a high cube warehouse distribution facility on 18.3 acres.	Other	County of San Bernardino	Document does not require comments
<u>SBC111109-02</u> P201100383/CUP				
	Comment Period: 11/9/2011 - 11/21/2011			
<b>Warehouse &amp; Distribution Centers</b>	The proposed project consists of constructing four concrete tilt-up industrial/warehouse buildings on approximately 125.09 acres. The Industrial Park and related roadway dedications will only occupy approximately 102.09 acres.	Draft SubEIR	City of Chino	Currently under review
<u>SBC111115-08</u> SRG Chino South Industrial Park				
	Comment Period: 11/14/2011 - 12/28/2011			

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<b><i>Waste and Water-related</i></b> <u>LAC111104-03</u> San Gabriel Watershed and Mountains Special Resource Study and Environmental Assessment	This document consists of notice of availability of a Draft San Gabriel Watershed and Mountains and Watershed Special Resources Study and Environmental Assessment for the San Gabriel Watershed and Mountains Special Resource Study. The proposed project consists of determining whether all or part of the study area is significant, suitable, and feasible for designation as a unit of the national park system. Congress authorized this study in 2003. The study area covers approximately 700,000 acres of land in the greater Los Angeles metropolitan region, including urban communities, local and regional parks and open space, and 415,000 acres of the Angeles National Forest. Comment Period: 11/4/2011 - 12/16/2011 Public Hearing: N/A	Other	United States Department of the Interior	Currently under review
<b><i>Waste and Water-related</i></b> <u>LAC111110-03</u> Central Basin Groundwater Storage Plan	This document consists of a notice of availability of a Program EIR to improve water supply reliability throughout the Central Groundwater Basin. Comment Period: 11/10/2011 - 12/28/2011 Public Hearing: N/A	Other	Central Basin Municipal Water District	Currently under review
<b><i>Waste and Water-related</i></b> <u>LAC111118-02</u> San Ramon Canyon Storm Drain Tunnel Project	The proposed project consists of a new mid-canyon storm drain inlet structure and tunnel alignment north of W. 25th Street that would gravity flow through the tunnel to a cut and covered section of buried pipe located south of W. 25th Street, and transition into a second tunnel to a new outlet structure at the base of the bluff. Total storm drain alignment is approximately 4,200 lineal feet of 54" diameter pipe from inlet to outlet. Total tributary area draining into the new storm drain would be 123.7 acres. The existing 48" storm drain below W. 25th Street would remain in place and serve as backup as necessary. No improvements or cleaning are proposed to the existing storm drain system, which is located in the City of Los Angeles. Comment Period: 11/17/2011 - 12/17/2011 Public Hearing: N/A	Mitigated ND	City of Rancho Palos Verdes	Currently under review
<b><i>Waste and Water-related</i></b> <u>LAC111125-01</u> Cleanup Plan for the Former Honeywell Bandini Site	This document consists of a fact sheet for the cleanup plan to remove volatile organic compounds in subsurface soil from the former Honeywell metals reclamation facility. Comment Period: N/A Public Hearing: N/A	Other	Department of Toxic Substances Control	Document reviewed - No comments Sent
<b><i>Waste and Water-related</i></b> <u>LAC111129-06</u> Terminal Island Renewable Energy Project Changes	The proposed project consists of the Terminal Island Renewable Energy project which is an ongoing full scale demonstration project that injects bio-slurry material, to a depth of 5,300 feet underground where it undergoes natural biodegradation and is ultimately converted into a renewable clean energy source. Comment Period: 11/21/2011 - 12/27/2011 Public Hearing: N/A	ND	City of Los Angeles	Currently under review

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PROJECT TITLE				
<b><i>Waste and Water-related</i></b> <u>LAC111129-08</u> Chiquita Canyon Landfill LLC	The proposed project consists of the Chiquita Canyon Landfill Master Plan revision which would allow the existing landfill to continue operations with a new grant term, as well as extending the waste footprint at Chiquita Canyon Landfill within the existing site boundary, better utilize the landfill's remaining and potential disposal capacity, and allow for the disposal of all non-hazardous wastes acceptable at a Class III solid waste disposal landfill.  Comment Period: 11/28/2011 - 1/12/2012 Public Hearing: 12/6/2011	NOP/IS	County of Los Angeles	Currently under review
<b><i>Waste and Water-related</i></b> <u>LAC111130-02</u> East LA Recycling and Transfer Station/ Project NO. R2010 00862	This document consists of an Environmental Checklist for a Conditional Use Permit to increase its daily waste intake of mixed municipal solid waste, including recyclable materials, from 700 tons per day to 1,500 tons per day in association with proposed modifications of the existing 18,520 square foot solid waste and recyclable material transfer station. The proposed modifications including adding 750 square feet of 2nd story office space to the existing building for a total of 1,500 square-foot paving an adjacent vacant lot to create a new parking lot with new driveways with rolling gates, and creating a new tunnel door opening for transfer trucks.  Comment Period: 11/30/2011 - 12/30/2011 Public Hearing: N/A	ND	County of Los Angeles	Currently under review
<b><i>Waste and Water-related</i></b> <u>RVC111102-01</u> Riverside-Corona Feeder	This document consists of responses to SCAQMD comments. The proposed project consists of building the Riverside-Corona Feeder project which includes a large capacity water pipeline ranging in diameter up to 78 inches, up to 20 new and existing wells, and appurtenant facilities associated with aquifer storage and recovery.  Comment Period: N/A Public Hearing: N/A	FEIR	Western Municipal Water District	Document reviewed - No comments Sent
<b><i>Waste and Water-related</i></b> <u>SBC111104-04</u> Cedar Glen Water System Improvement	This document consists of a notice of availability of a Mitigated Negative Declaration. The proposed project consists of continued improvements to the Cedar Glen area water system. Improvements include the replacement of existing water storage facilities with three water storage reservoirs totaling approximately 1 million gallons of capacity; construction of a new domestic water well; and installation of approximately 40,600 linear feet of replacement waterlines within various Cedar Glen roadways.  Comment Period: 11/4/2011 - 12/7/2011 Public Hearing: N/A	Mitigated ND	County of San Bernardino	No review conducted - No comments sent
	<b>TOTAL DOCUMENTS RECEIVED THIS REPORTING PERIOD: 53</b>			

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**ATTACHMENT B**  
**ONGOING ACTIVE PROJECTS FOR WHICH AQMD HAS**  
**OR WILL CONDUCT A CEQA REVIEW**

<u>SCAQMD LOG-IN NUMBER</u> PROJECT TITLE	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
<b><i>Airports</i></b>	This document consists of a notice of Environmental Assessment for improvements to the Palm Springs International Airport. The project includes improvements to the non-standard Runway Safety Area; expansion and improvement of the terminal processor and ticketing and baggage claim areas; and the relation and expansion of rental car facilities.	Other	City of Palm Springs	Currently under review
<u>RVC111020-02</u> Palm Springs International Airport	Comment Period: N/A Public Hearing: N/A			
<b><i>General Land Use (residential, etc.)</i></b>	This document consists of a notice to adopt a Mitigated Negative Declaration. The proposed project consists of constructing 55 townhome condominium units on a approximately 2.9-acre vacant parcel.	Mitigated ND	City of Glendora	Currently under review
<u>LAC111025-07</u> City Ventures Station Project	Comment Period: N/A Public Hearing: 12/6/2011			
<b><i>Goods Movement</i></b>	The proposed SCIG Project involves constructing and operating a new near-dock intermodal rail facility by BNSF that would handle containerized cargo transported through the Ports of Los Angeles and Long Beach, collectively known as the San Pedro Bay Ports.	DEIR	Port of Los Angeles	Currently under review
<u>LAC110927-05</u> Southern California International Gateway Project (SCIG)	Comment Period: 9/27/2011 - 2/1/2012 Public Hearing: 11/10/2011			
<b><i>Industrial and Commercial</i></b>	This document consists of an amended reclamation plan for the Reliance I Quarry.	Other	City of Irwindale	Currently under review
<u>LAC111027-01</u> Reliance Azusa Reclamation Plan	Comment Period: 10/27/2011 - 12/14/2011 Public Hearing: N/A			
<b><i>Plans and Regulations</i></b>	The proposed project consists of rental units and increased homeownership opportunities, complemented by neighborhood-serving retail and office space, civic uses, green/open space, and amenities.	DEIR	City of Los Angeles	Currently under review
<u>LAC111025-06</u> Boyle Heights Mixed-Use Community Project	Comment Period: 10/20/2011 - 12/19/2011 Public Hearing: N/A			
<b><i>Plans and Regulations</i></b>	The proposed project consists of amending and updating the existing Southwest Industrial Park (SWIP) Specific Plan to add territory to the existing SWIP Specific Plan area and to update the land uses and development standards therein.	DEIR	City of Fontana	Currently under review
<u>SBC111020-01</u> Southwest Industrial Park Specific Plan	Comment Period: 10/24/2011 - 12/7/2011 Public Hearing: N/A			

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## ONGOING ACTIVE PROJECTS FOR WHICH AQMD HAS OR WILL CONDUCT A CEQA REVIEW

SCAQMD LOG-IN NUMBER	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
PROJECT TITLE				
<b>Retail</b>	The proposed project consists of expanding the existing Walmart store to a total expansion of 22,272 square feet on the east side of the building.  Comment Period: 10/24/2011 - 12/7/2011 Public Hearing: N/A	DEIR	City of Riverside	Currently under review
<u>RVC111027-06</u> Walmart Expansion				
<b>Airports</b>	The proposed project consists of changes to the Airport Master Plan as identified in the Hemet-Ryan Airport Master Plan.  Comment Period: 9/30/2011 - 10/30/2011 Public Hearing: N/A	NOP/IS	County of Riverside	AQMD commented 11/1/2011
<u>RVC111007-02</u> Hemet-Ryan Airport Master Plan				
<b>General Land Use (residential, etc.)</b>	The proposed project consists of developing an approximately 401.1-acre site with 1,375 residential dwelling units; 75,000 square feet of commercial uses, a 75-room resort inn with ancillary resort uses, and approximately 51.4 gross acres for active and passive park uses including a 26.8 gross-acre public Community Park. Approximately 252.3 gross acres would be retained in permanent open space.  Comment Period: 9/9/2011 - 11/8/2011 Public Hearing: N/A	DEIR	City of Newport Beach	AQMD commented 11/10/2011
<u>ORC110913-04</u> Newport Banning Ranch Project				
<b>General Land Use (residential, etc.)</b>	The proposed project consists of redesigning the existing church campus by constructing a new sanctuary, relocating the existing on-site preschool to an existing onsite vacant residential-style structure on the western portion of the property, improving Frank Lane, adding a vehicular driveway access from Santiago Canyon Road, increasing the number of on-site parking spaces, and including modifications to on-site infrastructure.  Comment Period: 10/13/2011 - 11/14/2011 Public Hearing: N/A	NOP/IS	City of Orange	AQMD commented 11/2/2011
<u>ORC111014-01</u> Salem Church				
<b>Goods Movement</b>	This document consists of a public hearing notice and availability of a Draft EIR for the development of Pier S. Marine Terminal and Back Channel Navigational Safety Improvements Project. The development of Pier S and Back Channel Improvements would result in an approximately 160-acre marine container terminal.  Comment Period: 9/16/2011 - 12/2/2011 Public Hearing: N/A	Other	Port of Long Beach	AQMD commented 12/9/2011
<u>LAC110922-02</u> Port of Long Beach Pier S Terminal and Back Channel Improvements Project				
<b>Goods Movement</b>	The proposed project consists of constructing and operating a sand, gravel, and granite aggregate receiving, storage, and distribution terminal at Pier D in the Port on a 8.3 acre site.  Comment Period: 10/13/2011 - 11/16/2011 Public Hearing: N/A	NOP (No IS Attached)	Port of Long Beach	AQMD commented 11/2/2011
<u>LAC111014-07</u> Eagle Rock Aggregate Terminal Project				

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 Other - Typically notices of public meetings  
 N/A - Not Applicable  
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**ATTACHMENT B**  
**ONGOING ACTIVE PROJECTS FOR WHICH AQMD HAS**  
**OR WILL CONDUCT A CEQA REVIEW**

<u>SCAQMD LOG-IN NUMBER</u> PROJECT TITLE	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
<b><i>Industrial and Commercial</i></b>	This document consists of a Final EIR which consists of responses to comments. The proposed project consists of wells, oil processing, natural gas plant, oil and natural gas pipelines, and oil truck loading facilities, to be located within portions of the 1,290-acre City owned Whittier Main Field, now part of the Authority Habitat Preserve. This revised project conforms to an alternative identified in a previously released DEIR for the project.  Comment Period: N/A Public Hearing: 11/8/2011	FEIR	City of Whittier	AQMD commented 11/8/2011
<u>LAC111013-04</u> Whittier Main Oil Field				
<b><i>Institutional (schools, government, etc.)</i></b>	The proposed project consists of construction of a new high school with a maximum capacity of 2,600 students and up to 175 staff members. The comprehensive high school would have approximately 250,000 square feet of building area.  Comment Period: 10/12/2011 - 11/10/2011 Public Hearing: N/A	NOP/IS	William S. Hart Union High School District	AQMD commented 11/1/2011
<u>LAC111007-04</u> Castaic High School				
<b><i>Institutional (schools, government, etc.)</i></b>	The proposed project consists of constructing a 41,542 square-foot educational facility called the College of the Desert Indio Educational Center on a 2.5-acre site.  Comment Period: 10/14/2011 - 11/28/2011 Public Hearing: N/A	DEIR	Desert Community College District	AQMD commented 11/30/2011
<u>RVC111018-04</u> Indio Educational Center				
<b><i>Plans and Regulations</i></b>	The proposed project consists of a Specific Plan that will guide the future development of the project area by creating a series of mixed-use zoning districts that allow private, public, and nonprofit sector developers to combine retail, residential, commercial, civic, and industrial uses while ensuring that this development contributes to a human-scale, engaging urban fabric.  Comment Period: 9/23/2011 - 11/21/2011 Public Hearing: N/A	DEIR	City of Los Angeles	AQMD commented 11/18/2011
<u>LAC110923-02</u> The Cornfield Arroyo Seco Specific Plan				
<b><i>Plans and Regulations</i></b>	The proposed project consists of improvements to the Paramount Studios property. The project involves the redevelopment of portions of the Project site with approximately 1,385,700 square feet of net new studio-related uses, as well as parking facilities and landscaped areas.  Comment Period: 10/13/2011 - 11/14/2011 Public Hearing: 10/27/2011	NOP (No IS Attached)	City of Los Angeles	AQMD commented 11/2/2011
<u>LAC111013-01</u> Paramount Pictures Master Plan				
<b><i>Plans and Regulations</i></b>	The proposed project consists of the Moran Street Specific Plan. The Specific Plan would allow for the development of a mix of land uses consisting of retail, office, hotel, residential, and surface and structured parking. The Specific Plan would reduce the existing retail square footage by 23,450 square feet, to allow for an increase of 38,400 square feet of office space, 120 hotel rooms, and 215 residential units.  Comment Period: 9/23/2011 - 11/7/2011 Public Hearing: N/A	DEIR	City of Westminster	AQMD commented 11/3/2011
<u>ORC110927-04</u> Moran Street Specific Plan				

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**ATTACHMENT B**  
**ONGOING ACTIVE PROJECTS FOR WHICH AQMD HAS**  
**OR WILL CONDUCT A CEQA REVIEW**

<u>SCAQMD LOG-IN NUMBER</u>	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
PROJECT TITLE				
<b><i>Plans and Regulations</i></b>	The proposed project consists of the City of Hemet 2030 General Plan which provides comprehensive long-term policy guidance for the overall development of the City for the next twenty year period.  Comment Period: 9/30/2011 - 11/14/2011 Public Hearing: 10/18/2011	DEIR	City of Hemet	AQMD commented 11/11/2011
<u>RVC110930-04</u> City of Hemet General Plan				
<b><i>Plans and Regulations</i></b>	The proposed project consists of North Hemet Specific Plan, which would permit a maximum of 442 high-density units and 83 medium density residential units; 118,919 square feet of commercial uses; and 16,335 square feet of office uses.  Comment Period: 10/12/2011 - 11/11/2011 Public Hearing: N/A	NOP/IS	City of Hemet	AQMD commented 11/2/2011
<u>RVC111012-05</u> North Hemet Specific Plan				
<b><i>Transportation</i></b>	The proposed project consists of a northbound off-ramp for direct access to Harry Bridges Boulevard, modification for the northbound on-ramp from C Street, realignment of Harry Bridges Boulevard, and combining the I-110 ramp terminal/C Street/Figueroa Street intersection with John S. Gibson Boulevard/Harry Bridges Boulevard Intersection.  Comment Period: 10/13/2011 - 11/14/2011 Public Hearing: 11/1/2011	EA	California Department of Transportation	AQMD commented 11/15/2011
<u>LAC111012-06</u> Interstate 110/C Street Interchange Project				
<b><i>Warehouse &amp; Distribution Centers</i></b>	The proposed project consists of the expansion of an existing internet/mail-order fulfillment facility. The proposed expansion will increase the square footage of the overall Starcrest facility from 232,215 square feet to 686,223 square feet.  Comment Period: 10/12/2011 - 11/28/2011 Public Hearing: N/A	DEIR	City of Perris	AQMD commented 12/6/2011
<u>RVC111013-03</u> Starcrest Distribution Facility				
<b><i>Waste and Water-related</i></b>	This document consists of a notice of a Hazardous Waste Facility Permit requested by Exide Technologies for its facility located in Vernon.  Comment Period: 10/14/2011 - 11/13/2011 Public Hearing: N/A	NOP (No IS Attached)	Department of Toxic Substances Control	AQMD commented 11/16/2011
<u>LAC111014-09</u> Exide Technology				
<b><i>Waste and Water-related</i></b>	The proposed project consists of replacing waterside facilities in the West and East Basins in Dana Point Harbor, connecting dock gangways with the quay wall and bulkheads within those basins and replacing gangways and security gates to both marina areas.  Comment Period: 9/20/2011 - 11/21/2011 Public Hearing: N/A	Draft SubEIR	County of Orange	AQMD commented 11/18/2011
<u>ORC110922-03</u> Dana Point Harbor Marine Improvement Project				

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**ATTACHMENT B**  
**ONGOING ACTIVE PROJECTS FOR WHICH AQMD HAS**  
**OR WILL CONDUCT A CEQA REVIEW**

<u>SCAQMD LOG-IN NUMBER</u>	PROJECT DESCRIPTION	TYPE OF DOC.	LEAD AGENCY	COMMENT STATUS
PROJECT TITLE				

**TOTAL NUMBER OF REQUESTS TO AQMD FOR DOCUMENT REVIEW THIS REPORTING PERIOD: 53**  
**TOTAL NUMBER OF COMMENT LETTERS SENT OUT THIS REPORTING PERIOD: 17**  
**TOTAL NUMBER OF DOCUMENTS REVIEWED, BUT NO COMMENTS WERE SENT: 16**  
**TOTAL NUMBER OF DOCUMENTS CURRENTLY UNDER REVIEW: 32**  
**TOTAL NUMBER OF DOCUMENTS THAT DID NOT REQUIRE COMMENTS: 8**  
**TOTAL NUMBER OF DOCUMENTS THAT WERE NOT REVIEWED: 4**

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RDEIR - Revised Draft Environmental Impact Report  
SEIR - Subsequent Environmental Impact Report  
SupEIR – Supplemental EIR

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Other - Typically notices of public meetings  
N/A - Not Applicable  
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**ATTACHMENT C**  
**ACTIVE AQMD LEAD AGENCY PROJECTS**  
**THROUGH November 30, 2011**

Project Description	Project Proponent	Type of Document	Status	Consultant
The proposed project is a biomass-to-energy project that would be located at the Sunshine Canyon Landfill. Specifically, landfill operators are proposing to generate electricity by installing turbines to burn landfill gas that is currently flared.	Sunshine Canyon Landfill	Subsequent EIR	Draft Subsequent EIR was circulated for a 45-day review period on May 6, 2011. Comment period ended June 23, 2011. Consultant preparing Final SEIR.	ARCADIS
Shell Carson Terminal operators are proposing a permit modification to base throughput on ethanol and gasoline, not just ethanol.	Shell Carson Distribution Terminal	EIR	Public comment period for Notice of Preparation/Initial Study closed May 18, 2010. Consultant is currently revising the administrative Draft EIR.	AECOM
Petro Diamond operators are proposing to change current permit conditions to allow an increase in the number of annual marine vessel visits to the terminal, but limit ship visits per month.	Petro Diamond Terminal Company	Not Yet Determined	Consultant preparing initial study	SABS Environmental Services
Operators of the Ultramar Wilmington Refinery are proposing to construct and install a 49 MW cogeneration unit to reduce the Refinery's reliance on electricity from the Los Angeles Department of Water and Power and produce steam to meet internal needs. No other refinery modifications are proposed.	Ultramar Wilmington Refinery	Subsequent EIR	SCAQMD staff is reviewing Notice of Preparation/Initial Study	Environmental Audit, Inc.
The operators of the Chevron Products El Segundo Refinery are proposing to remove six old coke "drums" and replace them with new coke drums that will meet best available control technology requirements.	Chevron Products Company, El Segundo Refinery	EIR	Notice of Preparation/ Initial Study circulated for a 30-day public comment period on October 11, 2011. Close of comment period is November 10, 2011. A scoping meeting on the proposed project was held on October 20, 2011.	Environmental Audit, Inc.
The proposed project consists of modifications to a previously approved CARB Phase 3 Project. The currently proposed Project includes modifications to the Ultramar Inc. (a wholly-owned subsidiary of Valero Energy Company) Wilmington Refinery (Refinery) and Olympic Tank Farm, as well as, connecting pipelines necessary to provide greater flexibility and efficiency regarding transport of petroleum products from the Port of Long Beach to the Refinery and from the Refinery to storage and distribution terminals.	Wilmington Infrastructure, LLC	Not Yet Determined	SCAQMD staff is reviewing Notice of Preparation/Initial Study	Environmental Audit, Inc.

C-1

A shaded row indicates a new project.

# = AQMD was contacted regarding potential environmental justice concerns due to the natural and/or location of the project.



BOARD MEETING DATE: January 6, 2012

AGENDA NO. 11

REPORT: Rule and Control Measure Forecast

SYNOPSIS: This report highlights AQMD rulemaking activity and public workshops potentially scheduled for the year 2012. (No Committee Review)

COMMITTEE: Not Applicable

RECOMMENDED ACTION:  
Receive and file.

Barry R. Wallerstein, D.Env.  
Executive Officer

EC:LT:cg

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The Rule and Control Measure Forecast Report provides the Board with a monthly update of AQMD's rulemaking and control measure implementation schedule. No scheduling changes have occurred since last month's forecast.

As a summary to the 2011 rulemaking activity, the District continued its rulemaking efforts to improve air quality through implementation of the 2007 AQMP in particular, and to address rule implementation issues. In total the District has adopted four new rules and amended eight existing rules and regulations that will collectively reduce approximately 5.3 tons per day of VOC emissions.

## 2012 MASTER CALENDAR (continued)

Below is a list of all rulemaking activity scheduled for the year 2012. The last four columns refer to the type of rule adoption or amendment. A more detailed description of the proposed rule adoption or amendment is located in the Attachments (A through D) under the type of rule adoption or amendment (i.e. AQMP, Toxics, Other and Climate Change).

*\*An asterisk indicates that the rulemaking is a potentially significant hearing.*

*+This proposed rule will reduce criteria air contaminants and assist toward attainment of ambient air quality standards.*

*<sup>1</sup>Subject to Board approval*

*California Environmental Quality Act shall be referred to as "CEQA."*

*Socioeconomic Analysis shall be referred to as "Socio."*

### 2012

March		AQMP	Toxics	Other	Climate Change
218.1	Continuous Emission Monitoring Performance Specifications			√	
1470	Requirements for Stationary Diesel-Fueled Internal Combustion and Other Compression Ignition Engines		√		
<b>April</b>					
1123	Pilot Program for Refinery Start-up, Shutdown and Turnaround Procedures (MCS-06)	√			
1177 <sup>+</sup>	Liquified Petroleum Gas Transfer and Dispensing (MCS-07)	√			
<b>May</b>					
Reg. III	Fees			√	
1107 <sup>+</sup>	Coating of Metal Parts and Products (MCS-07)	√			
1110.2 <sup>*+</sup>	Emissions from Gaseous- and Liquid-Fueled Engines			√	
<b>June</b>					
222	Filing Requirements For Specific Emission Sources Not Requiring a Written Permit Pursuant to Regulation II			√	
<b>July</b>					
1114 <sup>*+</sup>	Control of Emissions from Refinery Coking Operations (MCS-07)	√			

## 2012 MASTER CALENDAR (continued)

**2012**

<b>September</b>		<b>AQMP</b>	<b>Toxics</b>	<b>Other</b>	<b>Climate Change</b>
1450*	Indirect Sources		√		
<b>October</b>					
1401	New Source Review of Toxic Air Contaminants		√		
1402	Control of Toxic Air Contaminants from Existing Sources		√		
1902	Transportation Conformity	√			
<b>November</b>					
1138	Control of Emissions from Restaurant Operations (BCM-05)	√			
1420	Emissions Standard for Lead		√		
1420.2	Emission Standard for Lead from Medium Lead Emitting Facilities		√		
<b>December</b>					
415	Odors from Rendering Plants			√	
2301	Control of Emissions from New or Redevelopment Projects (EGM-01)	√			

## 2012 TO-BE DETERMINED

<b>TBD</b>		<b>AQMP</b>	<b>Toxics</b>	<b>Other</b>	<b>Climate Change</b>
102	Definition of Terms			√	
219	Equipment Not Requiring a Written Permit Pursuant to Regulation II			√	
314	Fees for Architectural Coatings			√	
402	Nuisance			√	
461	Gasoline Transfer and Dispensing			√	
463	Storage of Organic Liquids			√	
1178	Further Reductions of VOC Emissions from Storage Tanks at Petroleum Facilities			√	
701	Air Pollution Emergency Contingency Actions			√	

## 2012 MASTER CALENDAR (continued)

### 2012 TO-BE DETERMINED

TBD	(continued)	AQMP	Toxics	Other	Climate Change
1106	Marine Coating Operations (MCS-07)	√			
1106.1	Pleasure Craft Coating Operations (MCS-07)	√			
1118	Control of Emissions from Refinery Flares			√	√
1143	Consumer Paint Thinners & Multi-Purpose Solvents			√	
1144	Metalworking Fluids and Direct-Contact Lubricants			√	
1146	Emission of Oxides of Nitrogen from Industrial, Institutional and Commercial Broilers, Steam Generators, and Process Heaters			√	
1146.1	Emissions of Oxides of Nitrogen from Small Industrial Institutional, and Commercial Boilers, Steam Generators and Process Heaters			√	
1147	NOx Reductions from Miscellaneous Sources			√	
1151 <sup>*+</sup>	Motor Vehicle and Mobile Equipment Non-Assembly Line Coating Operations			√	
1155	Particulate Matter (PM) Control Devices			√	
1166	Volatile Organic Compound Emissions from Decontamination of Soil			√	
1168	Adhesive and Sealant Applications			√	
1171	Solvent Cleaning Operations			√	
1173	Control of Volatile Organic Compound Leaks and Releases from Components at Petroleum Facilities and Chemical Plants			√	√
1190 Series	Fleet Vehicle Requirements			√	
Reg. XIII	New Source Review			√	



## 2012 MASTER CALENDAR (continued)

### 2012 TO-BE DETERMINED

TBD	(continued)	AQMP	Toxics	Other	Climate Change
1469.1	Spraying Operations Using Coatings Containing Chromium		√		
Reg. XX	Regional Clean Air Incentives Market (RECLAIM)			√	
2511	Credit Generation Program for Locomotive Head End Power Unit Engines			√	
2512	Credit Generation Program for Ocean-Going Vessels at Berth			√	
Reg. XXVII	Climate Change				√
4010 <sup>*+</sup>	General Provisions and Requirements for Ports of Los Angeles and Long Beach (MOB-03)	√	√		
4020 <sup>*+</sup>	Backstop Requirements for Ports of Los Angeles and Long Beach (MOB-03)	√	√		
Reg. IV, IX, X, XI, XIV, XX and XXX Rules	Various rule amendments may be needed to meet the requirements of state and federal laws, address variance issues/technology-forcing limits, or to seek additional reductions to meet the SIP short-term measure commitment. The Clean Communities Plan (CCP) has been updated to include new measures to address toxic emissions in the basin. The CCP includes a variety of measures that will reduce exposure to air toxics from stationary, mobile, and area sources. Rule amendments may include updates to provide consistency with CARB Statewide Air Toxic Control Measures.	√	√	√	√

Note: AQMD may add control measures necessary to satisfy federal requirements, to abate a substantial endangerment to public health or welfare, state regulatory requirements or SIP commitment.

# ATTACHMENT A

## AQMP Rule Activity Schedule

This attachment lists those control measures that are being developed into rules or rule amendments for the Board consideration that are designed to implement the amendments to the 2007 Air Quality Management Plan.

**2012**

<b>April</b>	
1123	<b>Pilot Program for Refinery Start-up, Shutdown and Turnaround Procedures (MCS-06)</b> <i>[Projected Emission Reduction: N/A]</i> Rule 1123 would implement 2007 AQMP Control Measure MCS-06 by identifying improved operating procedures and best management practices to reduce emissions from start-up, shutdown and turnaround operations. <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i>
1177 <sup>+</sup>	<b>Liquid Petroleum Gas Transfer and Dispensing (MCS-07)</b> <i>[Projected Emission Reduction: TBD]</i> Proposed Rule 1177 will establish controls for transfer and dispensing of liquefied propane gas. <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i>
<b>May</b>	
1107 <sup>+</sup>	<b>Coating of Metal Parts and Products (MCS-07)</b> <i>[Projected Emission Reduction: TBD]</i> Amendments to Rule 1107 would further reduce VOC emissions and improve rule clarity and enforceability. <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i>
<b>July.</b>	
1114 <sup>*+</sup>	<b>Control of Emissions from Refinery Coking Operations (MCS-07)</b> <i>[Projected Emission Reduction: TBD]</i> Proposed Rule 1114 will establish emission limits and other requirements for the operation of coking units at petroleum refineries. <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i>
<b>October</b>	
1902	<b>Transportation Conformity</b> <i>[Projected Emission Reduction: TBD]</i> Amendments to Rule 1902 will bring the District's Transportation Conformity rule in line with current U.S. EPA requirements. <i>Carol Gomez 909.396.3264 CEQA: Smith (3054) Socio: Lieu (3059)</i>

# ATTACHMENT A

## AQMP Rule Activity Schedule (continued)

2012

November	
1138	<p><b>Control of Emissions from Restaurant Operations (BCM-05)</b>  <i>[Projected Emission Reduction: 2007 AQMP Commitment of 1.1 tpd PM2.5 reductions by 2014]</i>            Rule 1138 currently applies solely to chain-driven charbroilers. PAR 1138 will implement 2007 AQMP Control Measure BCM-05 for the reduction of PM2.5 emissions from under-fired charbroilers. Staff has contracted with UCR CE-CERT for the testing and demonstration of affordable and effective particulate control technologies for under-fired charbroilers. Staff will propose amendments to Rule 1138 based on the results of those studies.  <i>Philip Fine 909.396.2239 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
December	
2301	<p><b>Control of Emissions from New or Redevelopment Projects (EGM-01)</b>  <i>[Projected Emission Reduction: Committed to reduce 0.5 tons per day of VOC, 0.8 tons per day of NOx, and 0.5 tons per day of PM2.5 in 2023.]</i>            The proposed rule will implement the 2007 AQMP Control Measure EGM-01 – Emission Reductions from New or Redevelopment Projects. Since the initial proposal was released for PR 2301, CARB in compliance with a SB 375 requirement has set greenhouse gas emission reduction targets for each Metropolitan Planning Organization (MPO). SCAG’s 2012 RTP/SCS will contain the plan for how these target emission reductions will be met. In light of this development, PR 2301 will be drafted as a backstop/contingency measure to ensure that the co-benefit of VOC, NOx, and PM 2.5 emission reductions from the SCS will meet the 2007 AQMP targets.  <i>Carol Gomez 909.396.3264 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>

## To-Be Determined 2012

To-Be Determined	
1106	<p><b>Marine Coating Operations (MCS-07)</b>  <i>[Projected Emission Reduction: TBD]</i>            Proposed amendments will further reduce VOC emissions from the application of marine coatings. Amendments may also improve clarity and enforceability.  <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>

# ATTACHMENT A

## AQMP Rule Activity Schedule (continued)

### To-Be Determined 2012

To-Be Determined	
1106.1	<p><b>Pleasure Craft Coating Operations (MCS-07)</b>  <i>[Projected Emission Reduction: TBD]</i>            Amendments to Rule 1106.1 will reduce VOC emissions from the application of coatings to pleasure craft and improve the enforceability and clarity of the rule.  <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
4010 <sup>*+</sup>  4020 <sup>*+</sup>	<p><b>General Provisions and Requirements for Ports of Los Angeles and Long Beach (MOB-03)</b>  <b>Backstop Requirements for Ports of Los Angeles and Long Beach (MOB-03)</b>  <i>[Projected Emission Reduction: TBD]</i>            The proposed rules will address toxic and criteria pollutant emissions from new and existing port-related sources.  <i>Susan Nakamura 909.396.3105 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
Reg. IV, IX, X, XI, XIV, XX and XXX Rules	<p>Various rule amendments may be needed to meet the requirements of state and federal laws, address variance issues/technology-forcing limits, or to seek additional reductions to meet the SIP short-term measure commitment.</p>

# ATTACHMENT B

## Toxics Rule Activity Schedule

This attachment lists those rules or rule amendments for the Governing Board consideration that are designed to implement the Air Toxics Control Plan.

2012

March	
1470	<p><b>Requirements for Stationary Diesel-Fueled Internal Combustion and oOther Compression Ignition Engines</b>  <i>[Projected Emission Reduction: TBD]</i>  Proposed Amended Rule 1470 was carried over from the October 7, 2011 Governing Board Meeting. CARB has amended the ATCM for stationary diesel-fueled internal combustion engines to reduce particulate emissions from stationary diesel powered agricultural engines that are used for growing crops, raising fowl or other animals at farms, ranches, universities, or other places.  Susan Nakamura 909.396.3105 CEQA: Smith (3054) Socio: Lieu (3059)</p>
September	
1450*	<p><b>Indirect Sources</b>  <i>[Projected Emission Reduction: TBD]</i>  Proposed Rule 1450 will identify approaches to reduce localized NOx and air toxic emissions and exposure from facilities associated with large indirect sources (i.e. facilities that attract mobile sources).  Susan Nakamura 909.396.3105 CEQA: Smith (3054) Socio: Lieu (3059)</p>
October	
1401 1402	<p><b>New Source Review of Toxic Air Contaminants</b>  <b>Control of Toxic Air Contaminants from Existing Sources</b>  <i>[Projected Emission Reduction: TBD]</i>  The Office of Environmental Health Hazard Assessment (OEHHA) periodically reviews the list of toxic compounds and revises or establishes risk values. Rules 1401 and 1402 will be amended to revise the list of TACs. OEHHA is currently revising their risk assessment guidelines and, when adopted, District guidelines will be amended requiring Board approval. In addition, other administrative changes may be proposed.  Susan Nakamura 909.396.3105 CEQA: Smith (3054) Socio: Lieu (3059)</p>
November	
1420 1420.2	<p><b>Emissions Standard for Lead</b>  <b>Emissions Standard for Lead from Medium Lead Emitting Facilities</b>  <i>[Projected Emission Reduction: TBD]</i>  In October 2008, U.S. EPA lowered the National Ambient Air Quality Standard for lead from 1.5 to 0.15 ug/m3. Proposed Amended Rule 1420 and Proposed Rule 1420.2 will apply to lead sources and will include requirements to ensure the Basin meets the new lead standard.  Susan Nakamura 909.396.3105 CEQA: Smith (3054) Socio: Lieu (3059)</p>

## ATTACHMENT B

### Toxics Rule Activity Schedule (continued)

#### To-Be Determined 2012

<b>To-Be Determined</b>	
1469.1	<p><b>Spraying Operations Using Coatings Containing Chromium</b>  <i>[Projected Emission Reduction: TBD]</i>            Staff will evaluate opportunities for reducing chrome emissions from various spray coating operations.  <i>Susan Nakamura 909.396.3105 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
4010 <sup>*+</sup>  4020 <sup>*+</sup>	<p><b>General Provisions and Requirements for Ports of Los Angeles and Long Beach (MOB-03)</b>  <b>Backstop Requirements for Ports of Los Angeles and Long Beach (MOB-03)</b>  <i>[Projected Emission Reduction: TBD]</i>            The proposed rules will address toxic and criteria pollutant emissions from new and existing port-related sources.  <i>Susan Nakamura 909.396.3105 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
Reg. IV, IX, X, XI, XIV, XX and XXX Rules	<p>Various rule amendments may be needed to meet the requirements of state and federal laws, address variance issues/technology-forcing limits. Rule amendments may include updates to provide consistency with CARB Statewide Air Toxic Control Measures.</p>

# ATTACHMENT C

## Other Rule Activity Schedule

This attachment lists those rules or rule amendments for the Governing Board consideration that are designed to improve rule enforceability, SIP corrections, or implementing state or federal regulations.

2012

March	
218.1	<p><b>Continuous Emission Monitoring Performance Specifications</b>  <i>[Projected Emission Reduction: N/A]</i>            Rule amendments to 218.1 may be necessary to streamline equipment calibration provisions of the rule.  <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
May	
Reg. III	<p><b>Fees</b>  <i>[Projected Emission Reduction: N/A]</i>            Amendments to the fee rules may be necessary to implement FY 2012-13 AQMD Budget.  <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
1110.2 <sup>*+</sup>	<p><b>Emissions from Gaseous- and Liquid-Fueled Engines</b>  <i>[Projected Emission Reduction: TBD]</i>            Amendments to Rule 1110.2 are proposed to address the impacts of contaminants in biogas used to fuel power generators at landfills and municipal waste facilities. The amendments may result in a delay or loss of emissions reductions.  <i>Joe Cassmassi 909.396.3155 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
June	
222	<p><b>Filing Requirements for Specific Emission Sources Not Requiring a Written Permit Pursuant to Regulation II</b>  <i>[Projected Emission Reduction: N/A]</i>            Staff will evaluate currently permitted equipment with very low emissions and consider incorporating into the Rule 222 Filing Program. In addition, staff will evaluate other equipment currently exempt from permits, but subject to source-specific rules, for inclusion into Rule 222 Filing Program.  <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
December	
415	<p><b>Odors from Rendering Plants</b>  <i>[Projected Emission Reduction: TBD]</i>            As part of the implementation of the 2010 Clean Communities Plan, staff is examining odor control techniques for rendering facilities and may propose a new regulation based on the staff analysis.  <i>Susan Nakamura 909.396.3105 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>

## ATTACHMENT C

### Other Rule Activity Schedule (continued)

#### To-Be Determined 2012

<b>To-Be Determined</b>	
102	<p><b>Definition of Terms</b>  <i>[Projected Emission Reduction: N/A]</i>  Proposed amendments to Rule 102 may be necessary to include compounds exempted by the U.S. EPA with consideration for health risks as defined by the Office of Environmental Health Hazard Assessment (OEHHA).  <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
219	<p><b>Equipment Not Requiring a Written Permit Pursuant to Regulation II</b>  <i>[Projected Emission Reduction: N/A]</i>  Staff will consider exempting low-emitting processes/equipment that require written permits, and include them under the Rule 222 Filing Program, thus streamlining the permitting process and reducing the cost for facilities and clarify permitting requirements for several other processes.  <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
314	<p><b>Fees of Architectural Coatings</b>  <i>[Projected Emission Reduction: TBD]</i>  The proposed amendments would improve clarity and reporting requirements as well as consider an exemption from fees for small manufacturers.  <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
402	<p><b>Nuisance</b>  <i>[Projected Emission Reduction: TBD]</i>  The AQMD staff will assess the feasibility of expanding the current nuisance rule as part of a proposed measure in the draft Clean Communities Plan (CCP). The assessment may result in a recommendation to amend Rule 402 to make it more effective and more responsive to public complaints.  <i>Susan Nakamura 909.396.3105 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
461	<p><b>Gasoline Transfer and Dispensing</b>  <i>[Projected Emission Reduction: TBD]</i>  Proposed amendments to Rule 461 will explore the feasibility of further reducing VOC and toxic emissions from gasoline dispensing facilities by improving implementation of the Enhanced Vapor Recovery Regulation.  <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>



# ATTACHMENT C

## Other Rule Activity Schedule (continued)

### To-Be Determined 2012

To-Be Determined	(continued)
463 1178	<p><b>Storage of Organic Liquids</b></p> <p><b>Further Reductions of VOC Emissions from Storage Tanks at Petroleum Facilities</b></p> <p><i>[Projected Emission Reduction: TBD]</i></p> <p>Staff will evaluate the opportunity of harmonizing the two rules into one and be prepared to address any stakeholder feedback in response to recent amendments to Rule 463.</p> <p><i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
701	<p><b>Air Pollution Emergency Contingency Actions</b></p> <p><i>[Projected Emission Reduction: N/A]</i></p> <p>Proposed amendments to Rule 701 will update air quality standards and episode criteria.</p> <p><i>Joe Cassmassi 909.396.3155 909.396.3155 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
1118	<p><b>Control of Emissions from Refinery Flares</b></p> <p><i>[Projected Emission Reduction: TBD]</i></p> <p>Amendments may be necessary to address results of the additional analysis required by the adopting resolution for the last amendment and to consider the advances in monitoring technology. Amendments may also be necessary to implement an AB 32 measure.</p> <p><i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
1143	<p><b>Consumer Paint Thinners &amp; Multi-Purpose Solvents</b></p> <p><i>[Projected Emission Reduction: N/A]</i></p> <p>Proposed amendments may be necessary for further clarification and possible exemptions.</p> <p><i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
1144	<p><b>Metalworking Fluids and Direct-Contact Lubricants</b></p> <p><i>[Projected Emission Reduction: N/A]</i></p> <p>Proposed amendments may be necessary to incorporate results from on-going technology assessments for specific facilities.</p> <p><i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
1146	<p><b>Emission of Oxides of Nitrogen from Industrial, Institutional and Commercial Broilers, Steam Generators, and Process Heaters</b></p> <p><i>[Projected Emission Reduction: unknown]</i></p> <p>Proposed amendments will address expected U.S. EPA comments on compliance issues.</p> <p><i>Joe Cassmassi 909.396.3155 909.396.3155 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>

# ATTACHMENT C

## Other Rule Activity Schedule (continued)

### To-Be Determined 2012

To-Be Determined	(continued)
1146.1	<p><b>Emissions of Oxides of Nitrogen from Small Industrial Institutional, and Commercial Boilers, Steam Generators and Process Heaters</b>  <i>[Projected Emission Reduction: unknown]</i>  Proposed amendments will address expected U.S. EPA comments on compliance issues.  <i>Joe Cassmassi 909.396.3155 909.396.3155 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
1147	<p><b>NOx Reductions from Miscellaneous Sources</b>  <i>[Projected Emission Reduction: N/A]</i>  Proposed amendments will provide ongoing staff reports to committee relative to impacts to less than 1-tone-per-day sources.  <i>Joe Cassmassi 909.396.3155 909.396.3155 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
1151 <sup>*+</sup>	<p><b>Motor Vehicle and Mobile Equipment Non-Assembly Line Coating Operations</b>  <i>[Projected Emission Reduction: N/A]</i>  Amendments to the rule may be necessary to reflect further findings relative to recordkeeping requirements for tertiary butyl acetate (TBAC).  <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
1155	<p><b>Particulate Matter (PM) Control Devices</b>  <i>[Projected Emission Reduction: TBD]</i>  With the implementation Rule 1155, amendments may be necessary to address the potential exemption of small PM emitters to minimize adverse impacts of the rule requirements where there is no real impact on visible emissions.  <i>Philip Fine 909.396.2239 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
1166	<p><b>Volatile Organic Compound Emissions from Decontamination of Soil</b>  <i>[Projected Emission Reduction: N/A]</i>  Amendments to Rule 1166 may be necessary to clarify certain elements of the rule.  <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
1168	<p><b>Adhesive and Sealant Applications</b>  <i>[Projected Emission Reduction: N/A]</i>  Amendments to Rule 1168 may be necessary to reflect improvements in adhesive and sealants technology.  <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
1171	<p><b>Solvent Cleaning Operations</b>  <i>[Projected Emission Reduction: N/A]</i>  The proposed amendment may consider technology assessments for the cleanup of affected equipment.  <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>

## ATTACHMENT C

### Other Rule Activity Schedule (continued)

#### To-Be Determined 2012

<b>To-Be Determined</b>	
1173	<p><b>Control of Volatile Organic Compound Leaks and Releases from Components at Petroleum Facilities and Chemical Plants</b>  <i>[Projected Emission Reduction: TBD]</i>  Amendment to Rule 1173 may be necessary to address greenhouse gas emissions from petroleum facilities and chemical plants and clarify other provisions of the rule.  <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
1190 Series	<p><b>Fleet Vehicle Requirements</b>  <i>[Projected Emission Reduction: TBD]</i>  Amendments to Rule 1190 series fleet rules may be necessary to address remaining outstanding implementation issues and in the event the court's future action requires amendments. In addition, the current fleet rules may be expanded to achieve additional air quality and air toxic benefits.  <i>Dean Saito 909.396.2647 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
Reg. XIII	<p><b>New Source Review</b>  <i>[Projected Emission Reduction: TBD]</i>  Proposed amendments will address U.S. EPA comments on SIP approvability issues and/or requirements that may result from U.S. EPA amendments, legislation or CARB requirements. Amendments may also be proposed for clarity and improved enforceability.  <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
Reg. XX	<p><b>Regional Clean Air Incentives Market (RECLAIM)</b>  <i>[Projected Emission Reduction: N/A]</i>  Staff will explore opportunities to improve the administrative efficiency of the program.  <i>Joe Cassmassi 909.396.3155 909.396.3155 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
2511	<p><b>Credit Generation Program for Locomotive Head End Power Unit Engines</b>  <i>[Projected Emission Reduction: TBD]</i>  Develop a rule to allow generation of PM mobile source emission reduction credits from Locomotive Head End Power Unit Engines. Credits will be generated by retrofitting engines with PM controls or replacing the engines with new lower-emitting engines.  <i>Randal Pasek 909.396.2251 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>
2512	<p><b>Credit Generation Program for Ocean-Going Vessels at Berth</b>  <i>[Projected Emission Reduction: TBD]</i>  Develop a rule to allow generation of PM, NOx and SOx emission reduction credits from ocean-going vessels while at berth. Credits will be generated by controlling the emissions from auxiliary engines and boilers of ships while docked.  <i>Randal Pasek 909.396.2251 CEQA: Smith (3054) Socio: Lieu (3059)</i></p>

## ATTACHMENT C

### Other Rule Activity Schedule (continued)

#### To-Be Determined 2012

<b>To-Be Determined</b>	
Reg. IV, IX, X, XI, XIV, XX and XXX Rules	Various rule amendments may be needed to meet the requirements of state and federal laws, address variance issues/technology-forcing limits.

## ATTACHMENT D

### Climate Change

This attachments lists rules or rule amendments for the Governing Board consideration that are designed to implement South Coast Air Quality Managements District's Climate Change Policy or for consistency with state or federal rules.

#### To-Be Determined 2012

<b>To-Be Determined</b>	
1118	<b>Control of Emissions from Refinery Flares</b> <i>[Projected Emission Reduction: TBD]</i> Amendments may be necessary to address findings from the additional analysis required by the adopting resolution for the last amendment. Amendments may also be necessary to implement an AB 32 measure. <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i>
1173	<b>Control of Volatile Organic Compound Leaks and Releases from Components at Petroleum Facilities and Chemical Plants</b> <i>[Projected Emission Reduction: TBD]</i> Amendment to Rule 1173 may be necessary to address greenhouse gas emissions from petroleum facilities and chemical plants and clarify other provisions of the rule. <i>Naveen Berry 909.396.2363 CEQA: Smith (3054) Socio: Lieu (3059)</i>
Reg. XXVII	<b>Climate Change</b> <i>[Projected Emission Reduction: TBD]</i> Additional protocols may be added to Rules 2701 and 2702. <i>Philip Fine 909.396.2239 CEQA: Smith (3054) Socio: Lieu (3059)</i>
Reg. IV, IX, X, XI, XIV, XX and XXX Rules	Various rule amendments may be needed to meet the requirements of state and federal laws address variance issues/technology-forcing limits.

BOARD MEETING DATE: January 6, 2012

AGENDA NO. 12

PROPOSAL: Report of RFPs and RFQs Scheduled for Release in January

SYNOPSIS: This report summarizes the RFPs and RFQs for budgeted services over \$75,000 scheduled to be released for advertisement for the month of January.

COMMITTEE: Administrative, December 9, 2011; Recommended for Approval

**RECOMMENDED ACTION:**

Approve the release of RFPs/RFQs for the month of January.

Barry R. Wallerstein, D.Env.  
Executive Officer

MBO:lg

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**Background**

At its January 8, 2010 meeting, the Board approved a revised Procurement Policy and Procedure. Under the revised policy, RFPs and RFQs for budgeted items over \$75,000, which follow the standard evaluation criteria, no longer require individual Board approval. However, a monthly report of all RFPs and RFQs over \$75,000 is included as part of the Board agenda package and the Board may, if desired, take individual action on any item. The report provides the title and synopsis of the RFP or RFQ, the budgeted funds available, and the name of the Deputy Executive Officer/Asst. Deputy Executive Officer responsible for that item. Further detail including closing dates, contact information, and detailed proposal criteria will be available online at <http://www.aqmd.gov/rfp/index.html> following Board approval on January 6, 2012.

**Outreach**

In accordance with AQMD's Procurement Policy and Procedure, a public notice advertising the RFP/RFQ and inviting bids will be published in the Los Angeles Times, the Orange County Register, the San Bernardino Sun, and Riverside County Press Enterprise newspapers to leverage the most cost-effective method of outreach to the South Coast Basin.

Additionally, potential bidders may be notified utilizing AQMD's own electronic listing of certified minority vendors. Notice of the RFP/RFQ will be e-mailed to the Black and

Latino Legislative Caucuses and various minority chambers of commerce and business associations, and placed on the Internet at AQMD's website (<http://www.aqmd.gov>) where it can be viewed by making menu selections "Inside AQMD"/"Employment and Business Opportunities"/"Business Opportunities" or by going directly to <http://www.aqmd.gov/rfp/index.html>). Information is also available on AQMD's bidder's 24-hour telephone message line (909) 396-2724.

### **Proposal Evaluation**

Proposals received will be evaluated by applicable diverse panels of technically qualified individuals familiar with the subject matter of the project or equipment and may include outside public sector or academic community expertise.

### **Attachment**

Report of RFPs and RFQs Scheduled for Release in January

**January 6, 2012 Board Meeting  
Report on RFPs and RFQs Scheduled for Release on January 6, 2012**

(For detailed information visit AQMD's website at  
<http://www.aqmd.gov/rfp/index.html> following Board approval on January 6, 2012)

**STANDARDIZED SERVICES**

RFQ #Q2012-08    Issue RFQ for Lease of Mailing Equipment

JOHNSON/3018

This action is to issue an RFQ to solicit vendor quotations for the lease of mailing equipment. AQMD operates an in-house mail center that handles 355,000 pieces of outgoing mail annually as well as handling various mailings in-house. The current mailing system lease includes the postage, addressing and folder/inserters machines which expire March 2012. Sufficient funds have been included in the FY 2012-13 Budget request.

**RESEARCH AND DEVELOPMENT OR SPECIAL TECHNICAL EXPERTISE**

NONE

**REQUESTS FOR QUALIFICATIONS - Prequalified Vendor List**

NONE

**REQUEST FOR QUOTATIONS – Commercial Off-the-Shelf Equipment**

NONE



BOARD MEETING DATE: January 6, 2012

AGENDA NO. 13

**PROPOSAL:** Report on Major Projects for Information Management Scheduled to Start During Last Six Months of FY 2011-12

**SYNOPSIS:** Information Management is responsible for data systems management services in support of all AQMD operations. This action is to report on major automation contracts and projects to be initiated by Information Management during the last six months of FY 2011-12.

**COMMITTEE:** Administrative, December 9, 2011, Recommended for Approval

**RECOMMENDED ACTION:**  
Receive and file.

Barry R. Wallerstein, D.Env.  
Executive Officer

JCM:MAH:OSM:nv

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### **Background**

Information Management (IM) provides a wide range of information systems and services in support of all AQMD operations. IM's primary goal is to provide automated tools and systems to implement Board-approved rules and regulations, and to improve internal efficiencies. The annual Budget specifies projects planned during the fiscal year to develop, acquire, enhance, or maintain mission-critical information systems.

### **Summary of Report**

The attached report identifies each of the major projects/contracts or purchases that are expected to come before the Board between January 1 and June 30, 2012. Information provided for each project includes a brief project description, FY 2011-12 Budget, and the schedule associated with known major milestones (issue RFP/RFQ, execute contract, etc.).

### **Attachment**

Information Management Major Projects for Period January 1 through June 30, 2012

**ATTACHMENT**  
**January 6, 2012 Board Meeting**  
**Information Management Major Projects**  
**for the Period of January 1 through June 30, 2012**

Item	Brief Description	Budgeted Funds	Schedule of Board Actions	Status
Prequalify Vendor List for PCs, Network Hardware, etc.	Establish list of prequalified vendors to provide customer, network, and printer hardware and software, and to purchase desktop computer hardware upgrades.	\$200,000	Release RFQ November 4, 2011; Authorize Vendors List February 3, 2012	On Schedule
Redesign of AQMD's Website	Award Contract to redesign AQMD's website ( <a href="http://www.aqmd.gov">www.aqmd.gov</a> ) to create a more user-friendly website.	TBD	Release RFP November 4, 2011; Award Contract March 2, 2012	On Schedule
System Enhancements	Provide Enhancements for: <ul style="list-style-type: none"> <li>• CLASS Systems</li> <li>• eGovernment Application and Infrastructure</li> <li>• Version Upgrades</li> </ul>	TBD	March 2, 2012	On Schedule

Double-lined Rows - Board Agenda items current for this month

Shaded Rows - activities completed

BOARD MEETING DATE: January 6, 2012

AGENDA NO.

*(Continued from December 2, 2011 Board Meeting)*

**PROPOSAL:** Designation of Environmental Justice (EJ) Areas for the Coachella Valley Pursuant to AB 1318

**SYNOPSIS:** AB 1318 established requirements for mitigation funds from the CPV Sentinel Energy Project power plant, including the requirement that at least 30% of the mitigation funding is distributed in Environmental Justice (EJ) areas within District. The AB 1318 made reference to EJ areas as designated in AQMD Rule 1309.1, as adopted in August 2007, but repealed in January 2010. While the EJ criteria in Rule 1309.1 were intended for all areas within the District, the map included in the 2007 version of the rule did not include a depiction of the Coachella Valley EJ areas. This item is to approve the Coachella Valley EJ designations and map based on the same Rule 1309.1 criteria referenced in AB 1318, such that the public is provided the relevant information to know what will be considered an EJ area and fully participate in the Board's public process for funding of mitigation projects.

**COMMITTEE:** Stationary Source, November 18, 2011, Reviewed

**RECOMMENDED ACTION:**

Approve the Coachella Valley Environmental Justice designations and map as shown in the Attachment.

Barry R. Wallerstein, D.Env.  
Executive Officer

EC:LT:PF:TG

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**Background**

In June 2011, the Governing Board approved the establishment of the AB 1318 Mitigation Fees Fund. This special revenue fund would be used to finance emission reduction projects, pursuant to the requirements of AB1318 (V.M. Perez). The mitigation fees are for the transfer of emission offsets from AQMD's internal offset

accounts to CPV Sentinel, LLC for the construction and operation of the CPV Sentinel Energy Project power plant in Desert Hot Springs. The sum of approximately \$54 million, all of which was provided by CPV Sentinel, LLC, was placed in the AB 1318 Mitigation Fees Fund and will be used to fund emissions mitigation projects. --- Thirty percent of the funding is to be designated for EJ areas. Another 30% is to be used to fund mitigation projects in the vicinity of the power plant. The remaining 40% is to be used for mitigation projects selected at the discretion of the Governing Board.

AB 1318 was codified into law in Health and Safety Code (H&SC 40440.14) and designates EJ areas as defined in AQMD Rule 1309.1 – Priority Reserve, as adopted in August 2007. In the former Rule 1309.1, EJ areas are defined as:

Poverty Level: grid cells where at least 10% of the population is below the poverty level (based on 2000 Federal census data); AND either

1. PM<sub>10</sub> Exposure: the PM<sub>10</sub> exposure is greater than 46 µg/m<sup>3</sup> (as determined by the SCAQMD monitoring); OR
2. Air Toxics Exposure: the cancer risk is greater than one thousand in one million (as determined by the SCAQMD Multiple Air Toxics Exposure Study (MATES II).

Recently, Coachella Valley communities have inquired how they could qualify for funding as an EJ area. Staff could not foresee at the time of approval of Rule 1309.1 that state law would reference the EJ criteria contained in Rule 1309.1. The map showing the areas of the District meeting the EJ criteria contained in Rule 1309.1 did not depict the majority of the Coachella Valley area. As MATES II did not include the areas in Coachella Valley, EJ areas can be defined solely based on poverty and PM<sub>10</sub> exposure.

Note that the EJ criteria, adopted as part of Rule 1309.1 in August 2007, was removed when Rule 1309.1 repealed in January 2010. Subsequently, the May 2002 version Rule 1309.1 was reinstated that did not include EJ criteria. However, since the 2007 version is referenced in H&SC, the AQMD is obligated to use the EJ criteria in that version.

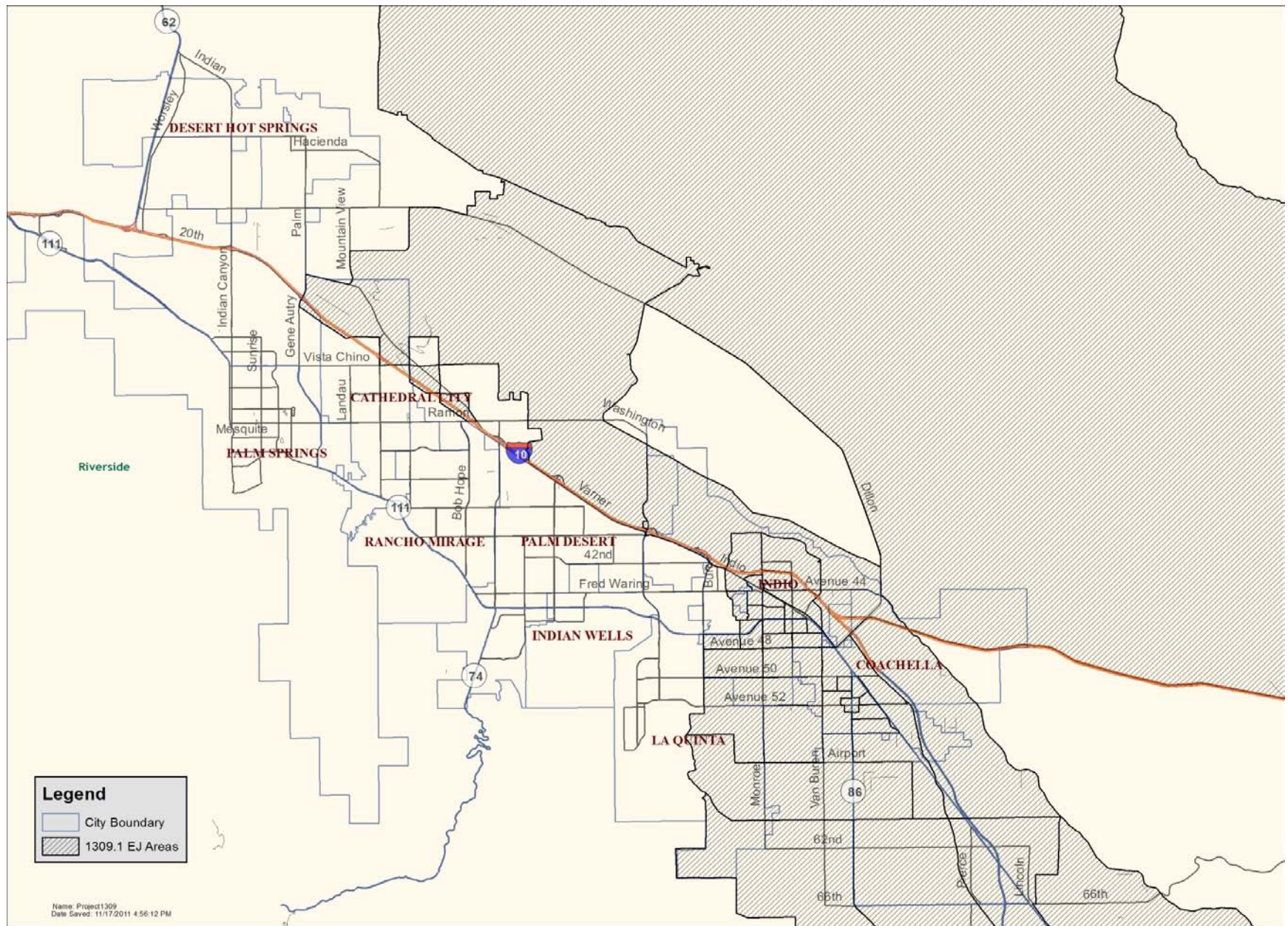
### **Proposal**

Staff is proposing to establish the Coachella Valley EJ designations and map based on the same Rule 1309.1 criteria referenced in AB 1318. This will provide the public with the relevant information to know what will be considered an EJ area in the Coachella Valley and fully participate in the Board's public process for funding of mitigation projects. The Attachment shows those areas of the Coachella Valley to be designated as EJ areas.

**Attachments**

Coachella Valley EJ Designation Map Pursuant to AB 1318

## Coachella Valley Environmental Justice Areas Pursuant to AB 1318





BOARD MEETING DATE: January 6, 2012

AGENDA NO. 16

REPORT: Administrative Committee

SYNOPSIS: The Administrative Committee met on Friday, December 9, 2011. The Committee discussed various issues detailed in the Committee report. The next Administrative Committee meeting is scheduled for Friday, January 13, 2012, which begins at 10 a.m. in Conference Room CC-8.

RECOMMENDED ACTION:

Receive and file.

Dennis R. Yates, Vice Chair  
Administrative Committee

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**Attendance:** Attending the December 9, 2011, meeting via videoconference were Committee Members Dr. William A. Burke and Supervisor Josie Gonzales. Committee Members Mayor Dennis Yates and Mayor Ron Loveridge attended at AQMD, while Mrs. Jane Carney was absent as she had a conflict in her schedule. Dr. Burke requested Mayor Yates to chair the meeting.

**ACTION/DISCUSSION ITEMS:**

1. **Board Members' Concerns:** None.
2. **Chairman's Report of Approved Travel:** Mayor Miguel Pulido is scheduled to attend the U.S. Conference of Mayors Winter meeting in Washington, DC on January 17-21, 2012.

3. **Approval of Compensation for Board Member Assistant(s)/Consultant(s):** Dr. Lyou is requesting approval for a new Board Consultant (Mark Abramowitz) effective November 23, 2011.

Motion by Gonzales; seconded by Yates; unanimously approved.

4. **Report of Approved Out-of-Country Travel:** Chung Liu and Matt Miyasato will be travelling to Berlin, Germany on December 10-13, 2011, to meet with Siemens staff regarding eHighway technology and visit the accredited and certified railway test and validation center near Wildenrath. Mayor Loveridge wondered whether staff should discuss this technology further in committee and Board meetings prior to travelling to Europe to visit sites. He cited concerns regarding infrastructure that were raised at the December 2<sup>nd</sup> Board meeting.

Supervisor Gonzales stated that AQMD should continue to be aggressive with investigating these types of options to stay ahead of the curve.

Dr. Burke said he would reconfirm with the individual who had raised the concern and if no specific objection was made, the travel would go ahead; Mayor Loveridge concurred with this suggestion.

Dr. Wallerstein stated that staff will report their findings and recommendations.

5. **Discussion on Criteria and Disbursement of AQMD Awards to Schools for Film Distribution:** Sam Atwood, Media Office Manager, explained that he is updating the committee on the distribution of \$50,000 approved by the Board to show AQMD's signature film at high schools. He stated that a total of 18 screenings to a total of 5,260 viewers had occurred to date, plus 37 cable stations have committed to air the video, staff has entered four film festivals, and there is a dedicated web page where the public may view the film online.

Mr. Atwood described the basic elements of a proposed plan whereby in exchange for showing the film, schools could apply for a \$1,000 grant to select from a menu of options, to possibly include: purchase of hardware (laptop, projector); holding of a science fair; a teaching stipend to implement a State Board of Education approved environmental curriculum unit; purchase of educational kits for a fuel cell or a solar engine; or to carry out a self-learning project that is environmentally based. The final plan could also include criteria for schools' geographic balance and/or point awards for environmental justice communities, as in existing grant programs.



Extensive discussion followed in which Committee members discussed the pros and cons of the various options. Dr. Wallerstein noted that the requested plan had stemmed from his own initial suggestion of a hardware grant, and that staff was obviously open to other suggestions to accomplish the goal of wider outreach for the film. Mayor Yates said he believed the proposed plan should be simpler to implement and not require evaluation of grant applications, especially as the funding could only accommodate 50 schools; he suggested the grants go toward students planting trees for Arbor Day, as had been done successfully in his city.

Following further discussion, Mayor Yates recommended dropping the proposed plan and possibly redirecting the \$50,000 toward the next youth conference.

Motion by Yates to discard this proposed disbursement plan; seconded by Gonzales; unanimously approved.

#### **JANUARY AGENDA ITEMS:**

6. **Adopt Resolution Amending Records Retention Schedule:** Kurt Wiese, General Counsel, stated that this item is to add certain new classes of documents and schedules to the Records Retention Schedule.

Motion by Loveridge; seconded by Burke; unanimously approved.

7. **Allocate Funds and Issue Program Announcement for Replacement of CNG School Bus Tanks, and Award Funds to Replace Fuel Tanks on School Buses:** Chung Liu, DEO/Science & Technology Advancement, stated that funding allocation is being enabled by two bills, AB 462 and AB 470, to allow funds for natural gas storage tanks on school buses and also to retrofit tanks that have been damaged. Staff has been working with CARB to set the guidelines for this program. This item is to issue a program announcement for \$600,000 to provide District funding for school bus storage tank replacements that will not be covered by the state program. Staff has also proposed \$140,000 for the Torrance School District to replace damaged natural gas tanks using funds from this \$600,000 allocation.

Motion by Loveridge; seconded by Gonzales; unanimously approved.

8. **Approve Use of AQMD Air Quality-Related Energy Policy Logo:** Elaine Chang, DEO/Planning, Rule Development & Area Sources, requested approval of a logo to be implemented early next year on Board letters which are energy policy related, for easy identification by interested stakeholders.

Motion by Loveridge; seconded by Gonzales; unanimously approved.

9. **Report on Major Projects for Information Management Scheduled to Start During Last Six Months of FY 2011-12:** Chris Marlia, Asst. DEO/Information Management, stated that this is a standard item to keep the Board informed on items which will be brought this fiscal year for approval at the Board meetings.

No motion required on this item.

10. **Report of RFPs and RFQs Scheduled for Release in January:** Michael O'Kelly, Chief Financial Officer, stated that there is one RFQ to lease mailing equipment. The current cost is approximately \$27,000 per year and the current lease expires March 2012.

No motion required on this item.

11. **Environmental Justice Advisory Group Minutes for the October 28, 2011 Meeting:** Attached for information only are the Environmental Justice Advisory Group minutes for the October 28, 2011 meeting.

Receive and file report.

12. **Review January 6, 2012 Governing Board Agenda:** Dr. Wallerstein stated that there was no rulemaking scheduled at this meeting, but there will be further discussion concerning the role of the electric utilities in plug-in electric vehicle readiness, an outline of possible outreach efforts for the 2012 AQMP, and a presentation regarding air pollution-related health effects studies completed in 2011.

13. **Other Business:** None.

14. **Public Comment:** None.

Meeting adjourned at 10:50 a.m.

#### **Attachment**

Minutes from the October 28, 2011 Environmental Justice Advisory Group meeting



## **South Coast Air Quality Management District**

21865 Copley Drive, Diamond Bar, CA 91765-4178  
(909) 396-2000 • [www.aqmd.gov](http://www.aqmd.gov)

### **ENVIRONMENTAL JUSTICE ADVISORY GROUP FRIDAY, OCTOBER 28, 2011 DRAFT MEETING MINUTES**

#### **MEMBERS PRESENT:**

Dr. Joseph Lyou, AQMD Governing Board Member, EJAG Chairman  
Rhetta Alexander, San Fernando Valley Interfaith Council  
Lawrence Beeson, Loma Linda University, School of Public Health  
Suzanne Bilodeau, Knott's Berry Farm  
Paul Choe, Korean Drycleaners & Laundry Association  
Afif El-Hasan, American Lung Association  
Alycia Enciso, Small Business Owner  
Mary Figueroa, Riverside Community College  
Maria Elena Kennedy, Quail Valley Task Force  
Evelyn Knight, Long Beach Economic Development Commission  
Angelo Logan, East Yard Communities for Environmental Justice  
Margaret Mapes, St. Joseph Center  
Daniel Morales, National Alliance for Human Rights  
John Moretta, Resurrection Church  
Neal Richman, Breathe LA  
Rafael Yanez, Member of the Public

#### **MEMBERS ABSENT:**

Detrich Allen, City of Los Angeles  
Mimi Holt, SEIU Local 121 Registered Nurses  
Andrea Hricko, Southern California Environmental Health Sciences  
Pat Kennedy, Greater Long Beach Interfaith Community  
Brenda LaMothe, S. Los Angeles Service Representative for L.A. Mayor  
Elina Nasser, Center for Occupational and Environmental Health, UCLA  
William Nelson, OC Signature Properties  
Paul Ong, UCLA School of Public Affairs  
Salvador Ramirez, National Hispanic Environmental Council  
Woodie Rucker-Hughes, NAACP – Riverside Branch

#### **OTHERS PRESENT:**

Brenda Castillo, BP  
Francisco Donez, US EPA/Pitzer College  
Sue Gornich, BP  
Kaily Heitz, Pitzer College  
Ed Mopas, Exide  
Nicole Nishimura, Board Member Assistant (*Lyou*)  
Edward Phillips, BNSF  
Marlene Salazar, Pitzer College

Jade Silver, Scripps College  
Darcy Wheelles, CEA/AAR

**AQMD STAFF:**

Anupom Ganguli, Assistant Deputy Executive Officer  
Sam Atwood, Media Office Manager  
Philip Fine, Planning & Rules Manager  
Lori Langrell, Secretary  
Lauren Nevitt, Deputy District Counsel II  
Lisa Tanaka O'Malley, Community Relations Manager  
John Olvera, Principal Deputy District Counsel  
Ed Pupka, Senior Enforcement Manager  
Nicole Soto, Secretary  
Jill Whynot, Assistant Deputy Executive Officer

**Agenda Item #1 - Call to Order/Opening Remarks**

Chair Dr. Joseph Lyou called the meeting to order at 12:02 PM.

**Agenda Item #2 – Approval of April 29, 2011 Meeting Minutes**

Chair Lyou called for the approval of the meeting minutes. The July 29, 2011 meeting minutes were approved.

**Agenda Item #3 – Member Updates**

Ms. Mary Figueroa informed members of an American Lung Association (ALA) report printed in the Riverside Press Enterprise (PE) which discussed how Hispanics have been most affected by asthma. Ms. Figueroa noted the PE has had a lot of coverage on the health impacts of air pollution in Riverside including an article about Penny Newman's group, Center for Community Action and Environmental Justice (CCA EJ) and their fight against the proximity of railroads to homes. Chair Lyou noted CCA EJ recently was part of a lawsuit filed against Burlington Northern Santa Fe (BNSF) and Union Pacific (UP).

Dr. Lawrence Beeson noted he is working with Penny Newman and CCA EJ on the Loma Linda Railway Study and will have a report on Phase I of the study soon. Chair Lyou commented the study is included in the Draft 2012 EJAG Goals & Objectives.

Dr. Afif El-Hasan commented on a study by ALA that reports an average cost of \$1.19 to society per gallon of gas in terms of days off, hospital visits, and carbon footprint. Dr. Anupom Ganguli asked if it included climate change costs. Dr. El-Hasan said he would have to check on what was included in the calculation, but that they tried to include everything. Chair Lyou asked if Dr. El-Hasan could provide the study to members. Dr. El-Hasan responded he would check with ALA, but he is also happy to present the study at a future meeting.

Mr. Daniel Morales shared a success story involving a group in Colton, named Colton First and their fight against the Soil Safe Proposal that would have brought contaminated soil from out of state, mixed it with cement and stored it along the Santa Ana River in Colton. Mr. Morales noted the project would have affected Riverside as well. By working with Riverside Mayor Loveridge and the Colton City Council, the project was rejected.

Ms. Evelyn Knight informed members that the Environmental Impact Report (EIR) was received on the expansion of intermodal railyards in Long Beach and they met with Mayor Foster of Long Beach to discuss the impacts to residents.

Mr. Rafael Yanez provided an update on the recent Department of Toxic Substances Control (DTSC) meeting regarding Exide Technologies in Boyle Heights. Mr. Yanez said it was a successful meeting and they received a lot of promising information from DTSC's new Permitting Director to ensure they look at all aspects when reviewing Exide's permits, and California Environmental Quality Act (CEQA) document. Mr. Yanez also commented that the Clean Communities Plan (CCP) meetings are going well and working group members hope to see action on their concerns affecting Boyle Heights.

Mr. Angelo Logan concurred with Mr. Yanez on the success of the CCP. Mr. Logan asked members who are interested in rail issues to review the EIR that was recently released for the expansion of intermodal railyards in Long Beach. Mr. Logan also noted the 710 freeway expansion project is the largest freeway project currently under review in the United States, and encouraged members to review information related to it including the Health Impact Assessment (HIA), and also asked that the District stay involved with the issue.

#### **Agenda Item #4 – General Discussion on the Formation of Subcommittee's of the Environmental Justice Advisory Group**

Chair Lyou discussed with members, their interest in forming subcommittees. Chair Lyou noted the EJAG Charter allows for the formation of subcommittee's, but noted the District does not have funding to support them and they have to be cognizant of not requiring staff time. Chair Lyou continued to say they would discuss their interests and depending on the discussion, go through the appropriate steps to form the subcommittees.

Mr. Logan expressed interest in being part of a subcommittee to discuss cumulative impacts and how they relate to permitting. Ms. Knight and Fr. John Moretta also expressed interested in being part of this group. Mr. Yanez supported the subcommittee since DTSC has expressed interest in partnering with the District on cumulative impacts in relation to the Exide Technologies facility.

Ms. Kennedy asked if there is a mechanism for stakeholder participation in air quality issues similar to the watershed management plan, where stakeholders are involved in the planning of projects. Dr. Ganguli explained the Air Quality Management Plan (AQMP) process brings together parties from various backgrounds and committees, but other than the AQMP there are no other ongoing processes. Ms. Kennedy said when there is money at the table, stakeholders will get involved and asked if this is a possibility for air quality planning. Chair Lyou said he doesn't know, but planning can be discussed as part of a subcommittee, which Ms. Kennedy said she is interested in being part of.

Mr. Morales expressed interest in discussing issues in the Inland Empire with other members. Chair Lyou suggested including a geographic issues subcommittee, which Mr. Morales, Ms. Kennedy, and Ms. Figueroa wanted to be part of.

#### **Agenda Item #5 – Draft 2012 Environmental Justice Advisory Group Goals & Objectives**

Dr. Ganguli presented the proposed 2012 EJAG Goals & Objectives.

Chair Lyou asked that "ports" be removed from "Major port projects including the 710 Freeway, ICTF and SCIG proposals" under "Key policies and activities related to good movement." Mr. Yanez asked

what ICTF stands for. Chair Lyou responded Intermodal Container Transfer Facility and SCIG is Southern California International Gateway.

Chair Lyou asked to include in the Goal & Objectives an overview on air toxics, how they are regulated, and the strength and weaknesses of the program.

Mr. Logan asked that the Regional Transportation Plan (RTP) be specifically called out under the AQMP.

Ms. Figueroa noted that “local land use planning guidance and school siting decisions” is important.

Mr. Yanez said it would be useful to include a presentation on biotrickling filters under the “briefing on available technology for major stationary sources.” Ms. Margaret Mapes added green technology also would be useful. Mr. Yanez offered to present on the biotrickling technology and also offered a tour of the Los Angeles facility.

Chair Lyou appreciated the feedback to the proposed 2012 Goals and Objectives and said they will consider the document approved with the recommendations.

#### **Agenda Item #6 – Film “The Right to Breathe”**

The film “The Right to Breathe” was introduced by Dr. Ganguli and shown to members.

Fr. Moretta asked if the film had been shown to the students at Resurrection Church who starred in the film. Mr. Sam Atwood responded that it had not, but he is in contact with Principal Figueroa to work out a time to host a showing. Chair Lyou informed members they can request a viewing in their community and that the Governing Board would be considering a plan with funding to distribute the film.

Ms. Mapes asked if members could upload the video to their websites. Mr. Atwood replied they are in the process of developing the website for the film, which would be [www.therighttobreathe.org](http://www.therighttobreathe.org) and will include a link for premiere requests, but in the meantime they can contact Dr. Ganguli or himself.

Ms. Suzanne Bilodeau asked about the follow-up after the film is shown. Mr. Atwood responded that after the rolling of the credits, the film directs viewers to the District’s website, as well as the Clean Air Connections website. In addition, Mr. Atwood said they plan to use existing AQMD collateral material such as the “10 Things You Can Do” and “10 Things AQMD is Doing” flyers and the Powering the Future brochure.

Mr. Yanez asked who he should contact for permission if he wanted to share the film with the City of Los Angeles to air on their city channel. Mr. Atwood responded they should email him at [satwood@aqmd.gov](mailto:satwood@aqmd.gov).

Ms. Figueroa noted how important it is to premiere the film to young adults, colleges, and communities that are most affected. Chair Lyou said the film may represent the perfect opportunity to host a Town Hall meeting to discuss air quality issues and the AQMP. Mr. Atwood said they have a specific element in the distribution plan to outreach to High School students. He also said they are reaching out to Math and Science teachers and are developing a curriculum to go with the film so it will be an educational experience versus just a film premiere.

Ms. Alexandar asked how long the film is. Mr. Atwood responded that it is less than 20 minutes. Chair Lyou asked how the supplementary versions of the film were going to work. Mr. Atwood said the extended version will be used for cable and potentially Public Broadcasting Service (PBS), but the additional five videos address separate regions to be used for City Council meetings or websites and are about three minutes long. Ms. Knight asked if one of the supplements included Long Beach, which Mr. Atwood affirmed and added that one of the five regional supplements included the Western Region and Ports.

Ms. Bilodeau asked if she could receive copies for distribution. Mr. Atwood said copies can be mailed, and to email him with the request.

**Agenda Item #7 – Status Update on the Development of the 2012 Air Quality Management Plan (AQMP)**

Dr. Philip Fine provided a status update on the development of the 2012 AQMP.

Chair Lyou asked for an explanation of SB 375. Dr. Fine explained SB 375 is a State law requiring transportation planning agency's to develop a Sustainable Community Strategy, to reduce vehicle miles traveled.

Mr. Logan asked what types of factors affect the PM2.5 standards and where the monitors are placed. Dr. Fine responded there are approximately 20 monitors placed throughout the region and PM2.5 tends to be a regional pollutant, so if you are next to a roadway you will get a small incremental increase compared to PM10, CO, or Ultrafine where there is a larger effect. Dr. Fine said the new standard the Environmental Protection Agency (EPA) is about to propose will address the issue in more detail and will be more specific in near roadway monitoring.

Mr. Logan noted that trying to meet a goal based on Mobile Sources inventory is a Catch 22 and that the District needs to start planning to reduce the inventory of mobile source pollutants versus giving projections. Dr. Fine said they have been involved in the process to some degree and Dr. Ganguli noted they are a partner with Southern California Association of Governments (SCAG) on the RTP process. Mr. Logan noted it should be a collaborative effort, not just a partnership. Chair Lyou asked Mr. Logan to follow-up with staff involved in the process for a more in depth conversation. Dr. Ganguli added that a presentation can be arranged for the next meeting.

***Action Item:*** *Agendize a presentation on the RTP.*

Dr. Neal Richman noted he is interested in learning more about the Sustainable Community Strategy and the implications on the AQMP and asked if economic assumptions on growth are based on SCAG's data and assumptions. Dr. Fine confirmed SCAG's assumptions are used as the District's baseline and noted the AQMP meetings are open to the public, so members are welcome to attend. Ms. Alexander asked about the basis for the projections. Dr. Fine said they look at projections from various groups.

Mr. Yanez asked how successful the District has been in terms of previous AQMP's. Dr. Fine said they have generally been successful. AQMD is meeting the PM2.5 standard a bit ahead of schedule, and in emission inventories they are close to being right on target or even ahead of schedule, and although there have been cases where standards were not met, progress has been faster.

Ms. Knight asked if the standards are at the state and federal level and if they are complimentary. Chair Lyou said generally the state standards are more stringent. Ms. Knight asked how research and findings

at universities have been factored in. Dr. Fine said EPA is required to re-evaluate the six criteria pollutants, including research of articles and studies to determine if their standards need to be set at a more protective level. Dr. Fine continued to say that the EPA will do an analysis, which the Clean Air Advisory Committee reviews and then recommends a level to EPA.

Dr. Richman asked when EPA will consider the more short lived, but extremely dangerous pollutants and consider them a criteria pollutant. Dr. Fine responded EPA is aware of the pollutants, but there may not be health evidence available to set an ambient standard. He continued to say most studies are not based on toxicity and since most studies on ultrafines are based on toxicity, it will take a lot more health evidence for a standard to be set. EPA is considering requiring measurements of ultrafines to get additional data on near roadway issues.

#### **Agenda Item #8 – Update on Exide Technologies**

Mr. Ed Pupka provided an update on Exide Technologies.

Mr. Yanez encouraged the District to monitor downwind in addition to the changing air patterns in and around the area. He noted it's the communities in the Northeast that need to be monitored since they are right along the fence line. Mr. Yanez asked if there were any federal inspections at the facility. Mr. Pupka responded that there have not been any federal inspections to his knowledge, but DTSC regularly visits the facility and District staff participate in the inspections with them. Chair Lyou asked if the District is responsible for enforcing the federal ambient standard which Mr. Pupka affirmed.

Ms. Bilodeau asked if Exide and Quemetco are the only facilities that do battery recycling. Mr. Pupka responded they are the only two lead recycling facilities.

Fr. Moretta asked if Exide Technologies is at 100% production since the District said they were in compliance. Mr. Pupka responded they are not at the 50% reduction level but he is not sure if they are at full capacity. Mr. Pupka explained with Rule 1420.1, the information will be readily available since it is required that they submit their emissions data. Chair Lyou added they have a permitted level, so they can operate at anywhere from 0-100%. Fr. Moretta asked what type of penalty will be imposed, if they are not in compliance by January. Mr. Pupka explained an order of abatement could be considered and they would impose more stringent requirements under the rule to ensure they comply, and noted one of those requirements could be to reduce production.

Fr. Moretta asked if the District works with California Occupational Safety and Health Administration (Cal/OSHA). Mr. Pupka responded he is not familiar with Cal/OSHA standards for lead exposure, but he's aware they monitor blood levels for lead, and he's observed employees wearing protective gear. Mr. Pupka added that District staff follow the same guidelines when entering and leaving the facility.

Fr. Moretta noted he never received the results from the air monitoring study at Resurrection Church. Chair Lyou said they will get the results and an explanation on the findings, which Dr. Ganguli said aren't complete, but will be provided when available.

***Action Item:*** *Provide results from air monitor studies at Resurrection Church to members.*

Ms. Knight asked if a notification system can be utilized to notify high risk areas. Mr. Pupka explained in the rule there is a requirement for notification to the community during periods of startup, shutdown, and maintenance activities. Mr. Yanez asked how the public is notified. Chair Lyou noted there are two types of communications members are concerned about, an emergency response communication, and a



general operational notification. Mr. Pupka replied Prop 65 requires the District to submit notification to the Los Angeles County Board of Supervisors and Department of Health, which the Mayor will review and determine the risk, then notify the community. Ms. Knight suggested something similar to a weather report.

Ms. Mapes asked if there are guidelines for levels of toxicity for recycling e-waste. Mr. Pupka responded the District doesn't have authority in that area. Chair Lyou added DTSC regulates e-waste recycling and the programs are run through the City which the Fire Department enforces.

#### **Agenda Item #9 – Other Business**

Dr. Richman thanked the District for their staff participation in two Green Salon series hosted by BreatheLA.

#### **Agenda Item #10 – Public Comment**

Mr. Edward Philips of BNSF asked if minutes were taken, which Chair Lyou confirmed. Mr. Philips indicated that he had questions about the "The Right to Breathe" film related to pollution attributed to railroads. Ms. Enciso commented that as a resident of San Bernardino, she is concerned about pollution from the San Bernardino railyards. Chair Lyou noted to Mr. Philips and Ms. Enciso that they should continue their conversation outside of the meeting, so they can go into further detail.

#### **Agenda Item #11 – Adjournment**

Chair Lyou announced the next meeting date and noted the 2012 meeting dates would be emailed to members.

*Action Item: Email EJAG 2012 meeting dates to members.*

The meeting adjourned at 3:35 PM.

BOARD MEETING DATE: January 6, 2012

AGENDA NO. 17

REPORT: Investment Oversight Committee

SYNOPSIS: A Special meeting of the Investment Oversight Committee was held on Friday, December 9, 2011 to discuss RFP results for investment consultant services for AQMD. The next regularly-scheduled Investment Oversight Committee meeting will be held on Friday, February 17, 2012 at 12:00 noon in Conference Room CC2.

RECOMMENDED ACTION:  
Receive and file this report.

Michael Antonovich, Chair  
Investment Oversight Committee

MBO:lg

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**Attendance:** Present at AQMD were Committee Members Gary Burton and Brent Mason. Michael Antonovich, Michael A. Cacciotti and Joseph Lyou attended by teleconference. Absent were Committee Members William Burke and Richard Dixon.

**Investment Consultant Discussion Item:**

Michael O'Kelly reported on the results of the RFP for investment consultant services for the AQMD. The Committee was in agreement with the RFP panel's recommendation. In accordance with the Governing Board's Procurement Policy, a contract for these services will be executed by AQMD's Executive Officer.

**Public Comment:** None

BOARD MEETING DATE: January 6, 2012

AGENDA NO. 18

REPORT: Technology Committee

SYNOPSIS: The Technology Committee met on December 16, 2011. Major topics included Technology Advancement items reflected in the regular Board Agenda for the January Board meeting. A summary of these topics with the Committee's comments is provided. The next Technology Committee meeting will be on January 20, 2012 at 12 p.m. in CC-8.

RECOMMENDED ACTION:

Receive and file.

Josie Gonzales  
Technology Committee Chair

CSL:pmk

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**Attendance:** Supervisor John Benoit, Dr. William Burke, Supervisor Josie Gonzales, and Councilwoman Judith Mitchell participated by videoconference. Mayor Dennis Yates was in attendance at District headquarters. Mayor Miguel Pulido was absent due to a conflict with his schedule.

## **JANUARY BOARD AGENDA ITEMS**

### **1. Execute Contracts for Deployment of In-Basin Renewable Distributed Electricity Generation and Storage to Support Electric Transportation Technologies**

In May 2011, the Board released an RFP to announce the availability of funds and solicit proposals for the deployment of in-basin renewable distributed electricity generation combined with storage to support electric transportation technologies. Forty-six proposals were received in response to the RFP. This action is to execute contracts for the deployment of in-basin renewable distributed electricity generation and energy storage.

*Supervisor Benoit recused himself from this item due to a campaign contribution from Wintec Energy.*

*Mayor Yates commented that once the Board approves these projects, the AQMD should publicize our efforts to promote renewable electricity and transportation.*

*Councilmember Mitchell asked why staff recommends the UTC Power fuel cell project even though it scored lower than others. Staff responded that the scores reflect the submitted proposal which was for nine Albertson's stores and 20% biogas feedstock; staff had subsequent conversations with UTC, where they indicated they can do 100% biogas at a single store, which made this a more attractive project.*

*Councilmember Mitchell also asked if there were any residential proposals submitted. Staff responded there were none. She indicated that multifamily dwellings face unique challenges with installing electric vehicle chargers and that staff should investigate ways to mitigate these issues. Mayor Yates commented that Lewis Homes is going to build new condominiums and townhomes in the Chino Preserves. Dr. Wallerstein suggested that staff will initiate a discussion with the builder regarding the installation of chargers.*

*Dr. Burke commented that he has had recent discussions with a new battery technology provider and that new technologies are constantly emerging. Although supporting all these projects, Dr. Burke reminded staff to ensure that these projects do not preclude any new opportunities.*

*Moved by Yates; seconded by Mitchell; unanimously approved.*

**Public Comment Period** – *Masao Nishikawa of VLI-EV, one of the applicants receiving a lower score, commented that his company proposes a Level 3 charger and indicated its advantages in quick-charging electric vehicles.*

**Other Business** – There was no other business.

The next meeting will be January 20, 2012.

**Attachment**  
Attendance

## Attachment A – Attendance

Supervisor John Benoit.....	AQMD Governing Board (via VT)
Dr. William Burke .....	AQMD Governing Board (via VT)
Supervisor Josie Gonzales .....	AQMD Governing Board (via VT)
Councilmember Judith Mitchell .....	AQMD Governing Board (via VT)
Mayor Dennis Yates .....	AQMD Governing Board
Mark Abramowitz .....	Board Consultant (Lyou)
Lisha Smith.....	Board Assistant (Gonzales) (via VT)
Barry Wallerstein, Executive Officer .....	AQMD
John Olvera, Principal Deputy District Counsel .....	AQMD
Chung Liu, S&TA .....	AQMD
Matt Miyasato, S&TA .....	AQMD
Dipankar Sarkar, S&TA .....	AQMD
Al Baez, S&TA .....	AQMD
Sam Atwood, Media .....	AQMD
Debra Ashby, L&PA .....	AQMD
Paul Wright, IM.....	AQMD
Donna Vernon, S&TA.....	AQMD
Pat Krayser, S&TA.....	AQMD
Masao Nishikawa .....	VLI-EV

BOARD MEETING DATE: January 6, 2012

AGENDA NO. 19

REPORT: Mobile Source Air Pollution Reduction Review Committee

SYNOPSIS: Below is a summary of key issues addressed at the MSRC's meeting on December 15, 2011. The MSRC's next meeting is currently scheduled for Thursday, January 19, 2012, at 2:00 p.m. in Conference Room CC8.

RECOMMENDED ACTION:  
Receive and file.

Michael D. Antonovich  
AQMD Representative on MSRC

CSL:HH:DAH

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### **Meeting Minutes Approved**

At its December 15, 2011 meeting, the MSRC unanimously approved the minutes from its last meeting. The approved November 17, 2011, minutes are attached for your information (*Attachment 1*).

### **Adoption of 2011 Meeting Schedule**

At its December 15, 2011 meeting, the MSRC adopted its 2012 meeting schedule, which is attached for your reference (*Attachment 2*). The MSRC will continue to meet on the third Thursday of every month at 2:00 p.m. and its Technical Advisory Committee will continue to meet on the first Thursday of every month at 1:30 p.m. Both meetings are typically conducted in Room CC8.

### **Additional Funds for Alternative Fuel School Buses**

The MSRC allocated \$1.5 million under the FY 2010-11 Work Program for an Alternative Fuel School Bus Incentives Program providing incentives ranging from \$15,000 to \$45,000 per bus, depending on bus size. Two vendors, A-Z Bus Sales and BusWest, were chosen to implement the incentive program and awarded initial contracts of \$300,000 each, with additional funds to be allocated based on demand. To date, A-Z Bus Sales has been awarded \$475,000; and BusWest, \$540,000. At its December 15,

2011 meeting, the MSRC considered a request from A-Z Bus Sales for an additional \$525,000. The funding would provide \$25,000 incentives to fulfill orders for 21 propane-fueled school buses, 2 for Hemet Unified School District and 19 for Atlantic Express, a private pupil transportation provider. The Atlantic Express school buses will be used to transport students on newly acquired routes for the Los Angeles Unified School District. The MSRC unanimously approved the funding augmentation to A-Z Bus Sales to fulfill these orders. Since there was only \$485,000 remaining on the balance set aside for this program, the MSRC allocated an additional \$40,000 from unallocated revenue to complete the request. This item will be considered by the AQMD Board at its January 6, 2012 meeting.

### **Approval of Conceptual FY 2011-12 Work Program Categories & Funding Targets**

Over the last few months the MSRC and its Technical Advisory Committee and support staff have been brainstorming categories for its FY 2011-12 AB 2766 Discretionary Fund Work Program. Four subcommittees were formed to assist with this process: 1) Vehicles and Advanced Technology; 2) Local Government Match Program; 3) Transportation Control Measures; and 4) Alternative Fuel Infrastructure.

At its December 15, 2011 meeting, the MSRC unanimously approved conceptual work program categories and funding allocations totaling more than \$26 million for the FY 2011-12 AB 2766 Discretionary Fund Work Program. They also directed their staff and Technical Advisory Committee to begin preparation of solicitations to begin implementation of the Work Program. The categories and funding allocations approved by the MSRC are as follows:

- 1) Alternative Fuel Infrastructure Program (\$4.80 Million) – including funds for new limited and public access alternative fuel stations and facility modifications as well as funding for CNG production from renewable sources and incentives for CNG home refueling and electric vehicle home recharging units;
- 2) Local Government Match Program (\$6.50 Million) – including funds for new alternative fuel stations, upgrades and expansions of existing stations and maintenance facilities, mobile hydrogen refueling, medium- and heavy-duty alternative fuel vehicles, electric vehicle charging stations, and street sweeping in the Coachella Valley;
- 3) Transportation Control Measures (\$5.50 Million) – including five elements:
  - a. Major Event Center Transportation Services Program (\$2M);
  - b. Regional Bikeshare Program (\$1M);
  - c. Multi-Mobility Hubs Program (\$1M) – funds for this program were designated in the last work program and will be carried over as part of the new work program;

- d. Rideshare Incentives Program & Public Awareness Marketing Campaign (\$1.5M);
  - e. Community College Transit Pass Program – no specific funds were currently designated but anticipated program needs are \$1M-\$1.5M.
- 4) Vehicles & Advanced Technologies (\$9.55 Million) – including six elements:
- a. Alternative Fuel School Bus Incentives & Life Extension Program (\$2.5M) – including both the purchase of alternative fuel school buses for both public school districts and private providers as well as the repowering of older CNG buses and CNG tank replacements - funds for a school bus life extension program were designated in the last work program and will be carried over as part of the new work program;
  - b. Medium-Duty Vehicle Replacement Incentive Program (\$2.5M);
  - c. CNG Taxicab Replacement Incentive Program (\$0.75M);
  - d. Off-Road Heavy-Duty Retrofit Demonstrations or “Showcase” III Program (\$1.5M);
  - e. On-Road Heavy-Duty Engine Replacement Incentive Program (\$2M); and
  - f. Cummins 11.9L Advanced Natural Gas Engine Demonstration Program (\$0.3M).

A conceptual FY 2011-12 Work Program, along with the first round of solicitations once approved by the MSRC, will be submitted to the AQMD Board for consideration, possibly as early as March 2012.

### **Contract Modification Requests**

The MSRC considered two additional contract modification requests and took the following unanimous actions:

1. For County of Los Angeles, Department of Public Works, Contract #ML05013, which provides \$313,000 towards implementation of a Santa Clarita Valley Intelligent Transportation System, approval of a six-month no-cost term extension; and
2. For Tiger 4 Equipment Leasing Contract #MS07071, which provides \$210,937 towards the demonstration of retrofit devices on off-road vehicles, approval of a twelve-month no-cost term extension.

### **Contracts Administrator’s Report**

The MSRC’s AB 2766 Contracts Administrator provides a written status report on all open contracts from FY 2003-04 through the present. The Contracts Administrator’s Report for December 2011 is attached (*Attachment 3*) for your information.



**Attachments**

Attachment 1 – Approved November 17, 2011 MSRC Minutes

Attachment 2 - Adopted 2012 Meeting Schedule

Attachment 3 – December 2011 Contracts Administrator's Report



**MEETING OF THE  
MOBILE SOURCE AIR POLLUTION REDUCTION REVIEW COMMITTEE  
THURSDAY, NOVEMBER 17, 2011 MEETING MINUTES  
21865 Copley Drive, Diamond, Bar, CA 91765 - Conference Room CC-8**

**MEMBERS PRESENT:**

(Chair) Greg Winterbottom, representing OCTA  
Chino Hills Council Member Gwenn Norton-Perry, rep. SANBAG  
County of L.A. Supervisor Michael Antonovich, representing SCAQMD  
Adam Rush (Alt.), rep. Riverside County Transportation Commission  
Steve Veres, rep. L.A. County MTA (via v/c)  
Earl Withycombe, rep. California Air Resources Board (via v/c)

**MSRC MEMBERS ABSENT:**

(Vice Chair) Cathedral City Council Member Greg Pettis, rep. RCTC  
Temecula Council Member Ron Roberts, representing SCAG  
Matthew Raymond, rep. the Regional Rideshare Agency

**MSRC-TAC MEMBERS PRESENT:**

(MSRC-TAC Vice-Chair) Tanya Love, RCTC  
Kelly Lynn, rep. San Bernardino Associated Governments  
Dean Saito, SCAQMD

**OTHERS PRESENT:**

Debra Mendelsohn, AQMD Board Asst. (Antonovich)

**AQMD STAFF & CONTRACTORS**

Margarita Cabral, AQMD Temporary Worker  
Ray Gorski, MSRC Technical Advisor-Contractor  
Drue Hargis, MSRC Administrative Liaison  
Henry Hogo, Assistant DEO/Science & Technology Advancement  
John Kampa, Financial Analyst  
Matthew MacKenzie, MSRC Contracts Assistant  
Ana Ponce (Alt.), MSRC Administrative Liaison  
Veera Tyagi, Senior Deputy District Counsel  
Rachel Valenzuela, MSRC Contracts Assistant  
Paul Wright, Audio Visual Specialist

**CALL TO ORDER**

- Opening Comments

MSRC Chair Greg Winterbottom called the meeting to order at 2:12 p.m.

- Clean Transportation Policy Update  
A copy of the Clean Transportation Policy Update was emailed to the MSRC members and distributed at the meeting.

**PUBLIC COMMENT PERIOD**

- Public comments were allowed during the discussion of each agenda item. No comments were made on non-agenda items.

**CONSENT CALENDAR (Items 1 through 5)****Receive and Approve Items****Agenda Item #1 – Minutes of the October 20, 2011 MSRC Meeting**

This item was postponed because the minutes were not ready for distribution.

**Receive and File Items****Agenda Item #2 – MSRC Contracts Administrator's Report**

The MSRC AB 2766 Contract Administrator's Report for November 2011 was included in the agenda package.

ON MOTION BY MSRC MEMBER STEVE VERES, AND SECONDED BY MSRC ALTERNATE ADAM RUSH, UNDER APPROVAL OF CONSENT CALENDAR ITEMS 2, 3 and 5, THE MSRC UNANIMOUSLY RECEIVED AND FILED THE CONTRACT ADMINISTRATOR'S REPORT FOR NOVEMBER 2011.

**ACTION:** AQMD staff will include the MSRC Contract Administrator's Report in Supervisor Antonovich's MSRC Committee Report for the December 2, 2011 AQMD Board meeting.

**Agenda Item #3 – Financial Report on AB 2766 Discretionary Fund**

A financial report on the AB 2766 Discretionary Fund for the period ending October 31, 2011, was included in the agenda package.

ON MOTION BY MSRC MEMBER STEVE VERES, AND SECONDED BY MSRC ALTERNATE ADAM RUSH, UNDER APPROVAL OF CONSENT CALENDAR ITEMS 2, 3 and 5, THE MSRC UNANIMOUSLY APPROVED THE FINANCIAL REPORT FOR THE MONTH OF OCTOBER 2011.

**ACTION:** No further action is required.

**For Approval – As Recommended**

**Agenda Item #4 – Receive and File and Approve Expenditures from MSRC Travel Budget for MSRC Member Withycombe to Attend September 2011 MSRC Meeting**

This item reports on MSRC Member Earl Withycombe's attendance at the September 15, 2011 MSRC meeting. The item also requests approval of travel expenses not to exceed \$240 under the MSRC's Travel Budget. The MSRC-TAC reviewed this request and unanimously recommended approval.

DUE TO MSRC MEMBER EARL WITHYCOMBE'S ABSTENTION, A QUORUM WAS LOST. THEREFORE, THIS ITEM WAS TABLED UNTIL MSRC MEMBER MICHAEL ANTONOVICH ARRIVED.

**Agenda Item #5 – Clarification of \$102,500 Award to City of Gardena for Maintenance Facility Modification, Expansion of Existing CNG Stations, and Purchase of a Heavy-Duty Natural Gas Vehicle**

Due to a clerical error, the City of Gardena's application under the MSRC's FY 2010-11 Local Government Match Program was represented to the MSRC and AQMD Board as a request to modify their vehicle maintenance facility, expand their existing CNG station, and purchase a heavy-duty natural gas vehicle. In fact, the City had sought to modify their maintenance facility, expand *two* existing CNG stations, and purchase a heavy-duty liquefied petroleum gas (LPG) vehicle. The MSRC is requested to correct their award to the City of Gardena accordingly, with no change in the award value. The MSRC-TAC unanimously recommended approval.

ON MOTION BY MSRC MEMBER STEVE VERES, AND SECONDED BY MSRC ALTERNATE ADAM RUSH, UNDER APPROVAL OF CONSENT CALENDAR ITEMS 2, 3 AND 5, THE MSRC UNANIMOUSLY APPROVED THE CORRECTION OF THE CITY OF GARDENA'S AWARD TO MODIFY THEIR MAINTENANCE FACILITY, EXPAND TWO EXISTING CNG STATIONS, AND PURCHASE A HEAVY-DUTY LPG VEHICLE, WITHOUT A CHANGE IN THE AWARD VALUE.

**ACTION:** AQMD staff will include the clarification of award to the City of Gardena in Supervisor Antonovich's MSRC Committee Report for the December 2, 2011 AQMD Board meeting.

**ACTION CALENDAR (Items 6 through 9)**

**Agenda Item #6 – Consider Contract Value Increase and Term Extension by BusWest, Contract #11003 (\$315,000 – Incentives for Alternative Fuel School Buses)**

Ray Gorski, MSRC Technical Advisor-Contractor, reported that as part of the FY 2010-11 Alternative Fuel School Bus Incentives Program, the MSRC allocated \$1,500,000 to the work program. The MSRC has previously awarded \$315,000 to BusWest. BusWest already has expended, or has purchase orders against, these funds. Now they are requesting that their contract value be increased by an additional amount of \$225,000 to fulfill an order from Durham School Services for five Type D natural gas buses. Additionally, MSRC staff recommends a one-year contract term extension. The reason for the term extension is because the MSRC only reimburses the contractor at the time the buses are delivered, and sometimes in purchasing an alternative fuel school bus there are a couple of months between the purchase order and the time the bus is actually received. It was thought prudent to extend their contract to ensure that, if there were any delays in the delivery of the school buses, they would still be eligible to receive the incentive. The MSRC program is structured such that there is a reserved pool of money, and as qualified school bus purchasers and the vendors come forward, the MSRC could tap into that pool. Currently there is an unallocated amount within the program. The \$225,000 will still leave a budget of \$710,000. Therefore, there are still opportunities for other vendors to come forward and participate in this work program.

ON MOTION BY MSRC MEMBER GWENN NORTON-PERRY, AND  
SECONDED BY MSRC ALTERNATE ADAM RUSH, THE MSRC  
UNANIMOUSLY APPROVED A \$225,000 CONTRACT VALUE INCREASE  
TO BUSWEST FOR THE PURCHASE OF FIVE TYPE D NATURAL GAS  
BUSES, AND ONE-YEAR TERM EXTENSION.

**ACTION:** The AQMD Board will consider this item as part of the MSRC's FY 2010-11 Work Program at their December 2, 2011 meeting.

### **FY 2010-11 WORK PROGRAM**

#### **Agenda Item #7 – Consider Funding for Applications Received Under the Showcase II Off-Road Retrofit Program**

Ray Gorski, MSRC Technical Advisor-Contractor, reported that this item is the next set of contracts under the Showcase II Program. The MSRC allocated \$2.25 million to partner with the South Coast AQMD and the California Air Resources Board to demonstrate emission control systems on diesel vehicles. The program was based upon a long period of time for folks to come in and request funding. There are currently several applications which are undergoing the review process. However, today there are applications that are ready for review and approval if the MSRC deems that they meet the requirements.

On Superpage 49, there are 3 construction firms which are participating in the program: Standard Concrete, SA Recycling, and DCL (DCL is a manufacturer and TCI is their leasing company). They are requesting a total of \$764,748 to purchase and install devices on off-road construction equipment. On Superpage 49, it gives a short description of what devices will be on each of the vehicles by those 3 companies. The summary of Table 1 shows the recommended award from the MSRC-TAC. The Standard Concrete Award is recommended at \$76,840; SA Recycling at \$424,801; and

DCL at \$263,107; for a total award of \$764,748. Should the MSRC choose to make these awards, there would still be an unallocated budget remaining in this category; however, there are several applications that are in the review process. In December, additional contracts will be brought forth to the MSRC for consideration for award. The program may be oversubscribed in its final form. At that time MSRC staff will bring forward a recommendation from the MSRC-TAC as to whether or not they believe additional funds should or should not be allocated to the program overall. Today's action is to fund the vehicles and fleets which are included in Table 1, and the total funding request is \$764,748.

ON MOTION BY MSRC ALTERNATE ADAM RUSH, AND SECONDED BY MSRC MEMBER GWENN NORTON-PERRY, THE MSRC UNANIMOUSLY APPROVED AWARDS TOTALING \$764,748 TO STANDARD CONCRETE, SA RECYCLING, AND DCL AMERICA.

**ACTION:** The AQMD Board will consider these three awards as part of the MSRC's FY 2010-11 Work Program at their December 2, 2011 meeting.

**Agenda Item #8 – Consider Funding for Application Received Under the Alternative Fuel Infrastructure Program**

MSRC-TAC Vice Chair Tania Love reported that a total of \$5 million was originally set aside for the implementation of new and expanded CNG and LNG fueling stations under the FY 2010-11 Work Program for the Alternative Fuel Infrastructure Program. The program announcement identified April 1<sup>st</sup> as the first date to receive applications, and the last date was October 14<sup>th</sup>. Projects were to be considered on a first-come, first-served basis. In addition, there was a \$250,000 per county geographic minimum established. To date, MSRC has awarded over \$2 million to 14 projects. Today's request is for a little over \$1.3 million for ten additional projects. The Subcommittee met. The projects do meet the programmatic requirements. Those programmatic requirements did set aside certain incentive levels, based on whether it was a limited access or a full access station. All ten stations meet the requirements with the exception of two: The City of Torrance, Torrance Transit, requested \$1 million; and the Los Angeles Unified School District (LAUSD) requested \$300,000 for their limited access stations. The incentive level was identified as being \$175,000. MSRC-TAC did work with both entities. The City of Torrance was notified and they said that they can build the station within the funding constraints and they would be willing and able to proceed with their project.

[MSRC Member Michael Antonovich arrived during discussion of this item, at approximately 2:25 p.m.]

The L.A. Unified School District did acknowledge in their application that they were asking for more money than was available, but they thought they would ask anyway. It is unclear at this point how much they would be able to build, but Ray Gorski, MSRC Technical Advisor-Contractor, feels very comfortable that through the contract negotiation process, they would be able to define the scope and proceed with the project.

The recommendation is to award the funding for the additional ten projects, totaling \$1.3 million. That leaves \$1.6 million as unallocated funds in this program, and the MSRC-TAC recommendation is that those funds revert back to the AB2766 Discretionary Fund.

MSRC Chair Greg Winterbottom asked that part of the motion include a report back from MSRC staff on the LAUSD project.

ON MOTION BY MSRC MEMBER EARL WITHYCOMBE, AND  
SECONDED BY MSRC MEMBER GWENN NORTON-PERRY, THE MSRC  
UNANIMOUSLY APPROVED FUNDING FOR 10 APPLICATIONS, AT A  
TOTAL FUNDING AMOUNT NOT TO EXCEED \$1,336,850, AND THAT  
THE REMAINING \$1,638,150 REVERT TO THE AB2766 DISCRETIONARY  
FUND. MSRC STAFF TO RETURN TO MSRC WITH A REPORT ON THE  
LAUSD PROJECT.

**ACTION:** The AQMD Board will consider these awards as part of the MSRC's  
FY 2010-11 Work Program at their December 2, 2011 meeting.

**Agenda Item #4 (Revisited) - Receive and File and Approve Expenditures from  
MSRC Travel Budget for MSRC Member Withycombe to Attend September 2011  
MSRC Meeting.**

Upon arrival of MSRC Member Michael Antonovich, a motion was made to approve  
Agenda Item #4 - Receive and File and Approve Expenditures from MSRC Travel  
Budget for MSRC Member Withycombe to Attend September 2011 MSRC Meeting.

ON MOTION BY MSRC MEMBER MICHAEL ANTONOVICH, AND  
SECONDED BY MSRC ALTERNATE ADAM RUSH, THE MSRC  
UNANIMOUSLY RECEIVED AND FILED, AND APPROVED  
EXPENDITURES NOT TO EXCEED \$240 FROM MSRC TRAVEL BUDGET  
FOR MSRC MEMBER WITHYCOMBE TO ATTEND SEPTEMBER 2011  
MSRC MEETING. MSRC MEMBER EARL WITHYCOMBE ABSTAINED.

**ACTION:** AQMD staff will include this travel expenditure request in Supervisor  
Antonovich's MSRC Committee Report for the December 2, 2011 AQMD Board  
meeting.

**Agenda Item #9 – Consider Funding for Proposals Received Under the Major Event  
Center Transportation Program**

MSRC-TAC Member Kelly Lynn reported that this is a request from Sunline Transit  
Agency, and this is the last request under the Transportation Control Measures (TCM)  
Subcommittee FY 2010-11 Work Program. So far every county has been represented  
with this type of service or program. Sunline is asking for an amount not to exceed  
\$221,253. In the month of April they have a number of very large events that impact the  
community.

The first event is the Coachella Valley Music and Arts Festival from April 13 to April 22, and following that is the Stagecoach Music Festival. These events are taking place at the Empire Polo Club Field. They are proposing to set up some additional supplemental shuttle services so that folks can go to a Park and Ride Lot, or from their hotel, catch these shuttles, which will take them directly to the events.

For example, for the Coachella, they would set up special buses that would depart from Palm Springs, every 20 minutes; every 15 minutes on Saturday and Sunday. The service would be from 10 a.m. to 2:30 a.m., thereby making sure that everybody can get to and from the event. They also want to set up some additional shuttles that would be at the Palm Desert Park and Ride, as well as a number of hotels along Highway 111 to shuttle people in and out of the Empire Polo Club.

From April 28 to April 29, for the Stagecoach Music Festival, Sunline would bring in additional shuttles to transport people to and from the Polo Club from the Park and Ride Lot or their hotels.

The request is for \$221,253, and Sunline will at least match this amount.

Sunline will be advertising the shuttle service availability via flyers and radio announcements.

MSRC Chair Greg Winterbottom asked Mr. Gorski to put together a presentation on the results of the events the MSRC has sponsored, such as, the Dodger Stadium Express; Orange County Fair Grounds; Anaheim Angels; the Auto Club Speedway, in participation with SCRRA Metrolink; and Sunline, with the Coachella Valley Festivals.

Matt MacKenzie noted a typo in the staff recommendation of this item, on the agenda. It should be \$221,253, not \$251,223.

ON MOTION BY MSRC MEMBER GWENN NORTON-PERRY, AND  
SECONDED BY MSRC ALTERNATE ADAM RUSH, THE MSRC  
UNANIMOUSLY APPROVED TO AWARD FUNDING SUNLINE TRANSIT  
AGENCY AN AMOUNT NOT TO EXCEED \$221,253.

**ACTION:** The AQMD Board will consider these awards as part of the MSRC's FY 2010-11 Work Program at their December 2, 2011 meeting.

## **OTHER BUSINESS**

### **Agenda Item #10 – Other Business**

- MSRC Chair Greg Winterbottom announced that an electric bus will be at OCTA within the month. It's a 300-mile bus on one charge. It only costs \$400,000. It's made in Montana. He invited the MSRC members to attend.
- MSRC Chair Greg Winterbottom asked that a get-well card be generated for Cynthia Ravenstein.



- Drue Hargis, MSRC Administrative Liaison, mentioned that the AQMD Conference Center was flooded and, therefore, another location was being sought for the December 15 MSRC meeting. MSRC Member Gwenn Norton-Perry offered the City of Chino Hills, and MSRC Chair Greg Winterbottom offered OCTA. Ms. Hargis will call for reservations and the outcome will be announced.
- Drue Hargis, MSRC Administrative Liaison, reported that Clean Air Fairs for Senior Citizens have been held in Los Angeles, Orange County, and San Bernardino Counties. Over 5,000 seniors have been reached. The next one will be on December 7 in Indio for the Coachella Valley area. She indicated that she has a blurb she can provide to the MSRC Members, if they have an email list for reaching out to senior residents in the Indio/Coachella Valley area. In addition, if MSRC members have information they would like to have distributed regarding programs and services available for seniors in the Indio/Coachella Valley area, please contact Ms. Hargis for tabletop exhibits.

### **ADJOURNMENT**

THERE BEING NO FURTHER BUSINESS, THE MSRC MEETING  
ADJOURNED AT 2:39 PM.

**NEXT MEETING:** Thursday, December 15, 2011, at 2 p.m.

[Prepared by Drue Hargis and Ana Ponce]



## **APPROVED 2012 MEETING SCHEDULE**

### TAC Meeting Dates

Thursday, January 12  
*(second Thursday)*

Thursday, February 2

Thursday, March 1  
*(at 2:30 p.m., followed by MSRC-TAC Dinner)*

Thursday, April 5

Thursday, May 3

Thursday, June 7

Thursday, July 5

Thursday, August 2

Thursday, September 6

Thursday, October 4

Thursday, November 1

Thursday, December 6

### MSRC Meeting Dates

Thursday, January 19

Thursday, February 16

Thursday, March 15

Thursday, April 19

Thursday, May 17

Thursday, June 21

Thursday, July 19

Thursday, August 16

Thursday, September 20

Thursday, October 18

Thursday, November 15

Thursday, December 20

All regularly scheduled MSRC-TAC meetings are held on the first Thursday of every month at 1:30 p.m. in Conference Room CC8, unless otherwise noted. All regularly scheduled MSRC meetings are held on the third Thursday of the every month at 2:00 p.m. in Board Conference Room CC8, unless otherwise noted.



MSRC Agenda Item No. 3

**DATE:** December 15, 2011

**FROM:** Cynthia Ravenstein

**SUBJECT:** AB 2766 Contracts Administrator's Report

**SYNOPSIS:** This report covers key issues addressed by MSRC staff, status of open contracts, and administrative scope changes from October 27 through November 30, 2011.

**RECOMMENDATION:** Receive and file report

**WORK PROGRAM IMPACT:** None

**Contract Execution Status**

**2010-11 Work Program**

On March 4, 2011, the AQMD Governing Board approved an award to the Los Angeles County Metropolitan Transportation Authority under the Event Center Transportation Program as part of the MSRC's FY 2010-11 Work Program. This contract is executed.

On April 1, 2011, the AQMD Governing Board approved an award to the Orange County Transportation Authority for Orange County Fair service under the Event Center Transportation Program as part of the MSRC's FY 2010-11 Work Program. This contract is executed.

On May 6, 2011, the AQMD Governing Board approved an award to the Orange County Transportation Authority for Angels game service under the Event Center Transportation Program, as well as two awards under the Alternative Fuel School Bus Incentive Program, as part of the MSRC's FY 2010-11 Work Program. These contracts are executed.

On June 3, 2011, the AQMD Governing Board approved 10 awards under the Alternative Fuel Infrastructure Program, as well as an award to Coachella Valley Association of Governments under the Local Government Match Program, as part of the MSRC's FY 2010-11 Work Program. These contracts are under development, undergoing internal review or executed.

On September 9, 2011, the AQMD Governing Board approved: an award under the Alternative Fuel Infrastructure Program; 26 awards under the Local Government Match Program; 9 awards under the Alternative Fuel On-Road Engines Program; an award under the Off-Road Heavy-Duty Vehicles Program; an award to the Better World Group for programmatic outreach services; and two awards for development and implementation of 511 "smart phone" applications, as

part of the MSRC's FY 2010-11 Work Program. These contracts are under development, undergoing internal review, or with the prospective contractor for signature.

On October 7, 2011, the AQMD Governing Board approved two awards under the Alternative Fuel Infrastructure Program and three awards under the "Showcase II" Off-Road After-treatment Demonstration Program, as part of the MSRC's FY 2010-11 Work Program. These contracts are under development.

On November 4, 2011, the AQMD Governing Board approved one award under the Alternative Fuel Infrastructure Program and one award under the Major Event Center Transportation Program, as part of the MSRC's FY 2010-11 Work Program. These contracts are under development.

On December 2, 2011, it is anticipated the AQMD Governing Board will approve: 10 awards totaling \$1,336,850 under the Alternative Fuel Infrastructure Program; a contract to SunLine Transit for \$221,253 under the Major Event Center Transportation Program; 3 awards totaling \$764,748 under the "Showcase II" Off-Road Aftertreatment Demonstration Program; a funding augmentation totaling \$225,000 to BusWest under the Alternative Fuel School Bus Incentives Program; and clarification of previous City of Gardena award totaling \$102,500 to modify their maintenance facility, expand two existing CNG stations, and purchase a heavy-duty LPG vehicle.

#### **2009-10 Work Program**

Except as discussed below, contracts for this Work Program are executed or declined.

On July 9, 2010, the AQMD Governing Board approved 21 awards under the Heavy-Duty Alternative Fuel Engines for On-Road Vehicles Program as part of the FY 2009-10 Work Program. These contracts are awaiting a response from the prospective contractor, with the prospective contractor for signature, developing responses to comments, or executed.

#### **2008-09 Work Program**

Except as discussed below, contracts for this Work Program are executed or declined.

On September 5, 2008, the AQMD Governing Board approved a sole-source award to FuelMaker Corporation to provide incentives for natural gas home refueling units. This contract was under development when MSRC staff learned that FuelMaker Corporation had been adjudged bankrupt by the Ontario (Canada) Superior Court. Subsequently, FuelMaker was purchased by IMPCO. MSRC staff is currently awaiting responses from IMPCO to determine what actions may be necessary to continue implementation of the Program.

#### **Work Program Status**

Contract Status Reports for work program years with open and pending contracts are attached. MSRC or MSRC-TAC members may request spreadsheets covering any other work program year.

#### **FY 2003-04 Work Program Contracts**

One regular contract from this work program year is open. All Local Government Match Program contracts are now closed.

*FY 2003-04 Regular Work Program Invoices Paid*

No invoices were paid during this period.

***FY 2004-05 Work Program Contracts***

One regular and 2 Local Match contracts from this work program year are open. All Diesel Exhaust After-treatment contracts are now closed.

*FY 2004-05 Regular Work Program Invoices Paid*

One invoice in the amount of \$300.00 was paid during this period.

*FY 2004-05 Local Government Match Program Invoices Paid*

No invoices were paid during this period.

***FY 2005-06 Work Program Contracts***

3 regular, 7 Local Match, and one Diesel Exhaust After-treatment contracts from this work program year are open; and 10 regular and 27 Local Match contracts are in "Open/Complete" status, having completed all obligations save ongoing operation. One contract closed during this period: Westport Fuel Systems, Contract #MS06043X – Advanced Nat. Gas Engine Program.

*FY 2005-06 Regular Work Program Invoices Paid*

No invoices were paid during this period.

*FY 2005-06 Local Government Match Program Invoices Paid*

No invoices were paid during this period.

*FY 2005-06 Diesel Exhaust After-treatment Program Invoices Paid*

No invoices were paid during this period.

***FY 2006-07 Work Program Contracts***

16 regular and 8 Local Match contracts from this work program year are open; and 14 regular and 11 Local Match contracts are in "Open/Complete" status, having completed all obligations save ongoing operation. One contract closed during this period: LASAFE, Contract #MS07011 – 511 Commuter Services Campaign.

*FY 2006-07 Regular Work Program Invoices Paid*

One invoice totaling \$1,380.00 was paid during this period.

*FY 2006-07 Local Government Match Program Invoices Paid*

No invoices were paid during this period.

***FY 2007-08 Work Program Contracts***

22 regular and 14 Local Match contracts from this work program year are open; and 15 regular and 11 Local Match contracts are in "Open/Complete" status, having completed all obligations save ongoing operation.

*FY 2007-08 Regular Work Program Invoices Paid*

No invoices were paid during this period.

*FY 2007-08 Local Government Match Program Invoices Paid*

One invoice totaling \$5,102.50 was paid during this period.

***FY 2008-09 Work Program Contracts***

One regular and 29 Local Match contracts from this work program year are open; and 4 Local Match contracts are in “Open/Complete” status.

***FY 2008-09 Regular Work Program Invoices Paid***

No invoices were paid during this period.

***FY 2008-09 Local Government Match Program Invoices Paid***

One invoice totaling \$100,000.00 was paid during this period.

***FY 2009-10 Work Program Contracts***

13 regular contracts from this work program year are open.

***FY 2009-10 Regular Work Program Invoices Paid***

Three invoices totaling \$139,690.62 were paid during this period.

***FY 2010-11 Work Program Contracts***

Seven regular and one Local Match contracts from this work program year are open.

***FY 2010-11 Regular Work Program Invoices Paid***

One invoice in the amount of \$45,000.00 was paid during this period.

***Administrative Scope Changes***

Administrative scope changes were initiated during the period of October 27 through November 30, 2011:

- ML09014 – City of Riverside (Magnolia Avenue signal synchronization) – One-year no-cost term extension
- ML09015 – City of Riverside (Van Buren Boulevard signal synchronization) – One-year no-cost term extension
- ML09033 – City of Beverly Hills (Purchase 10 heavy-duty CNG vehicles and install CNG station) – One-year no cost term extension
- MS11002 – A-Z Bus Sales (Alternative Fuel School Bus Incentives) – One-year no-cost term extension
- MS11010 – Border Valley Trading (Construct New LNG Station) – One-year no-cost term extension

***Attachments***

- FY 2003-04 through FY 2010-11 Contract Status Reports
- FY 2003-04 through FY 2010-11 Progress Report Tracking



## AB2766 Discretionary Fund Program Invoices

October 27, 2011 to November 30, 2011

Contract Admin.	MSRC Chair	MSRC Liaison	Finance	Contract #	Contractor	Invoice #	Amount Paid
<i>2004-2005 Work Program</i>							
11/16/2011				MS05070	Haaland Internet Productions (HIP Design)	104108	\$300.00
<b>Total: \$300.00</b>							
<i>2006-2007 Work Program</i>							
11/4/2011	11/17/2011	11/18/2011	11/22/2011	MS07079	Riverside County Transportation Commission	00239	\$1,380.00
<b>Total: \$1,380.00</b>							
<i>2007-2008 Work Program</i>							
11/9/2011	11/17/2011	11/18/2011	11/22/2011	ML08023	City of Villa Park	Final	\$5,102.50
<b>Total: \$5,102.50</b>							
<i>2008-2009 Work Program</i>							
11/3/2011	11/17/2011	11/18/2011	11/22/2011	ML09033	City of Beverly Hills	BH 34-11-01	\$100,000.00
<b>Total: \$100,000.00</b>							
<i>2009-2010 Work Program</i>							
11/8/2011	11/17/2011	11/18/2011	11/22/2011	MS10025	Elham Shirazi	7	\$9,458.82
11/2/2011	11/17/2011	11/18/2011	11/22/2011	MS10010	New Bern Transport Corporation	1	\$102,477.60
11/2/2011	11/17/2011	11/18/2011	11/22/2011	MS10009	Ware Disposal Company, Inc.	irant 100009I	\$27,754.20
<b>Total: \$139,690.62</b>							
<i>2010-2011 Work Program</i>							
11/8/2011	11/17/2011	11/18/2011	11/22/2011	MS11003	BusWest	BW004575A	\$45,000.00
<b>Total: \$45,000.00</b>							

**Total This Period: \$291,473.12**

## 2003-04 AB2766 Contract Status Report

12/1/2011

Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
<b>Open Contracts</b>									
MS04063	Riverside County Transportation Co	6/3/2011	6/3/2012		\$225,000.00	\$0.00	Regional Rideshare Database Enhancement	\$225,000.00	No
<b>Total: 1</b>									
<b>Declined/Cancelled Contracts</b>									
MS04002	City of Riverside				\$58,096.00	\$0.00	3 Refuse Trucks, 3 Dump Trucks, 2 Water T	\$58,096.00	No
MS04051	NorthStar, Inc.				\$250,000.00	\$0.00	New LNG Station	\$250,000.00	No
MS04053	Clean Energy Fuels Corp.				\$250,000.00	\$0.00	New CNG Station - Mid-Wilshire	\$250,000.00	No
MS04054	Clean Energy Fuels Corp.				\$250,000.00	\$0.00	New CNG Station - Mission Viejo	\$250,000.00	No
<b>Total: 4</b>									
<b>Closed Contracts</b>									
MS04001	City of Ontario	8/27/2004	9/26/2005		\$35,082.00	\$35,082.00	2 CNG Refuse Trucks	\$0.00	Yes
MS04003	Long Beach Transit	8/27/2004	6/26/2006		\$335,453.00	\$330,453.00	27 Gasoline-Electric Hybrid Buses/Mech. Tr	\$5,000.00	Yes
MS04005	City of Norwalk Transportation Dept.	11/27/2004	1/27/2007		\$118,052.00	\$88,539.00	4 Gas-Electric Hybrid Vehicles	\$29,513.00	Yes
MS04006	Orange County Transportation Autho	10/1/2004	4/30/2006	7/31/2008	\$405,000.00	\$405,000.00	2 Gas-Electric Hybrid and 20 CNG Transit B	\$0.00	Yes
MS04007	Foothill Transit Agency	6/24/2005	11/23/2006		\$715,000.00	\$714,100.00	75 CNG Buses, Fueling Station	\$900.00	No
MS04008	Los Angeles County MTA	11/1/2004	9/30/2007		\$854,050.00	\$854,050.00	50 CNG Buses	\$0.00	Yes
MS04017	Road Builders, Inc.	10/13/2004	4/12/2006	12/31/2006	\$953,080.00	\$953,080.00	Repower 12 Scrapers & 1 Loader	\$0.00	Yes
MS04027	Larry Jacinto Construction	9/13/2004	3/12/2006		\$454,510.00	\$454,510.00	Repower 6 Scrapers	\$0.00	Yes
MS04029	Herigstad Equipment Rental	9/16/2004	3/15/2006		\$1,190,024.00	\$830,172.00	Repower 10 Scrapers	\$359,852.00	Yes
MS04036	Sukut Equipment, Inc.	12/15/2004	2/15/2006		\$466,807.00	\$466,807.00	Repower 4 Scrapers & 3 Dozers	\$0.00	Yes
MS04039	CR&R, Inc.	1/25/2005	3/24/2007	2/24/2009	\$463,168.00	\$461,550.00	30 LNG Refuse Trucks	\$1,618.00	Yes
MS04041	CR&R, Inc.	7/25/2005	9/24/2007	9/24/2008	\$155,488.00	\$153,850.00	10 LNG Refuse Trucks, Mechanic Training	\$1,618.00	Yes
MS04050	R.F. Dickson Co., Inc.	6/3/2005	6/2/2006	10/2/2007	\$250,000.00	\$250,000.00	Upgrade CNG Station	\$0.00	Yes
MS04052	Downs Energy	5/6/2005	6/5/2006	6/30/2009	\$250,000.00	\$250,000.00	New LNG/L-CNG Station	\$0.00	Yes
MS04058	American Honda Motor Company	11/2/2005	6/30/2007	3/31/2008	\$300,000.00	\$4,000.00	Home Refueling Apparatus Lease Incentives	\$296,000.00	Yes
MS04059	FuelMaker Corporation	9/9/2005	6/30/2006	12/31/2006	\$100,000.00	\$100,000.00	Home Refueling Apparatus Incentives	\$0.00	Yes
MS04062	Los Angeles County MTA	10/1/2010	3/31/2011		\$53,500.00	\$53,500.00	Regional Rideshare Database Enhancement	\$0.00	Yes
<b>Total: 17</b>									
<b>Closed/Incomplete Contracts</b>									
MS04004	Athens Services, Inc.	9/3/2004	3/2/2006	9/2/2006	\$311,421.00	\$197,503.50	14 LNG Waste Haulers, Maint. Facility. Mod	\$113,917.50	No
MS04055	Riverside County Transportation Co	6/29/2006	8/28/2007	2/28/2008	\$225,000.00	\$0.00	Regional Rideshare Database Enhancement	\$225,000.00	No
MS04056	Los Angeles County MTA	6/13/2006	12/12/2007	1/12/2010	\$120,000.00	\$66,488.40	Regional Rideshare Database Enhancement	\$53,511.60	Yes



Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
MS04061	Riverside County Transportation Co	6/29/2009	8/31/2010		\$225,000.00	\$0.00	Regional Rideshare Database Enhancement	\$225,000.00	No

**Total: 4**

## 2004-05 AB2766 Contract Status Report

12/1/2011

Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
<b>Open Contracts</b>									
MS05070	Haaland Internet Productions (HIP D	6/24/2005	5/31/2007	11/30/2011	\$100,715.00	\$92,758.24	Design, Host & Maintain MSRC Website	\$7,956.76	No
<b>Total: 1</b>									
<b>Declined/Cancelled Contracts</b>									
MS05030	City of Inglewood				\$31,662.00	\$0.00	2 CNG Street Sweepers	\$31,662.00	No
MS05032	H&C Disposal				\$34,068.00	\$0.00	2 CNG Waste Haulers	\$34,068.00	No
MS05044	City of Colton				\$78,720.00	\$0.00	CNG Station Upgrade	\$78,720.00	No
<b>Total: 3</b>									
<b>Closed Contracts</b>									
MS05001	A-Z Bus Sales, Inc.	2/4/2005	12/31/2005	12/31/2006	\$1,385,000.00	\$1,385,000.00	CNG School Bus Buydown	\$0.00	Yes
MS05002	California Bus Sales	2/4/2005	12/31/2005	12/31/2006	\$1,800,000.00	\$1,800,000.00	CNG School Bus Buydown	\$0.00	Yes
MS05003	BusWest	1/28/2005	12/31/2005	12/31/2006	\$2,100,000.00	\$1,620,000.00	CNG School Bus Buydown	\$480,000.00	Yes
MS05004	Johnson/Ukropina Creative Marketin	11/27/2004	1/18/2006	4/18/2006	\$1,000,000.00	\$994,612.56	Implement "Rideshare Thursday" Campaign	\$5,387.44	Yes
MS05031	City of Ontario	7/22/2005	3/21/2007		\$191,268.00	\$191,268.00	11 CNG Waste Haulers	\$0.00	Yes
MS05033	Waste Management of the Desert	9/26/2005	5/25/2007		\$202,900.00	\$202,900.00	10 CNG Waste Haulers	\$0.00	Yes
MS05034	Sukut Equipment, Inc.	9/9/2005	5/8/2007		\$1,151,136.00	\$1,151,136.00	Repower 12 Scrapers	\$0.00	Yes
MS05035	Varner Construction Inc.	11/28/2005	4/27/2007	2/27/2008	\$334,624.00	\$334,624.00	Repower 5 Off-Road H.D. Vehicles	\$0.00	Yes
MS05036	Camarillo Engineering	8/18/2005	1/17/2007		\$1,167,276.00	\$1,167,276.00	Repower 12 Scrapers	\$0.00	Yes
MS05037	Road Builders, Inc.	11/21/2005	4/20/2007	6/20/2008	\$229,302.00	\$229,302.00	Repower 2 Scrapers	\$0.00	Yes
MS05038	SunLine Transit Agency	3/30/2006	9/29/2007		\$135,000.00	\$135,000.00	15 CNG Buses	\$0.00	Yes
MS05039	Los Angeles County MTA	4/28/2006	4/27/2008		\$405,000.00	\$405,000.00	75 CNG Buses	\$0.00	Yes
MS05040	Orange County Transportation Autho	3/23/2006	12/22/2007	6/22/2008	\$200,000.00	\$200,000.00	25 CNG Buses	\$0.00	Yes
MS05041	The Regents of the University of Cali	9/5/2006	8/4/2007	9/4/2008	\$15,921.00	\$15,921.00	CNG Station Upgrade	\$0.00	Yes
MS05042	City of Ontario	11/21/2005	9/20/2006	7/20/2007	\$117,832.00	\$74,531.27	CNG Station Upgrade	\$43,300.73	Yes
MS05043	Whittier Union High School District	9/23/2005	7/22/2006		\$15,921.00	\$15,921.00	CNG Station Upgrade	\$0.00	Yes
MS05045	City of Covina	9/9/2005	7/8/2006		\$10,000.00	\$7,435.61	CNG Station Upgrade	\$2,564.39	Yes
MS05046	City of Inglewood	1/6/2006	5/5/2007		\$139,150.00	\$56,150.27	CNG Station Upgrade	\$82,999.73	Yes
MS05047	Orange County Transportation Autho	10/20/2005	10/19/2006	1/19/2007	\$75,563.00	\$75,563.00	CNG Station Upgrade	\$0.00	Yes
MS05048	City of Santa Monica	7/24/2006	11/23/2007		\$150,000.00	\$150,000.00	CNG Station Upgrade	\$0.00	Yes
MS05049	Omnitrans	9/23/2005	2/22/2007		\$25,000.00	\$7,250.00	CNG Station Upgrade	\$17,750.00	Yes
MS05050	Gateway Cities Council of Governme	12/21/2005	4/20/2010		\$1,464,839.00	\$1,464,838.12	Truck Fleet Modernization Program	\$0.88	Yes
MS05051	Jagur Tractor	1/16/2006	4/15/2007	10/15/2007	\$660,928.00	\$660,928.00	Repower 6 Scrapers	\$0.00	Yes

Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
MS05052	Caufield Equipment, Inc.	8/3/2005	1/2/2007		\$478,000.00	\$478,000.00	Repower 4 Scrapers	\$0.00	Yes

Total: 24

## 2004-05 AB2766 Local Government Match Program Contract Status Report

12/1/2011

Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
<b>Open Contracts</b>									
ML05013	Los Angeles County Department of	1/5/2007	7/4/2008	1/4/2012	\$313,000.00	\$0.00	Traffic Signal Synchronization	\$313,000.00	No
ML05014	Los Angeles County Department of	5/21/2007	11/20/2008	6/20/2012	\$204,221.00	\$0.00	Traffic Signal Synchronization	\$204,221.00	No
<b>Total: 2</b>									
<b>Declined/Cancelled Contracts</b>									
ML05005	City of Highland				\$20,000.00	\$0.00	2 Medium Duty CNG Vehicles	\$20,000.00	No
ML05008	Los Angeles County Department of				\$140,000.00	\$0.00	7 Heavy Duty LPG Street Sweepers	\$140,000.00	No
ML05010	Los Angeles County Department of				\$20,000.00	\$0.00	1 Heavy Duty CNG Bus	\$20,000.00	No
<b>Total: 3</b>									
<b>Closed Contracts</b>									
ML05006	City of Colton	7/27/2005	7/26/2006		\$30,000.00	\$30,000.00	3 Medium Duty CNG Vehicles	\$0.00	Yes
ML05011	Los Angeles County Department of	8/10/2006	12/9/2007	6/9/2008	\$52,409.00	\$51,048.46	3 Heavy Duty LPG Shuttle Vans	\$1,360.54	Yes
ML05015	City of Lawndale	7/27/2005	7/26/2006		\$10,000.00	\$10,000.00	1 Medium Duty CNG Vehicle	\$0.00	Yes
ML05016	City of Santa Monica	9/23/2005	9/22/2006	9/22/2007	\$350,000.00	\$350,000.00	6 MD CNG Vehicles, 1 LPG Sweep, 13 CNG	\$0.00	Yes
ML05017	City of Signal Hill	1/16/2006	7/15/2007		\$126,000.00	\$126,000.00	Traffic Signal Synchronization	\$0.00	Yes
ML05018	City of San Bernardino	4/19/2005	4/18/2006		\$40,000.00	\$40,000.00	4 M.D. CNG Vehicles	\$0.00	Yes
ML05019	City of Lakewood	5/6/2005	5/5/2006		\$10,000.00	\$10,000.00	1 M.D. CNG Vehicle	\$0.00	Yes
ML05020	City of Pomona	6/24/2005	6/23/2006		\$10,000.00	\$10,000.00	1 M.D. CNG Vehicle	\$0.00	Yes
ML05021	City of Whittier	7/7/2005	7/6/2006	4/6/2008	\$100,000.00	\$80,000.00	Sweeper, Aerial Truck, & 3 Refuse Trucks	\$20,000.00	Yes
ML05022	City of Claremont	9/23/2005	9/22/2006		\$20,000.00	\$20,000.00	2 M.D. CNG Vehicles	\$0.00	Yes
ML05024	City of Cerritos	4/18/2005	3/17/2006		\$10,000.00	\$10,000.00	1 M.D. CNG Vehicle	\$0.00	Yes
ML05025	City of Malibu	5/6/2005	3/5/2006		\$10,000.00	\$10,000.00	1 Medium-Duty CNG Vehicle	\$0.00	Yes
ML05026	City of Inglewood	1/6/2006	1/5/2007	2/5/2009	\$60,000.00	\$60,000.00	2 CNG Transit Buses, 1 CNG Pothole Patch	\$0.00	Yes
ML05027	City of Beaumont	2/23/2006	4/22/2007	6/22/2010	\$20,000.00	\$20,000.00	1 H.D. CNG Bus	\$0.00	Yes
ML05028	City of Anaheim	9/8/2006	9/7/2007	5/7/2008	\$85,331.00	\$85,331.00	Traffic signal coordination & synchronization	\$0.00	Yes
ML05029	Los Angeles World Airports	5/5/2006	9/4/2007		\$140,000.00	\$140,000.00	Seven CNG Buses	\$0.00	Yes
ML05071	City of La Canada Flintridge	1/30/2009	1/29/2011		\$20,000.00	\$20,000.00	1 CNG Bus	\$0.00	Yes
ML05072	Los Angeles County Department of	8/24/2009	5/23/2010	1/23/2011	\$349,000.00	\$349,000.00	Traffic Signal Synchronization (LADOT)	\$0.00	Yes
<b>Total: 18</b>									
<b>Closed/Incomplete Contracts</b>									
ML05007	Los Angeles County Dept of Beaches	6/23/2006	6/22/2007	12/22/2007	\$50,000.00	\$0.00	5 Medium Duty CNG Vehicles	\$50,000.00	No
ML05009	Los Angeles County Department of	6/22/2006	12/21/2007	9/30/2011	\$56,666.00	\$0.00	2 Propane Refueling Stations	\$56,666.00	No



## 2005-06 AB2766 Contract Status Report

12/1/2011

Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
Open Contracts									
MS06001	Riverside County Transportation Co	8/3/2007	9/2/2011		\$825,037.00	\$825,037.00	New Freeway Service Patrol	\$0.00	Yes
MS06002	Orange County Transportation Autho	11/7/2007	11/6/2013		\$928,740.00	\$748,770.00	New Freeway Service Patrol	\$179,970.00	No
MS06004	Los Angeles County MTA	8/10/2006	7/9/2010		\$1,391,983.00	\$1,391,791.98	New Freeway Service Patrol	\$191.02	No
Total: 3									
Declined/Cancelled Contracts									
MS06009	Clean Energy Fuels Corp.	6/23/2006	12/22/2012		\$250,000.00	\$0.00	New CNG Station - Laguna Niguel	\$250,000.00	Yes
MS06040	Capistrano Unified School District				\$136,000.00	\$0.00	New CNG Fueling Station	\$136,000.00	No
MS06041	Clean Energy Fuels Corp.	12/1/2006	3/31/2013	6/18/2009	\$250,000.00	\$0.00	New CNG Station-Newport Beach	\$250,000.00	No
MS06046	City of Long Beach, Dept. of Public				\$250,000.00	\$0.00	LNG Fueling Station	\$250,000.00	No
MS06051	Menifee Union School District	3/2/2007	7/1/2014		\$150,000.00	\$0.00	CNG Fueling Station	\$150,000.00	No
Total: 5									
Closed Contracts									
MS06003	San Bernardino Associated Govern	10/19/2006	6/18/2010		\$804,240.00	\$804,239.87	New Freeway Service Patrol	\$0.13	Yes
MS06043X	Westport Fuel Systems, Inc.	2/3/2007	12/31/2010	9/30/2011	\$2,000,000.00	\$2,000,000.00	Advanced Natural Gas Engine Incentive Pro	\$0.00	Yes
Total: 2									
Open/Complete Contracts									
MS06010	US Airconditioning Distributors	12/28/2006	6/27/2012		\$83,506.00	\$83,506.00	New CNG Station - Industry	\$0.00	Yes
MS06011	County Sanitation Districts of L.A. C	6/1/2006	7/31/2012		\$150,000.00	\$150,000.00	New CNG Station - Carson	\$0.00	Yes
MS06012	Consolidated Disposal Service	7/14/2006	9/13/2012		\$297,981.00	\$297,981.00	New LNG Station & Facility Upgrades	\$0.00	Yes
MS06013	City of Commerce	1/9/2008	7/8/2014	7/8/2015	\$350,000.00	\$350,000.00	New L/CNG Station - Commerce	\$0.00	Yes
MS06042	Clean Energy Fuels Corp.	1/5/2007	1/4/2013		\$150,000.00	\$150,000.00	New CNG Station-Baldwin Park	\$0.00	No
MS06045	Orange County Transportation Autho	8/17/2007	12/16/2013		\$200,000.00	\$200,000.00	CNG Fueling Station/Maint. Fac. Mods	\$0.00	Yes
MS06047	Hemet Unified School District	9/19/2007	11/18/2013		\$125,000.00	\$125,000.00	CNG Refueling Station	\$0.00	Yes
MS06048	Newport-Mesa Unified School District	6/25/2007	8/24/2013	8/24/2014	\$50,000.00	\$50,000.00	CNG Fueling Station	\$0.00	Yes
MS06049	Clean Energy Fuels Corp.	4/20/2007	7/19/2013		\$250,000.00	\$228,491.18	CNG Fueling Station - L.B.P.D.	\$21,508.82	Yes
MS06050	Rossmoor Pastries	1/24/2007	10/23/2012		\$18,750.00	\$14,910.50	CNG Fueling Station	\$3,839.50	Yes
Total: 10									

## 2005-06 AB2766 Local Government Match Program Contract Status Report

12/1/2011

Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
<b>Open Contracts</b>									
ML06020	Los Angeles Department of Water a	3/19/2007	9/18/2013	4/18/2014	\$25,000.00	\$0.00	CNG Aerial Truck	\$25,000.00	No
ML06031	City of Inglewood	4/4/2007	6/3/2013	9/3/2015	\$150,000.00	\$65,602.40	Purchase 4 H-D LPG Vehicles & Install LPG	\$84,397.60	No
ML06035	City of Hemet, Public Works	11/10/2006	12/9/2012	10/9/2014	\$414,000.00	\$175,000.00	7 Nat Gas Trucks & New Nat Gas Infrastruct	\$239,000.00	No
ML06054	Los Angeles County Department of	6/17/2009	6/16/2016		\$150,000.00	\$0.00	3 CNG & 3 LPG HD Trucks	\$150,000.00	No
ML06058	City of Santa Monica	7/12/2007	7/11/2013		\$149,925.00	\$0.00	3 H.D. CNG Trucks & CNG Fueling Station	\$149,925.00	No
ML06060	City of Temple City	6/12/2007	6/11/2013		\$31,885.00	\$0.00	Upgrade existing CNG infrastructure	\$31,885.00	No
ML06070	City of Colton	4/30/2008	2/28/2015		\$50,000.00	\$0.00	Two CNG Pickups	\$50,000.00	No
<b>Total: 7</b>									
<b>Declined/Cancelled Contracts</b>									
ML06018	Los Angeles County Dept of Beache				\$375,000.00	\$0.00	New CNG Station & 2 CNG Dump Trucks	\$375,000.00	No
ML06019	Los Angeles County Dept of Beache				\$250,000.00	\$0.00	New CNG Station & 2 CNG Dump Trucks	\$250,000.00	No
ML06023	City of Baldwin Park	6/16/2006	9/15/2012		\$20,000.00	\$0.00	CNG Dump Truck	\$20,000.00	No
ML06024	City of Pomona	8/3/2007	7/2/2013	7/2/2014	\$286,450.00	\$0.00	New CNG Station	\$286,450.00	No
ML06030	City of Burbank	3/19/2007	9/18/2011		\$287,700.00	\$0.00	New CNG Fueling Station	\$287,700.00	No
ML06037	City of Lynwood				\$25,000.00	\$0.00	1 Nat Gas Dump Truck	\$25,000.00	No
ML06039	City of Inglewood	2/9/2007	2/8/2008	4/8/2011	\$50,000.00	\$0.00	Modify Maintenance Facility for CNG Vehicle	\$50,000.00	No
ML06055	City of Los Angeles, Dept. of Genera				\$125,000.00	\$0.00	5 Gas-Electric Hybrid Buses	\$125,000.00	No
ML06059	City of Fountain Valley				\$25,000.00	\$0.00	One H.D. CNG Truck	\$25,000.00	No
<b>Total: 9</b>									
<b>Closed Contracts</b>									
ML06056	City of Los Angeles, Dept. of Genera	11/30/2007	11/29/2008		\$350,000.00	\$350,000.00	Maintenance Facility Mods.	\$0.00	Yes
<b>Total: 1</b>									
<b>Open/Complete Contracts</b>									
ML06016	City of Whittier	5/25/2006	5/24/2012	11/24/2012	\$50,000.00	\$50,000.00	2 CNG Refuse Trucks	\$0.00	Yes
ML06017	City of Claremont	8/2/2006	4/1/2012		\$50,000.00	\$50,000.00	2 CNG Refuse Trucks	\$0.00	Yes
ML06021	Los Angeles World Airports	9/13/2006	5/12/2013		\$150,000.00	\$150,000.00	6 CNG Buses	\$0.00	Yes
ML06022	City of Los Angeles, Bureau of Sanit	5/4/2007	1/3/2014		\$1,250,000.00	\$1,250,000.00	50 LNG Refuse Trucks	\$0.00	Yes
ML06025	City of Santa Monica	1/5/2007	1/4/2012	12/14/2014	\$300,000.00	\$300,000.00	12 H.D. CNG Vehicles	\$0.00	Yes
ML06026	City of Cerritos	10/27/2006	9/26/2010		\$60,500.00	\$60,500.00	CNG Station Upgrade	\$0.00	Yes
ML06027	City of Redondo Beach	9/5/2006	5/4/2012	10/4/2012	\$50,000.00	\$50,000.00	2 Heavy-Duty CNG Trucks	\$0.00	Yes
ML06028	City of Pasadena	9/29/2006	11/28/2012	3/28/2014	\$245,000.00	\$245,000.00	New CNG Station & Maint. Fac. Upgrades	\$0.00	Yes

Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
ML06029	City of Culver City Transportation De	9/29/2006	12/28/2012		\$50,000.00	\$50,000.00	2 CNG Heavy-Duty Trucks	\$0.00	Yes
ML06032	City of Rancho Cucamonga	2/13/2007	3/12/2013	2/12/2014	\$237,079.00	\$237,079.00	New CNG Station & 2 CNG Dump Trucks	\$0.00	Yes
ML06033	City of Cathedral City	11/17/2006	12/16/2012	12/16/2013	\$125,000.00	\$125,000.00	5 Heavy-Duty CNG Trucks	\$0.00	Yes
ML06034	City of South Pasadena	9/25/2006	9/24/2012		\$16,422.42	\$16,422.42	2 Nat. Gas Transit Buses	\$0.00	Yes
ML06036	City of Riverside	3/23/2007	3/22/2013		\$200,000.00	\$200,000.00	8 Heavy-Duty Nat Gas Vehicles	\$0.00	Yes
ML06038	City of Los Angeles, Department of	5/21/2007	1/20/2014		\$625,000.00	\$625,000.00	25 CNG Street Sweepers	\$0.00	Yes
ML06044	City of Pomona	12/15/2006	3/14/2013		\$50,000.00	\$50,000.00	2 CNG Street Sweepers	\$0.00	Yes
ML06052	City of Hemet, Public Works	4/20/2007	2/19/2013		\$25,000.00	\$25,000.00	Purchase One CNG Dump Truck	\$0.00	Yes
ML06053	City of Burbank	5/4/2007	7/3/2013		\$125,000.00	\$125,000.00	Five Nat. Gas Refuse Trucks	\$0.00	Yes
ML06057	City of Rancho Cucamonga	8/28/2007	6/27/2013	8/27/2014	\$100,000.00	\$100,000.00	4 H.D. Nat. Gas Vehicles	\$0.00	Yes
ML06061	City of Chino Hills	4/30/2007	4/29/2013		\$25,000.00	\$25,000.00	One H.D. CNG Vehicle	\$0.00	Yes
ML06062	City of Redlands	5/11/2007	5/10/2013		\$100,000.00	\$100,000.00	4 H.D. LNG Vehicles	\$0.00	Yes
ML06063	City of Moreno Valley	3/23/2007	11/22/2012		\$25,000.00	\$25,000.00	One H.D. CNG Vehicle	\$0.00	Yes
ML06064	City of South Pasadena	1/25/2008	11/24/2013	11/24/2014	\$50,000.00	\$50,000.00	2 H.D. CNG Vehicles	\$0.00	Yes
ML06065	City of Walnut	6/29/2007	6/28/2013		\$44,203.00	\$44,203.00	Upgrade Existing CNG Infrastructure	\$0.00	Yes
ML06066	City of Ontario	5/30/2007	1/29/2013		\$125,000.00	\$125,000.00	5 H.D. CNG Vehicles	\$0.00	Yes
ML06067	City of El Monte	3/17/2008	5/16/2014	11/16/2014	\$157,957.00	\$157,957.00	Upgrade existing CNG infrastructure	\$0.00	Yes
ML06068	City of Claremont	8/28/2007	6/27/2013		\$60,000.00	\$60,000.00	Expand existing CNG infrastructure	\$0.00	Yes
ML06069	City of Palos Verdes Estates	11/19/2007	11/18/2013		\$25,000.00	\$25,000.00	One H.D. CNG Vehicle	\$0.00	Yes

**Total: 27**



# 2005-06 Diesel Exhaust Retrofit Program Contract Status Report

12/1/2011

Cont. #	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
Open Contracts									
PT06006	Los Angeles County Sheriff's Depart	5/15/2006	2/14/2008		\$98,000.00	\$0.00	Diesel Exhaust Aftertreatment Program	\$98,000.00	No
Total: 1									
Closed Contracts									
PT06005	Los Angeles County Department of	6/29/2006	3/28/2008	12/28/2008	\$184,500.00	\$184,500.00	Diesel Exhaust Aftertreatment Program	\$0.00	Yes
PT06007	County Sanitation Districts of L.A. C	6/16/2006	12/15/2007	12/28/2008	\$108,000.00	\$108,000.00	Diesel Exhaust Aftertreatment Program	\$0.00	Yes
PT06008	City of Los Angeles, Bureau of Sanit	9/6/2006	6/5/2008		\$184,500.00	\$184,500.00	Diesel Exhaust Aftertreatment Program	\$0.00	Yes
PT06014	Los Angeles Department of Water a	2/8/2007	8/7/2008	9/30/2009	\$112,500.00	\$103,500.00	Diesel Exhaust Aftertreatment Program	\$9,000.00	Yes
PT06015	City of San Bernardino	10/23/2006	4/22/2008		\$66,000.00	\$66,000.00	Diesel Exhaust Aftertreatment Program	\$0.00	Yes
Total: 5									

## 2006-07 AB2766 Contract Status Report

12/1/2011

Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
<b>Open Contracts</b>									
MS07008	City of Los Angeles, Department of T	9/18/2009	5/17/2020		\$2,040,000.00	\$0.00	Purchase 102 Transit Buses	\$2,040,000.00	No
MS07022	California State University, Los Ange	10/30/2009	12/29/2015	12/29/2016	\$250,000.00	\$0.00	New Hydrogen Fueling Station	\$250,000.00	No
MS07049	Palm Springs Disposal Services	10/23/2008	11/22/2014	9/22/2016	\$96,000.00	\$86,400.00	Three Nat. Gas Refuse Trucks	\$9,600.00	No
MS07058	The Better World Group	11/17/2007	11/16/2009	11/16/2011	\$247,690.00	\$194,524.01	MSRC Programmatic Outreach Services	\$53,165.99	No
MS07059	County Sanitation Districts of L.A. C	9/5/2008	9/4/2010	7/14/2012	\$231,500.00	\$231,500.00	Off-Road Diesel Equipment Retrofit Program	\$0.00	Yes
MS07060	Community Recycling & Resource R	3/7/2008	1/6/2010	7/6/2011	\$177,460.00	\$98,471.00	Off-Road Diesel Equipment Retrofit Program	\$78,989.00	No
MS07061	City of Los Angeles, Department of	10/31/2008	8/30/2010	2/28/2012	\$40,626.00	\$40,626.00	Off-Road Diesel Equipment Retrofit Program	\$0.00	No
MS07063	Shimmick Construction Company, In	4/26/2008	2/25/2010	8/25/2011	\$80,800.00	\$11,956.37	Off-Road Diesel Equipment Retrofit Program	\$68,843.63	No
MS07068	Sukut Equipment Inc.	1/23/2009	11/22/2010	5/22/2012	\$26,900.00	\$26,900.00	Off-Road Diesel Equipment Retrofit Program	\$0.00	No
MS07070	Griffith Company	4/30/2008	2/28/2010	10/28/2011	\$168,434.00	\$125,504.00	Off-Road Diesel Equipment Retrofit Program	\$42,930.00	No
MS07071	Tiger 4 Equipment Leasing	9/19/2008	7/18/2010	1/18/2012	\$210,937.00	\$108,808.97	Off-Road Diesel Equipment Retrofit Program	\$102,128.03	No
MS07075	Dan Copp Crushing	9/17/2008	7/16/2010	1/16/2012	\$73,600.00	\$40,200.00	Off-Road Diesel Equipment Retrofit Program	\$33,400.00	No
MS07076	Reed Thomas Company, Inc.	8/15/2008	6/14/2010	12/14/2011	\$348,050.00	\$19,500.00	Off-Road Diesel Equipment Retrofit Program	\$328,550.00	No
MS07079	Riverside County Transportation Co	1/30/2009	7/29/2013	12/31/2011	\$20,000.00	\$13,785.45	BikeMetro Website Migration	\$6,214.55	No
MS07080	City of Los Angeles, Bureau of Sanit	10/31/2008	8/30/2010	2/28/2012	\$63,192.00	\$52,265.00	Off-Road Diesel Equipment Retrofit Program	\$10,927.00	No
MS07092	Riverside County Transportation Co	9/1/2010	10/31/2011		\$350,000.00	\$350,000.00	"511" Commuter Services Campaign	\$0.00	No
<b>Total: 16</b>									
<b>Declined/Cancelled Contracts</b>									
MS07010	Palos Verdes Peninsula Transit Auth				\$80,000.00	\$0.00	Repower 4 Transit Buses	\$80,000.00	No
MS07014	Clean Energy Fuels Corp.				\$350,000.00	\$0.00	New L/CNG Station - SERRF	\$350,000.00	No
MS07015	Baldwin Park Unified School District				\$57,500.00	\$0.00	New CNG Station	\$57,500.00	No
MS07016	County of Riverside Fleet Services D				\$36,359.00	\$0.00	New CNG Station - Rubidoux	\$36,359.00	No
MS07017	County of Riverside Fleet Services D				\$33,829.00	\$0.00	New CNG Station - Indio	\$33,829.00	No
MS07018	City of Cathedral City				\$350,000.00	\$0.00	New CNG Station	\$350,000.00	No
MS07021	City of Riverside				\$350,000.00	\$0.00	New CNG Station	\$350,000.00	No
MS07050	Southern California Disposal Co.				\$320,000.00	\$0.00	Ten Nat. Gas Refuse Trucks	\$320,000.00	No
MS07062	Caltrans Division of Equipment				\$1,081,818.00	\$0.00	Off-Road Diesel Equipment Retrofit Program	\$1,081,818.00	No
MS07065	ECCO Equipment Corp.				\$174,525.00	\$0.00	Off-Road Diesel Equipment Retrofit Program	\$174,525.00	No
MS07067	Recycled Materials Company of Calif				\$99,900.00	\$0.00	Off-Road Diesel Equipment Retrofit Program	\$99,900.00	No
MS07069	City of Burbank	5/9/2008	3/8/2010	9/8/2011	\$8,895.00	\$0.00	Off-Road Diesel Equipment Retrofit Program	\$8,895.00	No
MS07074	Albert W. Davies, Inc.	1/25/2008	11/24/2009		\$39,200.00	\$0.00	Off-Road Diesel Equipment Retrofit Program	\$39,200.00	No

Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
MS07081	Clean Diesel Technologies, Inc.				\$240,347.00	\$0.00	Off-Road Diesel Equipment Retrofit Program	\$240,347.00	No
MS07082	DCL International, Inc.				\$153,010.00	\$0.00	Off-Road Diesel Equipment Retrofit Program	\$153,010.00	No
MS07083	Dinex Exhausts, Inc.				\$52,381.00	\$0.00	Off-Road Diesel Equipment Retrofit Program	\$52,381.00	No
MS07084	Donaldson Company, Inc.				\$42,416.00	\$0.00	Off-Road Diesel Equipment Retrofit Program	\$42,416.00	No
MS07085	Engine Control Systems Limited				\$155,746.00	\$0.00	Off-Road Diesel Equipment Retrofit Program	\$155,746.00	No
MS07086	Huss, LLC				\$84,871.00	\$0.00	Off-Road Diesel Equipment Retrofit Program	\$84,871.00	No
MS07087	Mann+Hummel GmbH				\$189,361.00	\$0.00	Off-Road Diesel Equipment Retrofit Program	\$189,361.00	No
MS07088	Nett Technologies, Inc.				\$118,760.00	\$0.00	Off-Road Diesel Equipment Retrofit Program	\$118,760.00	No
MS07089	Rypos, Inc.				\$68,055.00	\$0.00	Off-Road Diesel Equipment Retrofit Program	\$68,055.00	No
MS07090	Sud-Chemie				\$27,345.00	\$0.00	Off-Road Diesel Equipment Retrofit Program	\$27,345.00	No
<b>Total: 23</b>									
<b>Closed Contracts</b>									
MS07001	A-Z Bus Sales, Inc.	12/28/2006	12/31/2007	2/29/2008	\$1,920,000.00	\$1,380,000.00	CNG School Bus Buydown	\$540,000.00	Yes
MS07002	BusWest	1/19/2007	12/31/2007	3/31/2008	\$840,000.00	\$840,000.00	CNG School Bus Buydown	\$0.00	Yes
MS07005	S-W Compressors	3/17/2008	3/16/2010		\$60,000.00	\$7,500.00	Mountain CNG School Bus Demo Program-	\$52,500.00	Yes
MS07006	Coachella Valley Association of Gov	2/28/2008	10/27/2008		\$400,000.00	\$400,000.00	Coachella Valley PM10 Reduction Street Sw	\$0.00	Yes
MS07011	Los Angeles Service Authority for Fr	3/12/2010	5/31/2011	9/30/2011	\$700,000.00	\$700,000.00	"511" Commuter Services Campaign	\$0.00	Yes
MS07012	City of Los Angeles, General Service	6/13/2008	6/12/2009	6/12/2010	\$50,000.00	\$50,000.00	Maintenance Facility Modifications	\$0.00	Yes
MS07019	City of Cathedral City	1/9/2009	6/8/2010		\$32,500.00	\$32,500.00	Maintenance Facility Modifications	\$0.00	Yes
MS07064	Altfillisch Contractors, Inc.	9/19/2008	7/18/2010	1/18/2011	\$160,000.00	\$155,667.14	Off-Road Diesel Equipment Retrofit Program	\$4,332.86	Yes
MS07072	City of Culver City Transportation De	4/4/2008	2/3/2010	8/3/2011	\$72,865.00	\$72,865.00	Off-Road Diesel Equipment Retrofit Program	\$0.00	Yes
MS07091	BusWest	10/16/2009	3/15/2010		\$33,660.00	\$33,660.00	Provide Lease for 2 CNG School Buses	\$0.00	Yes
<b>Total: 10</b>									
<b>Closed/Incomplete Contracts</b>									
MS07004	BusWest	7/2/2007	7/1/2009		\$90,928.00	\$68,196.00	Provide Lease for 2 CNG School Buses	\$22,732.00	No
MS07066	Skanska USA Civil West California D	6/28/2008	4/27/2010	10/27/2010	\$111,700.00	\$36,128.19	Off-Road Diesel Equipment Retrofit Program	\$75,571.81	No
MS07073	PEED Equipment Co.	10/31/2008	8/30/2010		\$11,600.00	\$0.00	Off-Road Diesel Equipment Retrofit Program	\$11,600.00	No
<b>Total: 3</b>									
<b>Open/Complete Contracts</b>									
MS07003	Westport Fuel Systems, Inc.	11/2/2007	12/31/2011	6/30/2013	\$1,500,000.00	\$1,499,990.00	Advanced Nat. Gas Engine Incentive Progra	\$10.00	Yes
MS07007	Los Angeles World Airports	5/2/2008	11/1/2014		\$420,000.00	\$420,000.00	Purchase CNG 21 Transit Buses	\$0.00	Yes
MS07009	Orange County Transportation Autho	5/14/2008	4/13/2016		\$800,000.00	\$800,000.00	Purchase 40 Transit Buses	\$0.00	Yes
MS07013	Rainbow Disposal Company, Inc.	1/25/2008	3/24/2014		\$350,000.00	\$350,000.00	New High-Volume CNG Station	\$0.00	Yes
MS07020	Avery Petroleum	5/20/2009	7/19/2015		\$250,000.00	\$250,000.00	New CNG Station	\$0.00	Yes
MS07051	City of San Bernardino	8/12/2008	12/11/2014		\$480,000.00	\$480,000.00	15 Nat. Gas Refuse Trucks	\$0.00	Yes
MS07052	City of Redlands	7/30/2008	11/29/2014		\$160,000.00	\$160,000.00	Five Nat. Gas Refuse Trucks	\$0.00	Yes
MS07053	City of Claremont	7/31/2008	12/30/2014		\$96,000.00	\$96,000.00	Three Nat. Gas Refuse Trucks	\$0.00	Yes

Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
MS07054	Republic Services, Inc.	3/7/2008	9/6/2014	9/6/2016	\$1,280,000.00	\$1,280,000.00	40 Nat. Gas Refuse Trucks	\$0.00	Yes
MS07055	City of Culver City Transportation De	7/8/2008	9/7/2014		\$192,000.00	\$192,000.00	Six Nat. Gas Refuse Trucks	\$0.00	Yes
MS07056	City of Whittier	9/5/2008	3/4/2015		\$32,000.00	\$32,000.00	One Nat. Gas Refuse Trucks	\$0.00	Yes
MS07057	CR&R, Inc.	7/31/2008	8/30/2014	6/30/2015	\$896,000.00	\$896,000.00	28 Nat. Gas Refuse Trucks	\$0.00	No
MS07077	Waste Management Collection and	5/1/2009	12/31/2014		\$160,000.00	\$160,000.00	Five Nat. Gas Refuse Trucks (Santa Ana)	\$0.00	Yes
MS07078	Waste Management Collection and	5/1/2009	12/31/2014	12/31/2015	\$256,000.00	\$256,000.00	Eight Nat. Gas Refuse Trucks (Dewey's)	\$0.00	Yes

**Total: 14**

## 2006-07 AB2766 Local Government Match Program Contract Status Report

12/1/2011

Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
<b>Open Contracts</b>									
ML07023	City of Riverside	6/20/2008	10/19/2014	7/19/2016	\$462,500.00	\$350,000.00	CNG Station Expansion/Purch. 14 H.D. Vehi	\$112,500.00	No
ML07024	City of Garden Grove	3/7/2008	9/6/2014	7/6/2016	\$75,000.00	\$50,000.00	Three H.D. CNG Vehicles	\$25,000.00	No
ML07028	City of Los Angeles, General Service	3/13/2009	3/12/2014		\$350,000.00	\$0.00	New CNG Refueling Station/Hollywood Yard	\$350,000.00	No
ML07033	City of La Habra	5/21/2008	6/20/2014	7/31/2017	\$75,000.00	\$25,000.00	One H.D. Nat Gas Vehicle/Expand Fueling S	\$50,000.00	No
ML07036	City of Alhambra	1/23/2009	2/22/2015		\$145,839.00	\$50,000.00	3 H.D. CNG Vehicles/Expand CNG Station	\$95,839.00	No
ML07043	City of Redondo Beach	9/28/2008	7/27/2014	7/27/2016	\$125,000.00	\$0.00	Five H.D. CNG Transit Vehicles	\$125,000.00	No
ML07044	City of Santa Monica	9/8/2008	3/7/2015		\$600,000.00	\$50,000.00	24 H.D. Nat. Gas Vehicles	\$550,000.00	No
ML07045	City of Inglewood	2/6/2009	4/5/2015		\$75,000.00	\$25,000.00	3 H.D. Nat. Gas Vehicles	\$50,000.00	No
<b>Total: 8</b>									
<b>Declined/Cancelled Contracts</b>									
ML07031	City of Santa Monica				\$180,000.00	\$0.00	Upgrade N.G. Station to Add Hythane	\$180,000.00	No
ML07032	City of Huntington Beach Public Wor				\$25,000.00	\$0.00	One H.D. CNG Vehicle	\$25,000.00	No
ML07035	City of Los Angeles, General Service				\$350,000.00	\$0.00	New CNG Refueling Station/Southeast Yard	\$350,000.00	No
ML07038	City of Palos Verdes Estates				\$25,000.00	\$0.00	One H.D. LPG Vehicle	\$25,000.00	No
<b>Total: 4</b>									
<b>Closed Contracts</b>									
ML07025	City of San Bernardino	8/12/2008	7/11/2010		\$350,000.00	\$350,000.00	Maintenance Facility Modifications	\$0.00	Yes
ML07042	City of La Quinta	8/15/2008	9/14/2010		\$100,000.00	\$100,000.00	Street Sweeping Operations	\$0.00	Yes
ML07048	City of Cathedral City	9/19/2008	10/18/2010		\$100,000.00	\$84,972.45	Street Sweeping Operations	\$15,027.55	Yes
<b>Total: 3</b>									
<b>Open/Complete Contracts</b>									
ML07026	City of South Pasadena	6/13/2008	6/12/2014		\$25,000.00	\$25,000.00	One H.D. CNG Vehicle	\$0.00	Yes
ML07027	Los Angeles World Airports	6/3/2008	7/2/2014		\$25,000.00	\$25,000.00	One H.D. LNG Vehicle	\$0.00	Yes
ML07029	City of Los Angeles, General Service	3/13/2009	3/12/2014		\$350,000.00	\$350,000.00	New CNG Refueling Station/Venice Yard	\$0.00	Yes
ML07030	County of San Bernardino Public Wo	7/11/2008	9/10/2015		\$200,000.00	\$200,000.00	8 Natural Gas H.D. Vehicles	\$0.00	Yes
ML07034	City of Los Angeles, General Service	3/13/2009	3/12/2014		\$350,000.00	\$350,000.00	New CNG Refueling Station/Van Nuys Yard	\$0.00	Yes
ML07037	City of Los Angeles, General Service	10/8/2008	10/7/2015		\$255,222.00	\$255,222.00	Upgrade LNG/LCNG Station/East Valley Yar	\$0.00	Yes
ML07039	City of Baldwin Park	6/6/2008	6/5/2014	8/5/2015	\$50,000.00	\$50,000.00	Two N.G. H.D. Vehicles	\$0.00	Yes
ML07040	City of Moreno Valley	6/3/2008	9/2/2014		\$25,000.00	\$25,000.00	One Heavy-Duty CNG Vehicle	\$0.00	Yes
ML07041	City of La Quinta	6/6/2008	6/5/2014		\$25,000.00	\$25,000.00	One CNG Street Sweeper	\$0.00	Yes
ML07046	City of Culver City Transportation De	5/2/2008	5/1/2014		\$25,000.00	\$25,000.00	One H.D. Nat. Gas Vehicle	\$0.00	Yes

Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
ML07047	City of Cathedral City	6/16/2008	9/15/2014	3/15/2015	\$225,000.00	\$225,000.00	Two H.D. Nat. Gas Vehicles/New CNG Fueli	\$0.00	Yes

**Total: 11**

## 2007-08 AB2766 Contract Status Report

12/11/2011

Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
<b>Open Contracts</b>									
MS08001	Los Angeles County MTA	12/10/2010	6/9/2014		\$1,500,000.00	\$0.00	Big Rig Freeway Service Patrol	\$1,500,000.00	No
MS08007	United Parcel Service	12/10/2008	10/9/2014		\$300,000.00	\$0.00	10 H.D. Nat. Gas Vehicles	\$300,000.00	No
MS08012	California Cartage Company, LLC	12/21/2009	10/20/2015	4/20/2016	\$480,000.00	\$432,000.00	12 H.D. Nat. Gas Yard Tractors	\$48,000.00	No
MS08013	United Parcel Service	12/10/2008	10/9/2014	10/9/2016	\$480,000.00	\$216,000.00	12 H.D. Nat. Gas Yard Tractors	\$264,000.00	No
MS08015	Yosemite Waters	5/12/2009	5/11/2015		\$180,000.00	\$117,813.60	11 H.D. Propane Vehicles	\$62,186.40	No
MS08016	TransVironmental Solutions, Inc.	1/23/2009	12/31/2010	9/30/2011	\$227,198.00	\$69,450.00	Rideshare 2 School Program	\$157,748.00	No
MS08017	Omnitrans	12/13/2008	12/12/2015	12/12/2016	\$900,000.00	\$729,000.00	30 CNG Buses	\$171,000.00	No
MS08018	Los Angeles County Department of	8/7/2009	10/6/2016		\$90,000.00	\$0.00	3 CNG Vehicles	\$90,000.00	No
MS08021	CalMet Services, Inc.	1/9/2009	1/8/2016	7/8/2016	\$900,000.00	\$810,000.00	30 CNG Vehicles	\$90,000.00	No
MS08053	City of Los Angeles, Bureau of Sanit	2/18/2009	12/17/2015		\$400,000.00	\$360,000.00	New LNG/CNG Station	\$40,000.00	No
MS08055	Clean Energy Fuels Corp.	11/26/2009	3/25/2016	3/25/2017	\$400,000.00	\$0.00	New LNG Station - Long Beach-Pier S	\$400,000.00	No
MS08056	Clean Energy Fuels Corp.	11/26/2009	2/25/2015		\$400,000.00	\$240,000.00	New LNG Station - POLB-Anah. & I	\$160,000.00	No
MS08058	Clean Energy Fuels Corp.	11/26/2009	3/25/2016	3/25/2017	\$400,000.00	\$0.00	New CNG Station - Ontario Airport	\$400,000.00	No
MS08061	Clean Energy Fuels Corp.	12/4/2009	3/3/2015		\$400,000.00	\$240,000.00	New CNG Station - L.A.-La Cienega	\$160,000.00	No
MS08062	Go Natural Gas	9/25/2009	1/24/2016	1/24/2017	\$400,000.00	\$0.00	New CNG Station - Rialto	\$400,000.00	No
MS08063	Go Natural Gas	9/25/2009	1/24/2016	1/24/2017	\$400,000.00	\$0.00	New CNG Station - Moreno Valley	\$400,000.00	No
MS08066	Clean Energy Fuels Corp.	11/26/2009	2/25/2015		\$400,000.00	\$240,000.00	New CNG Station - Palm Spring Airport	\$160,000.00	No
MS08068	The Regents of the University of Cali	11/5/2010	11/4/2017		\$400,000.00	\$0.00	Hydrogen Station	\$400,000.00	No
MS08070	Clean Energy Fuels Corp.	11/26/2009	2/25/2015		\$400,000.00	\$240,000.00	New CNG Station - Paramount	\$160,000.00	No
MS08072	Clean Energy Fuels Corp.	12/4/2009	3/3/2015		\$400,000.00	\$226,178.64	New CNG Station - Burbank	\$173,821.36	No
MS08073	Clean Energy Fuels Corp.	11/26/2009	2/25/2015		\$400,000.00	\$240,000.00	New CNG Station - Norwalk	\$160,000.00	No
MS08078	SunLine Transit Agency	12/10/2008	6/9/2015	2/9/2016	\$189,000.00	\$0.00	CNG Station Upgrade	\$189,000.00	No
<b>Total: 22</b>									
<b>Declined/Cancelled Contracts</b>									
MS08002	Orange County Transportation Autho				\$1,500,000.00	\$0.00	Big Rig Freeway Service Patrol	\$1,500,000.00	No
MS08008	Diversified Truck Rental & Leasing				\$300,000.00	\$0.00	10 H.D. Nat. Gas Vehicles	\$300,000.00	No
MS08010	Orange County Transportation Autho				\$10,000.00	\$0.00	20 H.D. Nat. Gas Vehicles	\$10,000.00	No
MS08011	Green Fleet Systems, LLC				\$10,000.00	\$0.00	30 H.D. Nat. Gas Vehicles	\$10,000.00	No
MS08052	Burrtec Waste Industries, Inc.	12/24/2008	11/23/2014	11/23/2015	\$100,000.00	\$0.00	New CNG Station - Fontana	\$100,000.00	No
MS08054	Clean Energy Fuels Corp.				\$400,000.00	\$0.00	New LNG Station - Fontana	\$400,000.00	No
MS08059	Burrtec Waste Industries, Inc.	12/24/2008	11/23/2014		\$100,000.00	\$0.00	New CNG Station - San Bernardino	\$100,000.00	No





## 2007-08 AB2766 Local Government Match Program Contract Status Report

12/1/2011

Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
<b>Open Contracts</b>									
ML08023	City of Villa Park	11/7/2008	10/6/2012		\$6,500.00	\$5,102.50	Upgrade of Existing Refueling Facility	\$1,397.50	No
ML08024	City of Anaheim	7/9/2010	7/8/2017		\$425,000.00	\$225,000.00	17 LPG Buses	\$200,000.00	No
ML08025	Los Angeles County Department of	10/30/2009	3/29/2011		\$75,000.00	\$0.00	150 Vehicles (Diagnostic)	\$75,000.00	No
ML08027	Los Angeles County Department of	7/20/2009	1/19/2011	1/19/2012	\$6,901.00	\$0.00	34 Vehicles (Diagnostic)	\$6,901.00	No
ML08028	City of Santa Monica	9/11/2009	9/10/2016		\$600,000.00	\$0.00	24 CNG Heavy-Duty Vehicles	\$600,000.00	No
ML08030	City of Azusa	5/14/2010	3/13/2016		\$25,000.00	\$0.00	1 CNG Heavy-Duty Vehicle	\$25,000.00	No
ML08034	County of San Bernardino Public Wo	3/27/2009	7/26/2015		\$200,000.00	\$0.00	8 CNG Heavy-Duty Vehicles	\$200,000.00	No
ML08036	City of South Pasadena	5/12/2009	7/11/2013		\$169,421.00	\$0.00	New CNG Station	\$169,421.00	No
ML08038	Los Angeles Department of Water a	7/16/2010	7/15/2017		\$1,050,000.00	\$0.00	42 CNG Heavy-Duty Vehicles	\$1,050,000.00	No
ML08040	City of Riverside	9/11/2009	9/10/2016		\$505,500.00	\$0.00	16 CNG Vehicles, Expand CNG Station & M	\$505,500.00	No
ML08041	City of Los Angeles, Dept of Transpo	8/6/2010	7/5/2011	12/5/2011	\$14,600.00	\$0.00	73 Vehicles (Diagnostic)	\$14,600.00	No
ML08043	City of Desert Hot Springs	9/25/2009	3/24/2016		\$25,000.00	\$0.00	1 CNG Heavy-Duty Vehicle	\$25,000.00	No
ML08049	City of Cerritos	3/20/2009	1/19/2015	2/19/2017	\$25,000.00	\$0.00	1 CNG Heavy-Duty Vehicle	\$25,000.00	No
ML08080	City of Irvine	5/1/2009	5/31/2015		\$50,000.00	\$0.00	Two Heavy-Duty Nat. Gas Vehicles	\$50,000.00	No
<b>Total: 14</b>									
<b>Declined/Cancelled Contracts</b>									
ML08051	City of Colton				\$75,000.00	\$0.00	3 CNG Heavy-Duty Vehicles	\$75,000.00	No
<b>Total: 1</b>									
<b>Closed Contracts</b>									
ML08033	County of San Bernardino Public Wo	4/3/2009	2/2/2010		\$14,875.00	\$14,875.00	70 Vehicles (Diagnostic)	\$0.00	Yes
ML08035	City of La Verne	3/6/2009	1/5/2009		\$11,925.00	\$11,925.00	53 Vehicles (Diagnostic)	\$0.00	Yes
ML08045	City of Santa Clarita	2/20/2009	6/19/2010		\$3,213.00	\$3,150.00	14 Vehicles (Diagnostic)	\$63.00	Yes
<b>Total: 3</b>									
<b>Closed/Incomplete Contracts</b>									
ML08032	City of Irvine	5/1/2009	8/31/2010		\$9,000.00	\$0.00	36 Vehicles (Diagnostic)	\$9,000.00	No
<b>Total: 1</b>									
<b>Open/Complete Contracts</b>									
ML08026	Los Angeles County Department of	7/20/2009	7/19/2016		\$250,000.00	\$250,000.00	10 LPG Heavy-Duty Vehicles	\$0.00	No
ML08029	City of Gardena	3/19/2009	1/18/2015		\$25,000.00	\$25,000.00	1 Propane Heavy-Duty Vehicle	\$0.00	Yes
ML08031	City of Claremont	3/27/2009	3/26/2013	3/26/2015	\$97,500.00	\$97,500.00	Upgrade of Existing CNG Station, Purchase	\$0.00	Yes
ML08037	City of Glendale	5/20/2009	5/19/2015		\$325,000.00	\$325,000.00	13 CNG Heavy-Duty Vehicles	\$0.00	Yes

Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
ML08039	City of Rancho Palos Verdes	6/5/2009	8/4/2015		\$50,000.00	\$50,000.00	2 LPG Transit Buses	\$0.00	Yes
ML08042	City of Ontario	5/1/2009	1/31/2016		\$175,000.00	\$175,000.00	7 CNG Heavy-Duty Vehicles	\$0.00	Yes
ML08044	City of Chino	3/19/2009	3/18/2015		\$25,000.00	\$25,000.00	1 CNG Heavy-Duty Vehicle	\$0.00	Yes
ML08046	City of Paramount	2/20/2009	2/19/2015		\$25,000.00	\$25,000.00	1 CNG Heavy-Duty Vehicle	\$0.00	Yes
ML08047	City of Culver City Transportation De	5/12/2009	8/11/2015		\$150,000.00	\$150,000.00	6 CNG Heavy-Duty Vehicles	\$0.00	Yes
ML08048	City of Santa Clarita	2/20/2009	6/19/2015		\$25,000.00	\$25,000.00	1 CNG Heavy-Duty Vehicle	\$0.00	Yes
ML08050	City of Laguna Beach	8/12/2009	4/11/2016	10/11/2016	\$75,000.00	\$75,000.00	3 LPG Trolleys	\$0.00	Yes

**Total: 11**



## 2008-09 AB2766 Contract Status Report

12/1/2011

Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
Open Contracts									
MS09001	Administrative Services Co-Op/Long	3/5/2009	6/30/2012	12/31/2013	\$225,000.00	\$150,000.00	15 CNG Taxicabs	\$75,000.00	No
Total: 1									
Declined/Cancelled Contracts									
MS09003	FuelMaker Corporation				\$296,000.00	\$0.00	Home Refueling Apparatus Incentives	\$296,000.00	No
Total: 1									
Closed Contracts									
MS09002	A-Z Bus Sales, Inc.	11/7/2008	12/31/2009	12/31/2010	\$2,520,000.00	\$2,460,000.00	Alternative Fuel School Bus Incentive Progr	\$60,000.00	No
MS09004	A-Z Bus Sales, Inc.	1/30/2009	3/31/2009		\$156,000.00	\$156,000.00	Alternative Fuel School Bus Incentive Progr	\$0.00	Yes
MS09005	Gas Equipment Systems, Inc.	6/19/2009	10/18/2010		\$71,000.00	\$71,000.00	Provide Temp. Fueling for Mountain Area C	\$0.00	Yes
MS09047	BusWest	7/9/2010	12/31/2010	4/30/2011	\$480,000.00	\$480,000.00	Alternative Fuel School Bus Incentive Progr	\$0.00	Yes
Total: 4									

## 2008-09 AB2766 Local Government Match Program Contract Status Report

12/1/2011

Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
<b>Open Contracts</b>									
ML09007	City of Rancho Cucamonga	2/26/2010	4/25/2012		\$117,500.00	\$0.00	Maintenance Facility Modification	\$117,500.00	No
ML09008	City of Culver City Transportation De	1/19/2010	7/18/2016	7/18/2017	\$200,000.00	\$175,000.00	8 Nat. Gas Heavy-Duty Vehicles	\$25,000.00	No
ML09009	City of South Pasadena	11/5/2010	12/4/2016		\$152,000.00	\$0.00	CNG Station Expansion	\$152,000.00	No
ML09010	City of Palm Springs	1/8/2010	2/7/2016		\$25,000.00	\$0.00	1 Nat. Gas Heavy-Duty Vehicle	\$25,000.00	No
ML09011	City of San Bernardino	2/19/2010	5/18/2016		\$250,000.00	\$0.00	10 Nat. Gas Heavy-Duty Vehicles	\$250,000.00	No
ML09013	City of Riverside Public Works	9/10/2010	12/9/2011		\$144,470.00	\$0.00	Traffic Signal Synchr./Moreno Valley	\$144,470.00	No
ML09014	City of Riverside Public Works	9/10/2010	12/9/2011		\$113,030.00	\$0.00	Traffic Signal Synchr./Corona	\$113,030.00	No
ML09015	City of Riverside Public Works	9/10/2010	12/9/2011		\$80,060.00	\$0.00	Traffic Signal Synchr./Co. of Riverside	\$80,060.00	No
ML09016	County of San Bernardino Public Wo	1/28/2010	3/27/2014		\$50,000.00	\$0.00	Install New CNG Station	\$50,000.00	No
ML09017	County of San Bernardino Public Wo	1/28/2010	7/27/2016		\$200,000.00	\$0.00	8 Nat. Gas Heavy-Duty Vehicles	\$200,000.00	No
ML09018	Los Angeles Department of Water a	7/16/2010	9/15/2012		\$850,000.00	\$0.00	Retrofit 85 Off-Road Vehicles w/DECS	\$850,000.00	No
ML09020	County of San Bernardino	8/16/2010	2/15/2012		\$49,770.00	\$0.00	Remote Vehicle Diagnostics/252 Vehicles	\$49,770.00	No
ML09021	City of Palm Desert	7/9/2010	3/8/2012		\$39,450.00	\$0.00	Traffic Signal Synchr./Rancho Mirage	\$39,450.00	No
ML09023	Los Angeles County Department of	12/10/2010	12/9/2017		\$50,000.00	\$0.00	2 Heavy-Duty Alternative Fuel Transit Vehic	\$50,000.00	No
ML09024	Los Angeles County Department of	10/15/2010	12/14/2012		\$400,000.00	\$0.00	Maintenance Facility Modifications	\$400,000.00	No
ML09025	Los Angeles County Department of	10/15/2010	12/14/2012		\$50,000.00	\$0.00	Remote Vehicle Diagnostics/85 Vehicles	\$50,000.00	No
ML09026	Los Angeles County Department of	10/15/2010	10/14/2017		\$250,000.00	\$0.00	5 Off-Road Vehicle Repowers	\$250,000.00	No
ML09027	Los Angeles County Department of	7/23/2010	3/22/2012		\$150,000.00	\$0.00	Freeway Detector Map Interface	\$150,000.00	No
ML09030	City of Los Angeles GSD/Fleet Servi	6/18/2010	6/17/2011		\$22,310.00	\$0.00	Remote Vehicle Diagnostics/107 Vehicles	\$22,310.00	No
ML09031	City of Los Angeles, Department of	10/29/2010	10/28/2017		\$825,000.00	\$0.00	33 Nat. Gas Heavy-Duty Vehicles	\$825,000.00	No
ML09032	Los Angeles World Airports	4/8/2011	4/7/2018		\$175,000.00	\$0.00	7 Nat. Gas Heavy-Duty Vehicles	\$175,000.00	No
ML09033	City of Beverly Hills	3/4/2011	5/3/2017		\$550,000.00	\$100,000.00	10 Nat. Gas Heavy-Duty Vehicles & CNG St	\$450,000.00	No
ML09035	City of Fullerton	6/17/2010	6/16/2017		\$450,000.00	\$50,000.00	2 Nat. Gas Heavy-Duty Vehicles & CNG Sta	\$400,000.00	No
ML09036	City of Long Beach Department of P	5/7/2010	5/6/2017		\$875,000.00	\$450,000.00	Purchase 35 LNG Refuse Trucks	\$425,000.00	No
ML09038	City of Chino	9/27/2010	5/26/2017		\$250,000.00	\$0.00	Upgrade Existing CNG Station	\$250,000.00	No
ML09041	City of Los Angeles, Bureau of Sanit	10/1/2010	9/30/2017		\$875,000.00	\$250,000.00	Purchase 35 H.D. Nat. Gas Vehicles	\$625,000.00	No
ML09042	Los Angeles Department of Water a	12/10/2010	12/9/2017		\$1,400,000.00	\$0.00	Purchase 56 Dump Trucks	\$1,400,000.00	No
ML09043	City of Covina	10/8/2010	4/7/2017		\$186,591.00	\$0.00	Upgrade Existing CNG Station	\$186,591.00	No
ML09046	City of Newport Beach	5/20/2010	5/19/2016		\$162,500.00	\$0.00	Upgrade Existing CNG Station, Maintenance	\$162,500.00	No
<b>Total: 29</b>									

**Declined/Cancelled Contracts**



## 2009-10 AB2766 Contract Status Report

12/1/2011

Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
<b>Open Contracts</b>									
MS10001	Los Angeles County MTA	3/19/2010	2/28/2011	4/28/2011	\$300,000.00	\$196,790.61	Clean Fuel Transit Bus Service to Dodger St	\$103,209.39	No
MS10005	Domestic Linen Supply Company, In	10/8/2010	7/7/2016		\$47,444.00	\$0.00	Purchase 5 Gas-Electric Hybrid Vehicles	\$47,444.00	No
MS10006	Nationwide Environmental Services	11/19/2010	4/18/2017		\$94,887.00	\$0.00	Purchase Three Street Sweepers	\$94,887.00	No
MS10007	Enterprise Rent-A-Car Company of L	7/15/2011	10/14/2017		\$18,976.00	\$17,078.40	Purchase 2 H.D. CNG Vehicles	\$1,897.60	No
MS10008	Republic Services, Inc.	12/10/2010	5/9/2017		\$123,354.00	\$111,018.60	Purchase 4 CNG Refuse Collection Vehicles	\$12,335.40	No
MS10009	Ware Disposal Company, Inc.	10/29/2010	3/28/2017		\$123,353.00	\$111,016.80	Purchase 4 CNG Refuse Trucks	\$12,336.20	No
MS10010	New Bern Transport Corporation	10/29/2010	3/28/2017		\$113,864.00	\$102,477.60	Repower 4 Heavy-Duty Vehicles	\$11,386.40	No
MS10016	Rio Hondo Community College	11/5/2010	5/4/2017		\$16,077.00	\$14,469.30	Purchase 1 CNG Shuttle Bus	\$1,607.70	No
MS10019	EDCO Disposal Corporation	11/19/2010	2/18/2017		\$379,549.00	\$341,355.43	Purchase 11 H.D. CNG Refuse Trucks	\$38,193.57	No
MS10020	American Reclamation, Inc.	5/6/2011	2/5/2018		\$18,977.00	\$0.00	Purchase 1 H.D. CNG Vehicle	\$18,977.00	No
MS10021	City of Glendora	10/29/2010	11/28/2016		\$9,489.00	\$0.00	Purchase 1 H.D. CNG Vehicle	\$9,489.00	No
MS10024	Frito-Lay North America	7/29/2011	9/28/2017		\$47,444.00	\$0.00	Purchase 5 Electric Vehicles	\$47,444.00	No
MS10025	Elham Shirazi	2/18/2011	10/17/2012		\$199,449.00	\$76,991.28	Telework Demonstration Program	\$122,457.72	No
<b>Total: 13</b>									
<b>Pending Execution Contracts</b>									
MS10003	City of Sierra Madre				\$13,555.00	\$0.00	Purchase 1 H.D. CNG Vehicle	\$13,555.00	No
MS10004	Linde LLC				\$56,932.00	\$0.00	Purchase 6 H.D. CNG Vehicles	\$56,932.00	No
MS10011	Foothill Transit Agency				\$113,865.00	\$0.00	Purchase 12 H.D. CNG Vehicles	\$113,865.00	No
MS10012	Foothill Transit Agency				\$85,399.00	\$0.00	Purchase 9 H.D. Electric Vehicles	\$85,399.00	No
MS10013	City of San Bernardino				\$68,834.00	\$0.00	Purchase 9 H.D. LNG Vehicles	\$68,834.00	No
MS10014	Serv-Wei Disposal				\$18,977.00	\$0.00	Purchase 2 H.D. CNG Vehicles	\$18,977.00	No
MS10015	County of Los Angeles Department o				\$37,955.00	\$0.00	Purchase 4 H.D. CNG Vehicles	\$37,955.00	No
MS10017	Ryder Truck Rental, Inc.				\$651,382.00	\$0.00	Purchase 60 H.D. CNG and LNG Vehicles	\$651,382.00	No
MS10023	Dix Leasing				\$105,000.00	\$0.00	Purchase 3 H.D. LNG Vehicles	\$105,000.00	No
<b>Total: 9</b>									
<b>Declined/Cancelled Contracts</b>									
MS10018	Shaw Transport Inc.				\$81,332.00	\$0.00	Purchase 6 H.D. LNG Vehicles	\$81,332.00	No
MS10022	Los Angeles World Airports				\$123,353.00	\$0.00	Purchase 13 H.D. CNG Vehicles	\$123,353.00	No
<b>Total: 2</b>									
<b>Closed Contracts</b>									
MS10002	Coachella Valley Association of Gov	6/18/2010	2/17/2011		\$400,000.00	\$400,000.00	Coachella Valley PM10 Reduction Street Sw	\$0.00	Yes

Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
Total: 1									

## 2010-11 AB2766 Contract Status Report

12/1/2011

Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
<b>Open Contracts</b>									
MS11001	Mineral LLC	4/22/2011	4/30/2013		\$94,627.00	\$58,898.95	Design, Develop, Host and Maintain MSRC	\$35,728.05	No
MS11002	A-Z Bus Sales, Inc.	7/15/2011	12/31/2011		\$475,000.00	\$75,000.00	Alternative Fuel School Bus Incentive Progr	\$400,000.00	No
MS11003	BusWest	7/26/2011	12/31/2011		\$315,000.00	\$315,000.00	Alternative Fuel School Bus Incentive Progr	\$0.00	No
MS11004	Los Angeles County MTA	9/9/2011	2/29/2012		\$450,000.00	\$0.00	Clean Fuel Transit Service to Dodger Stadium	\$450,000.00	No
MS11006	Orange County Transportation Autho	10/7/2011	2/29/2012		\$268,207.00	\$0.00	Metrolink Service to Angel Stadium	\$268,207.00	No
MS11010	Border Valley Trading	8/26/2011	10/25/2017		\$150,000.00	\$0.00	New LNG Station	\$150,000.00	No
MS11018	Orange County Transportation Autho	10/14/2011	1/31/2012		\$211,360.00	\$0.00	Express Bus Service to Orange County Fair	\$211,360.00	No
<b>Total: 7</b>									
<b>Pending Execution Contracts</b>									
MS11008	USA Waste of California, Inc.				\$125,000.00	\$0.00	Expansion of Existing LCNG Station	\$125,000.00	No
MS11009	Waste Management Collection and				\$125,000.00	\$0.00	Expansion of Existing LCNG Station	\$125,000.00	No
MS11011	EDCO Disposal Corporation				\$100,000.00	\$0.00	New CNG Station - Signal Hill	\$100,000.00	No
MS11012	EDCO Disposal Corporation				\$100,000.00	\$0.00	New CNG Station - Buena Park	\$100,000.00	No
MS11013	Go Natural Gas, Inc.				\$150,000.00	\$0.00	New CNG Station - Huntington Beach	\$150,000.00	No
MS11014	Go Natural Gas, Inc.				\$150,000.00	\$0.00	New CNG Station - Santa Ana	\$150,000.00	No
MS11015	Go Natural Gas, Inc.				\$150,000.00	\$0.00	New CNG Station - Inglewood	\$150,000.00	No
MS11016	CR&R, Inc.				\$150,000.00	\$0.00	New CNG Station - Perris	\$150,000.00	No
MS11017	CR&R, Inc.				\$100,000.00	\$0.00	Expansion of existing station - Garden Grov	\$100,000.00	No
MS11019	City of Corona, Fleet Services Divisi				\$225,000.00	\$0.00	Expansion of Existing CNG Station	\$225,000.00	No
MS11046	Louis Castro				\$40,000.00	\$0.00	Repower One Heavy-Duty Vehicle	\$40,000.00	No
MS11047	Ivan Borjas				\$40,000.00	\$0.00	Repower One Heavy-Duty Vehicle	\$40,000.00	No
MS11048	Phase II Transportation				\$1,080,000.00	\$0.00	Repower 27 Heavy-Duty Vehicles	\$1,080,000.00	No
MS11049	Ruben Caceras				\$40,000.00	\$0.00	Repower One Heavy-Duty Vehicle	\$40,000.00	No
MS11050	Carlos Arrue				\$40,000.00	\$0.00	Repower One Heavy-Duty Vehicle	\$40,000.00	No
MS11051	Francisco Vargas				\$40,000.00	\$0.00	Repower One Heavy-Duty Vehicle	\$40,000.00	No
MS11052	Krisda Inc				\$120,000.00	\$0.00	Repower Three Heavy-Duty Vehicles	\$120,000.00	No
MS11053	Jose Ivan Soltero				\$40,000.00	\$0.00	Repower One Heavy-Duty Vehicle	\$40,000.00	No
MS11054	Albino Meza				\$40,000.00	\$0.00	Repower One Heavy-Duty Vehicle	\$40,000.00	No
MS11055	KEC Engineering				\$250,000.00	\$0.00	Repower 5 H.D. Off-Road Vehicles	\$250,000.00	No
MS11056	The Better World Group				\$98,418.00	\$0.00	Programmatic Outreach Services	\$98,418.00	No
MS11057	Riverside County Transportation Co				\$100,000.00	\$0.00	Develop and Implement 511 "Smart Phone"	\$100,000.00	No



Cont.#	Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
MS11058	Los Angeles Service Authority for Fr				\$123,395.00	\$0.00	Develop and Implement 511 "Smart Phone"	\$123,395.00	No
MS11059	Go Natural Gas				\$150,000.00	\$0.00	New Public Access CNG Station - Paramou	\$150,000.00	No
MS11060	Rowland Unified School District				\$175,000.00	\$0.00	New Limited Access CNG Station	\$175,000.00	No
MS11061	Eastern Municipal Water District				\$11,659.00	\$0.00	Retrofit One Off-Road Vehicle under Showc	\$11,659.00	No
MS11062	Load Center				\$100,449.00	\$0.00	Retrofit Six Off-Road Vehicles under Showc	\$100,449.00	No
MS11063	Standard Concrete Products				\$310,825.00	\$0.00	Retrofit Eight Off-Road Vehicles under Show	\$310,825.00	No

**Total: 28**

# 2010-11 AB2766 Local Government Match Program Contract Status Report

12/1/2011

Cont.#		Contractor	Start Date	Original End Date	Amended End Date	Contract Value	Remitted	Project Description	Award Balance	Billing Complete?
Open Contracts										
ML11007		Coachella Valley Association of Gov	7/29/2011	7/28/2012		\$250,000.00	\$0.00	Regional PM10 Street Sweeping Program	\$250,000.00	No
Total: 1										
Pending Execution Contracts										
ML11020		City of Indio				\$30,000.00	\$0.00	Retrofit one H.D. Vehicles w/DECS, repower	\$30,000.00	No
ML11021		City of Whittier				\$210,000.00	\$0.00	Purchase 7 Nat. Gas H.D. Vehicles	\$210,000.00	No
ML11022		City of Anaheim				\$175,000.00	\$0.00	Install CNG Fueling Station, purchase 5 H.D	\$175,000.00	No
ML11023		City of Rancho Cucamonga				\$260,000.00	\$0.00	Expand Existing CNG Station, 2 H.D. Vehicl	\$260,000.00	No
ML11024		County of Los Angeles Department o				\$150,000.00	\$0.00	Purchase 5 Nat. Gas H.D. Vehicles	\$150,000.00	No
ML11025		County of Los Angeles Department o				\$150,000.00	\$0.00	Purchase 5 Nat. Gas H.D. Vehicles	\$150,000.00	No
ML11026		City of Redlands				\$90,000.00	\$0.00	Purchase 3 Nat. Gas H.D. Vehicles	\$90,000.00	No
ML11027		City of Los Angeles, Dept. of Genera				\$300,000.00	\$0.00	Maintenance Facility Modifications	\$300,000.00	No
ML11028		City of Glendale				\$300,000.00	\$0.00	Purchase 10 H.D. CNG Vehicles	\$300,000.00	No
ML11029		City of Santa Ana				\$265,500.00	\$0.00	Expansion of Existing CNG Station, Install N	\$265,500.00	No
ML11030		City of Fullerton				\$109,200.00	\$0.00	Purchase 2 Nat. Gas H.D. Vehicles, Retrofit	\$109,200.00	No
ML11031		City of Culver City Transportation De				\$300,000.00	\$0.00	Purchase 10 H.D. Nat. Gas Vehicles	\$300,000.00	No
ML11032		City of Gardena				\$102,500.00	\$0.00	Modify Maint. Facility, Expand CNG station,	\$102,500.00	No
ML11033		City of Los Angeles, Bureau of Sanit				\$1,080,000.00	\$0.00	Purchase 36 LNG H.D. Vehicles	\$1,080,000.00	No
ML11034		City of Los Angeles, Department of				\$630,000.00	\$0.00	Purchase 21 H.D. CNG Vehicles	\$630,000.00	No
ML11035		City of La Quinta				\$25,368.00	\$0.00	Retrofit 3 On-Road Vehicles w/DECS	\$25,368.00	No
ML11036		City of Riverside				\$670,000.00	\$0.00	Install New CNG Station, Purchase 9 H.D. N	\$670,000.00	No
ML11037		City of Anaheim				\$300,000.00	\$0.00	Purchase 12 Nat. Gas H.D. Vehicles	\$300,000.00	No
ML11038		City of Santa Monica				\$400,000.00	\$0.00	Maintenance Facility Modifications	\$400,000.00	No
ML11039		City of Ontario				\$180,000.00	\$0.00	Purchase 6 Nat. Gas H.D. Vehicles	\$180,000.00	No
ML11040		City of South Pasadena				\$30,000.00	\$0.00	Purchase 1 Nat. Gas H.D. Vehicle	\$30,000.00	No
ML11041		City of Santa Ana				\$265,000.00	\$0.00	Purchase 7 LPG H.D. Vehicles, Retrofit 6 H.	\$265,000.00	No
ML11042		City of Chino				\$35,077.00	\$0.00	Purchase 1 Nat. Gas H.D. Vehicle, Repower	\$35,077.00	No
ML11043		City of Hemet Public Works				\$60,000.00	\$0.00	Purchase 2 H.D. Nat. Gas Vehicles	\$60,000.00	No
ML11044		City of Ontario				\$400,000.00	\$0.00	Expand Existing CNG Station	\$400,000.00	No
ML11045		City of Newport Beach				\$30,000.00	\$0.00	Purchase 1 Nat. Gas H.D. Vehicle	\$30,000.00	No
Total: 26										

BOARD MEETING DATE: January 6, 2012

AGENDA NO. 20

REPORT: California Air Resources Board Monthly Meeting

SYNOPSIS: The California Air Resources Board met on December 16, 2011.  
The following is a summary of this meeting.

RECOMMENDED ACTION:  
Receive and file.

Ronald O. Loveridge, Member  
SCAQMD Governing Board

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The Air Resources Board's (ARB or Board) December meeting was held in Sacramento. Key items presented are summarized below.

**1. Public Meeting to Hear a Report on the First Formal Review of the Low Carbon Fuel Standard Program, and an Update on the Low Carbon Fuel Standard (LCFS) Sustainability Provisions**

Staff presented to the Board a report summarizing a review of the LCFS program. Staff briefed the Board on the LCFS program implementation as well as program enhancements that would ensure the program's long-term success. The Board heard public testimony about the clean-fuel investments that the LCFS program is bringing to California.

**2. Public Hearing to Consider Amendments to the LCFS Regulation**

The Board approved amendments to clarify, streamline, and improve certain provisions of the LCFS regulation. The Board approved amendments to the LCFS to more effectively address lifecycle carbon emissions from high carbon intensity crude oil. The Board also approved amendments to clearly designate the regulated parties for various electric vehicle (EV) charging scenarios and to maximize the number of electricity-generated credits available for use in the LCFS.

### **3. Public Meeting to Consider Adoption of Proposition 1B Grants to Reduce Emissions From Goods Movement**

The Board approved a list of grant awards for local agency projects to reduce freight-related emissions in the four trade corridors.

**AQMD Staff Comments/Testimony:** A comment letter signed by Chairman Burke was submitted to the CARB Board expressing three concerns. AQMD staff provided oral testimony reiterating the concerns.

The first concern is the need for CARB to keep to its original funding targets for the four corridors. For the current round of funding, CARB staff proposed a significant amount of funding to the Bay Area corridor to replace drayage trucks prior to the requirements in the statewide Drayage Truck Regulation. The AQMD has been supportive of the statewide Regulation. As such, a focus was placed on replacing older diesel drayage trucks with 2007 or newer diesel or alternative fueled trucks rather than providing PM retrofit devices. Under the CARB staff proposed funding allocation, the 55% funding target for the South Coast region will not be met. A request was made to the CARB Board to reaffirm its commitment to meet the original 55% funding target in the next round of funding if the current round of funding is adopted by the CARB Board as proposed by their staff. The CARB Board included language in the Adopting Resolution affirming its commitment to meet the original funding targets in the next round of Proposition 1B funding.

The second concern raised was the need to quickly reallocate any unused funds. CARB staff acknowledged that once each corridor completed their initial round of awards, any remaining funds will be evaluated and reallocated as soon as possible.

The third concern was the focus of the reuse program in the San Joaquin Valley. The AQMD commented that the reuse program should be one program for all four corridors. AQMD staff recognized that the San Joaquin Valley has the greatest number of agricultural trucks. However, given that there are agricultural trucks and low-use specialty vehicles in the other corridors, it is important that all eligible trucks be placed on equal footing and given an opportunity to replace their older diesel trucks with a retrofitted truck. CARB staff responded that their recommendation is to begin the program in the San Joaquin Valley and any remaining retrofitted trucks can go to the other corridors. In addition, San Joaquin Valley offered to administer the program with local funds and air districts from the other trade corridors could participate with the San Joaquin Valley. The CARB Board adopted their staff recommendation.

**4. Public Hearing to Consider Amendments to California's Small Off-Road Engine and Tier 4 Off-Road Compression-Ignition Engine Regulations and Test Procedures; and Amendments to the Exhaust Emission Certification Test Fuel For Off-Road Spark-Ignition Engines, Equipment, and Vehicles**

The Board approved amendments to align California's small off-road engine and tier 4 off-road compression-ignition engine regulations and test procedures with the current federal versions. The Board also approved the use of 10 percent ethanol test fuel for the exhaust emission certification of off-road spark-ignition engines.

**Attachment**

CARB December 16, 2011 Meeting Agenda

## LOCATION:



Air Resources Board  
1001 I Street, 2nd Floor  
Byron Sher Auditorium  
Sacramento, California 95814  
<http://www.calepa.ca.gov/EPAbldg/location.htm>

# PUBLIC MEETING AGENDA

## December 16, 2011

## Webcast

## Electronic Board Book

This facility is accessible by public transit. For transit information, call:  
(916) 321-BUSS, website <http://www.sacrt.com/>  
(This facility is accessible to persons with disabilities.)

**TO SUBMIT WRITTEN COMMENTS ON  
AN AGENDA ITEM IN ADVANCE OF  
THE MEETING GO TO:**

<http://www.arb.ca.gov/lispub/comm/bclist.php>

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**Friday, December 16, 2011**  
**9:00 a.m.**

### **CONSENT CALENDAR:**

All items on the consent calendar will be voted on by the Board immediately after the start of the public meeting. Any item may be removed from the consent calendar by a Board member or by someone in the audience who would like to speak on that item. The following item is on the consent calendar:

<b><u>Consent Item #</u></b>	<b><u>Agenda Topic</u></b>
	None.

### **DISCUSSION ITEMS:**

**Note:** The following agenda items may be heard in a different order at the Board meeting.

**Agenda**  
**Item #**

**Agenda Topic**

- 11-10-1 Public Meeting to Hear a Report on the First Formal Review of the Low Carbon Fuel Standard Program, and an Update on the Low Carbon Fuel Standard Sustainability Provisions**  
*Staff will present to the Board a report summarizing a review of the Low Carbon Fuel Standard (LCFS) program, as required by the LCFS regulation. Staff will also update the Board on the development of the LCFS sustainability provisions.*  
[More Information](#)      [Staff Presentation](#)
- 11-10-2 Public Hearing to Consider Amendments to the Low Carbon Fuel Standard Regulation**  
*Staff will present for the Board's consideration proposed amendments to the LCFS regulation.*  
[More Information](#)      [Staff Presentation](#)
- 11-10-3 Public Meeting to Consider Adoption of Proposition 1B Grants to Reduce Emissions From Goods Movement**  
*Staff will present for the Board's consideration a list of grant awards for local agency projects to reduce freight-related emissions in the four trade corridors.*  
[More Information](#)      [Staff Presentation](#)
- 11-10-4 Public Hearing to Consider Amendments to California's Small Off-Road Engine and Tier 4 Off-Road Compression-Ignition Engine Regulations and Test Procedures; and Amendments to the Exhaust Emission Certification Test Fuel For Off-Road Spark-Ignition Engines, Equipment, and Vehicles**  
*Staff will present for the Board's consideration proposed amendments to align the small off-road engine and tier 4 off-road compression-ignition engine regulations and test procedures with the current federal versions, without affecting the stringency of the emission standards. Staff will also present proposed amendments for the use of 10 percent ethanol on-road motor vehicle test fuel for the exhaust emission certification of off-road spark-ignition engines.*  
[More Information](#)      [Staff Presentation](#)

**CLOSED SESSION – LITIGATION**

**The Board will hold a closed session, as authorized by Government Code section 11126(e), to confer with, and receive advice from, its legal counsel regarding the following pending or potential litigation:**

*Pacific Merchant Shipping Association v. Goldstene, U.S. District Court (E.D. Cal. Sacramento),  
Case No. 2:09-CV-01151-MCE-EFB.*

*POET, LLC, et al. v. Goldstene, et al., Superior Court of California (Fresno County),  
Case No. 09CECG04850.*

*Rocky Mountain Farmers Union, et al. v. Goldstene, U.S. District Court (E.D. Cal. Fresno), Case No. 1:09-CV-02234-LJO-DLB.*

*National Petrochemical & Refiners Association, et al. v. Goldstene, et al., U.S. District Court (E.D. Cal. Fresno) Case No. 1:10-CV-00163-AWI-GSA.*

*Association of Irrigated Residents, et al. v. California Air Resources Board, Superior Court of California (San Francisco County), Case No. CPF-09-509562.*

*Association of Irrigated Residents, et al. v. U.S. E.P.A., 2011 WL 310357 (C.A.9), (Feb. 2, 2011).*

*California Dump Truck Owners Association v. California Air Resources Board, U.S. District Court (E.D. Cal. Sacramento) Case No. 2:11-CV-00384-MCE-GGH.*

*Engine Manufacturers Association v. California Air Resources Board, Sacramento Superior Court, Case No. 34-2010-00082774.*

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## **OPPORTUNITY FOR MEMBERS OF THE BOARD TO COMMENT ON MATTERS OF INTEREST**

Board members may identify matters they would like to have noticed for consideration at future meetings and comment on topics of interest; no formal action on these topics will be taken without further notice.

## **OPEN SESSION TO PROVIDE AN OPPORTUNITY FOR MEMBERS OF THE PUBLIC TO ADDRESS THE BOARD ON SUBJECT MATTERS WITHIN THE JURISDICTION OF THE BOARD**

Although no formal Board action may be taken, the Board is allowing an opportunity to interested members of the public to address the Board on items of interest that are within the Board's jurisdiction, but do not specifically appear on the agenda. Each person will be allowed a maximum of three minutes to ensure that everyone has a chance to speak.

## **TO SUBMIT WRITTEN COMMENTS ON AN AGENDA ITEM IN ADVANCE OF THE MEETING GO TO:**

<http://www.arb.ca.gov/lispub/comm/bclist.php>



## **ONLINE SIGN-UP:**

**You can sign up online in advance to speak at the Board hearing when you submit an electronic Board item comment. For more information go to:**

**<http://www.arb.ca.gov/board/online-signup.htm>**

## **IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT THE CLERK OF THE BOARD**

1001 I Street, 23rd Floor, Sacramento, CA 95814, (916) 322-5594

ARB Homepage: <http://www.arb.ca.gov>

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### **To request a special accommodation or language needs for any of the following:**

- An interpreter to be available at the hearing.
- Have documents available in an alternate format or another language.
- A disability-related reasonable accommodation.

Please contact the Clerk of the Board at (916) 322-5594 or by facsimile at (916) 322-3928 as soon as possible, but no later than 7 business days before the scheduled Board hearing.

TTY/TDD/Speech to Speech users may dial 711 for the California Relay Service.

### **Para solicitar alguna comodidad especial o necesidad de otro idioma para alguna de las siguientes:**

- Un intérprete que esté disponible en la audiencia
- Tener documentos disponibles en un formato alternativo u otro idioma.
- Una acomodación razonable relacionados con una incapacidad.

Por favor llame a la oficina del Secretario del Consejo de Recursos Atmosféricos al (916) 322-5594 o envíe un fax al (916) 322-3928 no menos de 7 días laborales antes del día programado para la audiencia. Para el Servicio Telefónico de California para Personas con Problemas Auditivos, ó de teléfonos TDD pueden marcar al 711.

**SMOKING IS NOT PERMITTED AT MEETINGS OF THE CALIFORNIA AIR  
RESOURCES BOARD**

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BOARD MEETING DATE: January 6, 2012

AGENDA NO. 22

PROPOSAL: Outreach Program for the 2012 Air Quality Management Plan

SYNOPSIS: In conjunction with the development of the 2012 Air Quality Management Plan (AQMP), staff has prepared a 2012 AQMP Outreach Program to inform and engage a wide range of stakeholders on the requirements, approach, goals, and impacts of the 2012 AQMP. In addition to on-going AQMP Advisory Group and Scientific, Technical, Modeling & Peer Review Advisory Group meetings, and mandatory regional workshops/hearings, staff is proposing enhanced outreach to all stakeholders in the region. (Informational only)

COMMITTEE: No Committee Review

RECOMMENDED ACTION:  
Receive and file

Barry R. Wallerstein, D.Env.  
Executive Officer

EC:PF:MK

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### **Background**

The development of the 2012 Air Quality Management Plan (AQMP) will be a regional and multi-agency effort (South Coast Air Quality Management District Governing Board, California Air Resources Board, Southern California Association of Governments and U.S. Environmental Protection Agency). The AQMP will include control strategies and contingency measures that demonstrate attainment with National Ambient Air Quality Standards by specified deadlines. The 2012 AQMP will incorporate the latest scientific and technological information and planning assumptions, including the 2012 Regional Transportation Plan/Sustainable Communities Strategy and updated emission inventory and modeling methodologies for various source categories.

To assist in the development of the 2012 AQMP, an Advisory Group, drawn from a cross-section of stakeholders representing large and small businesses, government

agencies, environmental and community groups, and academia, has been formed to provide feedback and recommendations on AQMP policy and strategy questions. In addition, a Scientific, Technical, and Modeling Peer Review (STMPR) Advisory Group has been convened to make recommendations on air quality modeling, emissions inventory and socioeconomic modeling and analysis. Both Advisory Group meetings are scheduled to meet monthly throughout the AQMP development process and are open to the public. There is also on-going close coordination between U.S. EPA, CARB, SCAG and AQMD staff on all elements of AQMP development.

- The 2012 AQMP Outreach Program is designed to go above and beyond the usual Advisory Group, public workshop and public hearing mandates in order to more broadly disseminate information and engage a wider range of stakeholders. The approach aims to achieve multiple goals, such as: Reach a broader and more diverse audience
- Ensure greater transparency in the process
- Facilitate greater participation and engagement
- Develop partnerships with stakeholder groups

The outreach approach will be designed to help formulate the policy debate by ensuring all stakeholders share a common set of facts, understand the federal requirements, and thus have adequate information to make informed comments for decision makers to consider.

The clean air goals in the 2012 AQMP will not be achieved solely by the actions of the AQMD. The proposed control strategy will require participation from affected businesses, local communities, and government agencies. Achieving the mutual goals of protecting public health, providing environmental equity, and promoting robust and sustainable economic development can only be accomplished through strong partnerships. Thus, it is critical to inform and engage a wide range of stakeholders on the requirements, approach, goals, and impacts of the 2012 AQMP.

Staff is presenting this informational item in order to receive feedback from the Governing Board on the proposed 2012 AQMP Outreach Program outlined below.

## **Proposal**

### **Audience**

Stakeholders for the 2012 AQMP include community members, businesses, trade associations, environmental organizations, health advocates and local, regional, state and federal government agencies and organizations. Attachment 1 lists specific stakeholder groups targeted for outreach efforts. The stakeholders will be notified of all Advisory Group meetings, workshops and hearings, as well as invited to participate in various activities designed to assist in the communication and development of the 2012 AQMP.

### Format

A variety of formats and communication outreach methods will be utilized as part of this Outreach Program. The formats used for specific activities will be tailored to the particular audience or venue where information is being presented and discussed.

Formats and methods include:

- Workshops
- Air Quality Institutes
- Open houses and Town Hall meetings
- Panel discussions
- Invited presentations at conferences, seminars, board/council meetings, etc.
- Printed materials such as the Advisor and collaterals
- Dissemination of information through stakeholder newsletters, websites and other communication tools
- Clean Air Connection email blasts
- Distribution at the AQMD Public Information Counter
- Website including postings and links from partner organizations
- Social Media
- Telephone “Hold” Message
- Radio Telephone Operators

### Outreach Activities

As in previous AQMPs, multiple public workshops will be held throughout the AQMD’s jurisdiction. Mandatory public hearings will also be held as required. In addition, the following specific activities are planned to fulfill the goals of the Outreach Program:

- Key agency coordination meetings (CARB, U.S. EPA, SCAG)
- Local Stakeholder meetings
- Topical workshops
- Public agency engagement (CEC, CPUC, solid waste agencies, sanitation districts, transportation agencies, etc.)
- Focus groups
- Peer Review
- General public outreach

#### *Key Agency Coordination Meetings*

Throughout the 2012 AQMP development process, staff has and continues to hold frequent coordination meetings with the key AQMP partner agencies (CARB, U.S. EPA and SCAG). Meetings occur several times per month to raise and discuss technical and control strategy issues.

### *Local Stakeholder Meetings*

Meetings with specific stakeholder groups will be held to communicate the purpose and scope of the 2012 AQMP, discuss the concerns of the representatives, solicit recommendations for inclusion, and gather further outreach suggestions.

Stakeholders include all those listed in Attachment 1, such as regional councils of governments (COGs), county transportation commissions, labor organizations, Chambers of Commerce, business councils, trade groups and associations, environmental and health advocates, community groups, and faith-based organizations. Outreach methods will include agendaized AQMD presentations at City Council and Board of Supervisor meetings, participation at conferences and seminars, and face-to-face meetings as requested.

### *Topical Workshops*

In addition to the regional workshops/hearings, additional workshops are being considered that focus on specific AQMP related topics such as economics, incentives, employment impacts, health benefits, modeling issues, climate/energy, transportation, environmental justice, and goods movement. Attendance at the public workshops would be comprised of experts and interested parties from various stakeholder groups, but focused on a particular aspect of the AQMP. These workshops will provide a forum where different opinions on specific topics could be shared and discussed.

### *Focus Groups*

Focus groups will be formed to address specific issues including the evaluation and development of certain control measures. The control measure topics include, but are not limited to, coatings and solvents, petroleum operations, combustion sources, energy, transportation, mobile sources and incentive programs. The focus groups will be comprised of experts for the particular control technology under evaluation, including equipment manufacturers and suppliers. The focus groups will meet as often as necessary to provide any recommendations.

### *Peer Review*

In addition to the feedback provided by the 2012 AQMP Advisory Group and the STMPR Advisory Group, additional expert peer review of specific 2012 AQMP components will be sought. One specific example will be a focused peer review of the socioeconomic/health impacts and a cost-benefit analysis of the 2012 AQMP and associated control strategy. Another review could be focused on modeling methods and assumptions, including growth and emissions projections. Expert reviewers will be selected from a diverse range of institutions and perspectives. All results of the peer reviews will be made public to ensure full transparency and open discussion of any issues raised.

### *General Public Outreach*

The 2012 AQMP will be included in AQMD's extensive community outreach activities – including, but not limited to Town Hall, Title V and other meetings -- to promote better public awareness of its' purpose and significance. Non-technical brochures will be created and distributed at all events at which AQMD participates. Furthermore, web-based and social media communication tools will be utilized to widely distribute AQMP information and provide an opportunity for interactive feedback.

### Schedule

The schedule for implementation of the Outreach Program will be concurrent with development of the 2012 AQMP. Most of the outreach activities will begin in March 2012, as the AQMP strategy begins to take shape, and will continue through Governing Board Approval and submittal to CARB and U.S. EPA at the end of 2012. A timeline for 2012 AQMP development and outreach is provided in Attachment 2.

### **Attachments**

1. Stakeholders Targeted for Outreach Efforts
2. 2012 AQMP Development and Outreach Schedule

# ATTACHMENT 1

## Stakeholders Targeted for Outreach Efforts

Public Agencies	<ul style="list-style-type: none"><li>• CARB</li><li>• California Energy Commission</li><li>• California Public Utility Commission</li><li>• CalISO</li><li>• CalWaste</li><li>• U.S. EPA</li><li>• U.S. Department of Transportation</li><li>• U.S. Department of Energy</li></ul>
Local/Regional Government	<ul style="list-style-type: none"><li>• Councils of Governments</li><li>• SCAG</li><li>• Transportation Commissions</li><li>• Local Planning Departments</li><li>• Building and Fire Departments</li><li>• Tribal Governments</li></ul>
Special Districts	<ul style="list-style-type: none"><li>• School Districts</li><li>• Sanitation Districts</li><li>• Water Districts</li></ul>
Health Advocates	<ul style="list-style-type: none"><li>• Medical Practitioners</li><li>• Health Researchers</li><li>• Health Providers</li></ul>
Community/Health/Environmental Groups	<ul style="list-style-type: none"><li>• Public Health Departments</li><li>• Environmental Justice Organizations</li><li>• Environmental Advocacy Groups</li><li>• Faith-based Organizations</li><li>• Labor Organizations</li></ul>
Academia	<ul style="list-style-type: none"><li>• Universities</li><li>• National Laboratories</li></ul>
Business	<ul style="list-style-type: none"><li>• Energy Industry (Electricity, Petroleum Refining, Natural Gas, Biofuels, Renewables, etc.)</li><li>• Green Technologies</li><li>• Goods Movement and Logistics (Warehousing, Trucking, Railroads, Ports)</li><li>• Dairy Operations</li><li>• Printing/Coating Industry</li><li>• Airport/Airline Operations</li><li>• Engine Technologies</li><li>• After-treatment Technologies</li><li>• Building and Construction Industry</li><li>• Chambers of Commerce/ Business Councils</li><li>• Small Businesses</li></ul>

## ATTACHMENT 2

### 2012 AQMP Development and Outreach Schedule

#### 2012 AQMP DEVELOPMENT MILESTONES

	Release CEQA NOP/IS (30-days)	Public Consultation / CEQA Scoping	Adoption of 2012 RTP by SCAG		Release Draft 2012 AQMP and CARB Control Strategy		Release Socioeconomic Assessment  and Revised Draft Final 2012 AQMP	SCAQMD Governing Board Adoption	CARB Approval Period	EPA SIP Submittal
					CEQA PEIR (45 days)					

January 2012	February 2012	March 2012	April 2012	May 2012	June 2012	July 2012	August 2012	September 2012	October 2012	November 2012	December 2012
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#### 2012 AQMP OUTREACH PROGRAM

<i>General Public Outreach</i>								
<i>Key Agency Coordination Meetings and Public Agency Engagement</i>								
<i>AQMP Advisory/Scientific, Technical and Modeling Peer Review Group Meetings</i>								
	<i>Local Stakeholder Meetings</i>							
	<i>Topical Workshops</i>							
	<i>Focus Groups</i>							
				<i>Peer Review</i>				
					<i>Regional Workshops</i>			
						<i>Regional Hearings</i>		



BOARD MEETING DATE: January 6, 2012

AGENDA NO. 24

PROPOSAL: Amend Salary Resolution and District Contracts to Incorporate Changes Required by AB 1344

SYNOPSIS: Effective January 1, 2012, AB 1344 requires that employment contracts between local agencies and agency staff and non-contractual provisions must provide for reimbursement to the agency in certain instances when an employee has committed a crime involving abuse of office or position. AB 1344 also limits cash settlements paid to contract employees if they are terminated. To comply with the requirements of AB 1344, staff is recommending changes to the language in contracts and the Salary Resolution provisions for executive staff.

COMMITTEE: No Committee Review

**RECOMMENDED ACTION:**

1. Adopt a Resolution (Attachment A) making changes to the District's Salary Resolution as set forth in Attachment B.
2. Approve changes to the contracts for the Executive Officer and General Counsel as set forth in Attachments C and D.

Barry R. Wallerstein  
Executive Officer

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**Background**

Effective January 1, 2012, AB 1344 requires that certain employment contracts between local public agencies and agency staff must include reimbursement provisions. Staff who are convicted of a crime involving abuse of office or position must reimburse the agency for: (1) "paid leave" salary paid pending an investigation; (2) funds paid for a legal criminal defense; and (3) cash settlements paid upon termination of the staff person's contract. Reimbursement provisions must be included in staff contracts only if the contract provides for payment of the item in question. Also, if a non-contractual provision provides for payments of the types listed above, reimbursement also is required.

The only type of payment addressed by AB 1344 that may be paid to District staff is severance paid to an executive staff member who is terminated for a reason other than for cause. The Executive Officer and General Counsel, the only executive staff with employment contracts, have provisions in their contracts regarding severance. Severance for other executive staff is addressed in the District's Salary Resolution.

To comply with the requirements of AB 1344, staff is recommending including the following language in District contracts with the Executive Officer and General Counsel:

*Notwithstanding the foregoing, the [Executive Officer or General Counsel] shall comply with the provisions of Government Code §§ 53243 through 53243.4, as in effect January 1, 2012.*

Additionally, AB 1344 limits cash settlements on termination of contract employees to salary and health benefits. Staff is therefore recommending including the following language in contracts for the Executive Officer and General Counsel:

*Notwithstanding the foregoing, any severance payment shall comply with Government Code §§ 3511.2, 53260 and 53261, as in effect January 1, 2012.*

Attached are the District's Salary Resolution and District contracts for Executive Officer and General Counsel incorporating these changes in strike-through version.

Also, the Salary Resolution provisions relating to severance shall be modified by adding the following:

*Notwithstanding any other provision of this Resolution, employees and former employees must comply with the provisions of Government Code §§ 53243 through 53243.4, as in effect January 1, 2012.*

## **Proposal**

Amend the District's Salary Resolution and District employment contracts with the Executive Officer and General Counsel to incorporate changes to employee contracts as required by AB 1344.

## **Resource Impacts**

None.

## **Attachments**

Attachment A – Resolution

Attachment B – Amendment to Salary Resolution

Attachment C – Amendment to Executive Officer Contract

Attachment D – Amendment to General Counsel Contract

**ATTACHMENT A**

**RESOLUTION NO. 12-**

A Resolution of the South Coast Air Quality Management District Board amending AQMD's *Salary Resolution* to add Article 6, Section 60(e) – Severance, to comply with requirements of AB 1344.

**WHEREAS**, the AQMD Board finds it appropriate to add to AQMD's *Salary Resolution* Article 6, Section 60(e) – Severance.

**THEREFORE, BE IT RESOLVED** that the Board of the South Coast Air Quality Management District, State of California, in regular session assembled on January 6, 2011, does hereby add Article 6, Section 60(e) – Severance.

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*Date*

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*Clerk of the Board*

## **ATTACHMENT B**

### AMENDMENT TO SALARY RESOLUTION, ARTICLE 6, SECTION 60(e)

- e. Notwithstanding any other provision of this Resolution, employees and former employees must comply with the provisions of Government Code §53243 through §53243.4, as in effect January 1, 2012.

## ATTACHMENT C

### AMENDMENT TO EXECUTIVE MANAGEMENT AGREEMENT between SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT and EXECUTIVE OFFICER, SECTION IV(B)(5) – SEVERANCE:

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- B. Severance. In consideration of Appointing Authority having the right to terminate Executive Officer pursuant to Paragraph A(4), if Executive Officer is terminated by the District pursuant to Paragraph A(4) or Executive Officer terminates his employment because the Appointing Authority decides to significantly change Executive Officer's job responsibilities as defined in Section II, Duties of Executive Officer or to reduce Executive Officer's salary or other financial benefits by a greater percentage than an applicable across-the-board reduction for the majority of management employees, and such decision by Appointing Authority is in writing, Executive Officer shall be entitled to receive three months of severance pay; however, at such time as Executive Officer has completed five years of service with the District, he shall be entitled to receive six months of severance pay. If at the end of this six months the Executive Officer is not employed, he shall be entitled to an additional six months severance pay, as long as he remains unemployed. In no event shall the total severance pay exceed twelve months.
1. Severance pay shall include payment of full salary, payment of life, health, dental, and other District-paid insurance premiums, and payment for retirement contribution. Severance pay shall not include accrual of sick leave and/or vacation or any other benefits during the severance pay period. Executive Officer may elect to receive severance compensation in one lump sum or, with the District's consent, in biweekly payments throughout the severance period. In the event Executive Officer elects to receive a lump sum payment of severance he will receive a lump sum payment equal to the contribution the District would have made on behalf of the Executive Officer for insurance and retirement for the term of the severance period. Any lump sum payment for severance pay will be paid with the Executive Officer's final paycheck or, if not practicable to the District, on the subsequent pay day. Should the Executive Officer elect to receive severance pay in biweekly payments, and the District consents thereto, the District will pay contributions for insurance and retirement benefits directly to the insurance and retirement benefit providers. The District shall not incur any liability for interest to Executive Officer on severance pay when the Executive Officer exercises the option to accept biweekly severance payment for the severance pay period. Any severance pay made after the first six months in accordance with Paragraph IV(B) above shall be paid bi-weekly.
  2. The Executive Officer may take, in a lump sum at the time of his termination, payment for any unused vacation, sick leave, and/or other leave time, including previously accrued and unused overtime in accordance with Paragraph I(D)(11)(f)

above, paid to management employees at termination. However, if Executive Officer elects to receive, and the District agrees to permit Executive Officer to receive, severance pay in bi-weekly payments for the severance pay period all such unused vacation, sick leave, and/or other leave time will be paid in a lump sum to Executive Officer at the end of the severance period. The District shall not incur any liability to Executive Officer for interest on such accrued vacation, sick leave, and/or other leave time that is not paid to Executive Officer until the end of the severance pay period.

3. Severance is in recognition of past service since the District has decided to terminate or not to renew Executive Officer's Agreement. Severance shall not apply to any Executive Officer who is terminated for reasons set forth in Paragraphs 1, 2, 3, 5, 6 (except as set forth herein), 7, 8, 9, 10, and 11.
4. If Executive Officer has five or more years of service with the District and is entitled to receive severance pay, he also shall be entitled to request outplacement services. If Executive Officer elects to receive severance payments throughout the severance period, Executive Officer may request outplacement services at any time during the severance period. District will pay for these services in an amount not to exceed ten percent of Executive Officer's annual base salary. The Executive Officer may select the outplacement firm, which shall be an established firm whose business is to secure positions in the Executive Officer's employment field. The Executive Officer's selection of an outplacement firm is subject to approval by the District. Any payment made by the District in accordance with this Agreement will be made directly to the outplacement firm.
5. Notwithstanding the foregoing:
  - a) any severance payment shall comply with Government Code §§ 3511.2, 53260 and 53261, as in effect January 1, 2012;
  - b) the Executive Officer shall comply with the provisions of Government Code §§ 53243 through 53243.4, as in effect January 1, 2012.

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## ATTACHMENT D

### AMENDMENT TO EXECUTIVE MANAGEMENT AGREEMENT between SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT and GENERAL COUNSEL, SECTION IV(B)(5) – SEVERANCE:

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- B. Severance. In consideration of Appointing Authority having the right to terminate General Counsel pursuant to Paragraph A(4), if General Counsel is terminated by the District pursuant to Paragraph A(4) or General Counsel terminates his employment because the Appointing Authority decides to significantly change General Counsel's job responsibilities as defined in Section II, Duties of General Counsel (a change in organizational structure or reporting relationship, in and of itself, does not constitute a significant change of General Counsel job responsibilities as defined herein and in Section II, Duties of General Counsel), or to reduce General Counsel's salary or other financial benefits by a greater percentage than an applicable across-the-board reduction for the majority of management employees, and such decision by Appointing Authority is in writing, General Counsel shall be entitled to receive three months of severance pay; however, at such time as General Counsel has completed five years of service with the District, he shall be entitled to receive six months of severance pay.
1. Severance pay shall include payment of full salary, payment of life, health, dental, and other District-paid insurance premiums, and payment for retirement contribution. Severance pay shall not include accrual of sick leave and/or vacation or any other benefits during the severance pay period. General Counsel may elect to receive severance compensation in one lump sum or, with the District's consent, in bi-weekly payments throughout the severance period. In the event General Counsel elects to receive a lump sum payment of severance he will receive a lump sum payment equal to the contribution the District would have made on behalf of General Counsel for insurance and retirement for the term of the severance period. Any lump sum payment for severance pay will be paid with General Counsel's final paycheck or, if not practicable to the District, on the subsequent pay day. Should General Counsel elect to receive severance pay in bi-weekly payments, and the District consents thereto, the District will pay contributions for insurance and retirement benefits directly to the insurance and retirement benefit providers. The District shall not incur any liability for interest to General Counsel on severance pay when General Counsel exercises the option to accept bi-weekly severance payment for the severance pay period.
  2. General Counsel may take, in a lump sum at the time of his termination, payment for any unused vacation, sick leave, and/or other leave time paid to management employees at termination. However, if General Counsel elects to receive, and the District agrees to permit General Counsel to receive, severance pay in bi-weekly payments for the severance pay period all such unused vacation, sick leave, and/or other leave time will be paid in a lump sum to General Counsel at the end of the severance period. The District shall not incur any liability to General Counsel for

interest on such accrued vacation, sick leave, and/or other leave time that is not paid to General Counsel until the end of the severance pay period.

3. Severance is in recognition of past service since the District has decided to terminate or not to renew General Counsel's Agreement. Severance shall not apply to General Counsel if terminated for reasons set forth in Paragraphs 1, 2, 3, 5, 6 (except as set forth herein), 7, 8, 9, 10, and 11.
4. If General Counsel has five or more years of service with the District and is entitled to receive severance pay, he also shall be entitled to request out-placement services. If General Counsel elects to receive severance payments throughout the severance period, General Counsel may request outplacement services at any time during the severance period. District will pay for these services in an amount not to exceed ten percent of General Counsel's annual base salary. General Counsel may select the outplacement firm, which shall be an established firm whose business is to secure positions in General Counsel's employment field. General Counsel's selection of an outplacement firm is subject to approval by the District. Any payment made by the District in accordance with this Agreement will be made directly to the outplacement firm.
5. Notwithstanding the foregoing:
  - a) any severance payment shall comply with Government Code §§ 3511.2, 53260 and 53261, as in effect January 1, 2012;
  - b) the General Counsel shall comply with the provisions of Government Code §§ 53243 through 53243.4, as in effect January 1, 2012.

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